

UNIVERSITY OF FLORIDA BOARD OF TRUSTEES COMMITTEE ON EDUCATIONAL POLICY AND STRATEGY COMMITTEE MINUTES April 2, 2015 President's Room 215B, Emerson Alumni Hall University of Florida, Gainesville, Florida Time Convened: 3:27 p.m. EDT Time Adjourned: 4:27 p.m. EDT

1.0 Verification of Quorum

After a roll call, a quorum was confirmed, with all members present except for Trustee Heavener.

2.0 Call to Order and Welcome

Committee Chair, David M. Thomas called the meeting to order at 3:27 p.m., EDT.

Members present were:

David M. Thomas, Chair, David L. Brandon, Susan M. Cameron, Christopher T. Corr, Paul W. Davenport, Charles B. Edwards, Rahul Patel, Jason J. Rosenberg, Steven M. Scott, Robert G. Stern, Cory M. Yeffet, Anita G. Zucker. Trustee James W. Heavener was unable to attend.

Others present were:

President W. Kent Fuchs, Provost and Senior Vice President for Academic Affairs Joseph Glover, Senior Vice President and Chief Operating Officer Charles Lane, Vice President for Student Affairs David Kratzer, Vice President, General Counsel and University Secretary Jamie Lewis Keith, other members of the Cabinet, Executive Assistant Cheryl May and Executive Assistant Rebecca Holt, members of the University of Florida Community, and other members of the public and the media.

3.0 Review and Approval of Minutes

The Committee Chair asked for a motion to approve the minutes of the November 24, 2014 and December 4, 2014 committee meetings, which was made by Trustee Scott and Seconded by Trustee Davenport. The Committee Chair asked for further discussion, after which he asked for all in favor of the motion and any opposed, and the motion was approved unanimously.

4.0 Public Comment

The Committee heard public comments from Kevin Funk and John Hames, representatives of Graduate Assistants United, on mandatory student fees and on the role of graduate assistants in the UF top-10 initiative.

The Committee considered the following Action Items:

5.0 Action Items

Provost Glover shared that a Pre-BOT Conference Call was held on March 30, 2015, where all items were presented as information.

EP1. Tenure Upon Hire

Provost Glover stated that there were 12 tenure upon hire cases for the Committee to act upon. There have been 76 confirmed faculty hires in the preeminence initiative to date. The President has determined that the 12 faculty members satisfy the requirements for tenure and recommends them to the Board. For those individuals hired with faculty and administrative appointments, tenure attaches only to the faculty appointment. Provost Glover asked if there were any questions about any of the cases, all of which were discussed on the pre-meeting call, and there were none. (He noted that <u>David K</u>isailus, who had been listed previously, has declined the College of Engineering's offer and has been removed from the Action Item.)

Committee Chair Thomas asked for a motion to approve Action Item EP1 for recommendation to the Board for its approval on the Consent Agenda, which was made by Trustee Edwards and Seconded by Trustee Cameron. The Committee Chair asked for further discussion, of which there was none. The Committee Chair then asked for all in favor of the motion and any opposed, and the motion was approved unanimously.

EP2 Housing Rental Rates for Fiscal Year Ending June 30, 2016

Vice President for Student Affairs David Kratzer stated that the proposed increases were as follows: 3.5% for single student housing; 3.5% for graduate and family housing in Diamond Village, Corry Village, Tanglewood Village, and University Village South; and 7% for graduate and family housing in Maquire Village. The Maguire Village increase represents the last year of a three-year, student-agreed plan, to incorporate this former HUD property into University housing and bring its support up to the same rate as applies to University Village South. Vice President Kratzer explained that these rates are reasonable in the context of the demand for and cost to the University of Florida for student housing, as well as local market conditions. The rates were unanimously recommended by the campus Student Housing Committee (of faculty, staff and students).

Committee Chair Thomas asked for a motion to approve Action Item EP2 for recommendation to the Board for its approval on the Consent Agenda, which was made by Trustee Scott and Seconded by Trustee Cameron,. The Committee Chair asked for further discussion, of which there was none. The Committee Chair then asked for all in favor of the motion and any opposed, and the motion was approved unanimously.

EP3 Honorary Degree

Provost Joe Glover stated that the Honorary Degrees, Distinguished Alumnus Awards and Memorials Committee recommends honorary degrees to the Faculty Senate. The honorary degree for Dr. Phillip Frost was approved by the Faculty Senate on November 20, 2014 and then by the President on January 7, 2015. Dr. Frost, Chair of the Board, Teva Pharmaceutical Industries, Ltd., is a world leader in the pharmaceutical industry. He is recognized for his dedication to education and scientific advancement, his exceptional business acumen, and his focus on serviced to country and community. Dr. Frost has been nominated for a Doctor of Science Honorary Degree.

Committee Chair Thomas asked for a motion to approve Action Item EP3, which was made by Trustee Rosenberg and Seconded by Trustee Cameron, for recommendation to the Board for its approval on the Consent Agenda. The Committee Chair asked for further discussion, of which there was none. The Committee Chair then asked for all in favor of the motion and any opposed and the motion was approved unanimously.

EP4 University of Florida Regulations and Regulation Amendments

Vice President, General Counsel, and University Secretary Jamie Lewis Keith stated that a summary of the regulation changes was located behind the Committee Action Item, and asked if anyone would like for her to summarize the changes which she reviewed for the Committee on the pre-meeting call or if there were any questions. She did note that Regulation 7.0042 had been removed and will be reviewed at the June meeting.

Committee Chair Thomas asked for a motion to approve Action Item EP4 for recommendation to the Board for its approval on the Consent Agenda, which was made by Trustee Brandon and Seconded by Trustee Edwards. The Committee Chair asked for further discussion, of which there was none. The Committee Chair then asked for all in favor of the motion and any opposed, and the motion was approved unanimously.

EP5 Facility Security Clearance; Exclusion of Certain Directors and Officers; Designation of Senior Managerial Group for Classified Information

Vice President for Research David Norton explained that the Department of Defense requires the Board to appoint a management committee to have access to classified information which UF must access in certain research, and to adopt a resolution that Trustees will not require such access, listing all Trustees—if the Trustees do not want to be individually security-cleared. The Board has taken this action each time a new Trustee is appointed and will be required to do so again in June when the new Student Body President Trustee assumes office.

Committee Chair Thomas asked for a motion to approve Action Item EP5 for recommendation to the Board for its approval on the Consent Agenda, which was made by Trustee Rosenberg and Seconded by Trustee Cameron. The Committee Chair asked for further discussion, of which there was none. The Committee Chair then asked for all in favor of the motion and any opposed, and the motion was approved unanimously.

EP6 UF-UFRF Institutional Conflict of Interest Policy

Vice President for Research David Norton stated that the proposed changes to the UF-UF Research Foundation Institutional Conflict of Interest Policy, which are aimed at ensuring the objectivity and integrity of research, are commensurate with policies of our peer institutions, and have been approved by the UFRF Board of Directors and are now before the Committee and the Board for their approval. Vice President Norton asked if the Committee wanted him to summarize the changes, which he reviewed with the Committee on the pre-meeting call or if there were any questions.

Committee Chair Thomas asked for a motion to approve Action Item EP6 for recommendation to the Board for its approval on the Consent Agenda, which was made by Trustee Brandon and Seconded by Trustee Scott. The Committee Chair asked for further discussion, of which there was none. The Committee Chair then asked for all in favor of the motion and any opposed and the motion was approved unanimously.

The following Discussion/Informational Items were then addressed by the Committee:

6.0 Discussion/Informational Items

Committee Chair Thomas turned the Committee's attention to the information items. He asked each presenter to address a topic in turn:

- 6.1 **Admissions Update** Vice President for Enrollment Management Zina Evans presented an overview of the 2015 Freshmen Admission: Applicants and Admits as of February 20, 2015.
- 6.2 **Faculty Senate Update** Faculty Senate Chair Paul Davenport thanked Pradeep Kumar for his leadership and congratulated him on his March 31st retirement. He said that he was looking forward to working with faculty and representing them on this Board.
- 6.3 **Student Government Update** Student Body President Cory Yeffet shared a Student Update booklet highlighting accomplishments and initiatives by Student Government over the year. Cory introduced the next Student Body President, Joselin Padron-Rasines.

Provost Glover stated that the following information was reviewed on the pre-meeting call and that all items that required Faculty Senate approval had been so approved. These items do not require Board action but are presented for information.

- 6.4 New Major in the College of Design, Construction and Planning
 6.4.1 MSCM Degree in Sustainable Construction in M.E. Rinker School of Construction Management (CIP Code 15.1001)
- 6.5 Request to Close Major in the College of Liberal Arts and Sciences
 - 6.5.1 MAT in Philosophy (CIP Code 38.0101)
- 6.6 New Centers/Institutes
 - 6.6.1 UF Informatics Institute
 - 6.6.2 The UF/IFAS Plant Innovation Center

- 6.6.3 Center for Adaptive Innovation, Resilience, Ethics and Sciences (UF CAIRES)
- 6.7 Center Name Change
 - 6.7.1 Change FROM "Blue Cross Blue Shield of Florida Center for Health Care Access, Patient Safety and Quality Outcomes" to "Florida Blue Center for Health Care Quality"

7.0 Adjourn

After asking for any further discussion and hearing none, Committee Chair Thomas asked for a motion to adjourn, which was made by Trustee Scott and a second which was made by Trustee Cameron and, with no further discussion desired, the motion was passed unanimously and the University of Florida Committee on Educational Policy and Strategy meeting was adjourned at 4:27 p.m. EDT.



UNIVERSITY OF FLORIDA BOARD OF TRUSTEES COMMITTEE ON EDUCATIONAL POLICY AND STRATEGY COMMITTEE AGENDA April 2, 2015 3:30 p.m. EDT President's Room 215B, Emerson Alumni Hall, University of Florida, Gainesville, City, Florida

Committee Members:

David M. Thomas (Chair), David L. Brandon, Susan M. Cameron, Christopher T. Corr, Paul W. Davenport, Charles B. Edwards, James W. Heavener, Rahul Patel, Jason J. Rosenberg, Robert G. Stern, Steven M. Scott, Cory M. Yeffet, Anita G. Zucker

1.0	Verification of QuorumUniversity Secretary
2.0	Call to Order and WelcomeDavid M. Thomas, Chair
3.0	Review and Approval of MinutesDavid M. Thomas, Chair <u>November 24, 2014</u> <u>December 4, 2014</u>
4.0	Public CommentDavid M. Thomas, Chair
5.0	 Action Items
6.0	Discussion/Informational ItemsDavid M. Thomas, Chair6.1Admissions Update6.2Faculty Senate Update6.3Faculty Senate Update

6.3 Student Government Update Cory Yeffet, Student Body President

6.4 New Major in the College of Design, Construction and Planning......Joseph Glover

		6.4.1 MSCM Degree in Sustainable Construction in M.E. Rinker School of	
		Construction Management (CIP Code 15.1001)	
6.5 Request to Close Major in the College of Liberal Arts & SciencesJos			
		6.5.1 MAT in Philosophy (CIP Code 38.0101)	
	6.6	New Centers/InstitutesJoseph Glover	
		6.6.1 UF Informatics Institute	
		6.6.2 The UF/IFAS Plant Innovation Center	
		6.6.3 Center for Adaptive Innovation, Resilience, Ethics and Sciences (UF CAIRES)	
	6.7	Center Name ChangeJoseph Glover	
		6.7.1 Change FROM "Blue Cross Blue Shield of Florida Center for Health Care	
		Access, Patient Safety and Quality Outcomes" TO "Florida Blue Center for	
		Health Care Quality"	
7.0	New I	Business David M. Thomas, Chair	
8.0	Adjou	IrnDavid M. Thomas, Chair	



UNIVERSITY OF FLORIDA BOARD OF TRUSTEES COMMITTEE ON EDUCATIONAL POLICY & STRATEGY COMMITTEE ACTION ITEM EP1 April 2, 2015

SUBJECT: Tenure Upon Hire

BACKGROUND INFORMATION

The Chairs and Deans have recommended to the President that 12 newly appointed faculty members be granted tenure commencing with their appointment. These individuals meet the criteria set forth in the University's tenure policy and are recommended by the President to receive tenure. Attached is a summary chart of these Tenure upon hire cases as well as biographies of the faculty members.

PROPOSED COMMITTEE ACTION

The Committee on Educational Policy and Strategy is asked to approve the Tenure Upon Hire cases listed on the attached summary chart and included in the Board materials for recommendation to the Board of Trustees for approval on the Consent Agenda. While any administrative appointment is noted, tenure is granted only for the faculty appointments.

SIGNIFICANT POLICY ISSUES FOR COMMITTEE TO CONSIDER

Board of Governors approval is not required.

Supporting Documentation Included: See attached <u>summary chart</u> and <u>biographies</u>.

Submitted by: Joseph Glover, Provost and Senior Vice President for Academic Affairs

		TENURE UPON HIRE CASES				
APRIL 2015						
Name	College	Department	Rank	Previous Institution		
Stephen Enloe	Agricultural and Life Sciences	Agronomy	Associate Professor	Auburn University		
Saqib Mukhtar	Agricultural and Life Sciences	IFAS Extension Administration	Professor	Texas A&M University		
Blair Siegfried	Agricultural and Life Sciences	Entomology and Nematology	Professor	University of Nebraska- Lincoln		
Spiro Stefanou	Agricultural and Life Sciences	Food and Resource Economics	Professor	Pennsylvania State University		
Coray Colina	Liberal Arts and Sciences	Chemistry	Professor	Pennsylvania State University		
Dorina Avram	Medicine	Medicine	Professor	Albany Medical College		
Josie Clark-Curtiss	Medicine	Medicine	Professor	Arizona State University		
Carol Mathews	Medicine	Psychiatry	Professor	University of California, San Francisco		
H. Lee Sweeney	Medicine	Pharmacolgy and Therapeutics	Professor	University of Pennsylvania		
Somnath Datta	Medicine and Public Health and Health Professions	Biostatistics	Professor	University of Louisville		

Susmita Datta	Medicine and Public Health and Health Professions	Biostatistics	Professor	University of Louisville
Roy Curtiss	Veterinary Medicine	Infectious Diseases and Pathology	Professor	Arizona State University

Stephen Enloe-College of Agricultural and Life Sciences Associate Professor, Department of Agronomy

Dr. Stephen Enloe received his B.S. in Agronomy from North Carolina State University in 1994, his M.S. in Weed Science from Colorado State University in 1997, and his Ph.D. in Plant Biology from the University of California, Davis in 2002. Dr. Enloe was most recently an associate professor in the Department of Crop, Soil, and Environmental Sciences at Auburn University. He has published 33 refereed journal articles in publications such as *Weed Science*, *Weed Technology*, and *Invasive Plant Science and Management*. He has also published an additional 81 non-refereed publications. Dr. Enloe has already published on several common weeds in northern Florida including, cogongrass, Chinese tallow, privet, and kudzu among others. Dr. Enloe has garnered more than \$1.5 million as PI or Co-PI in grants from a range of local, state, and federal funding sources. In the last six years he has 234 extension presentations and has won both state and national extension communication awards. Dr. Enloe has a proven record of national distinction that will undoubtedly reflect positively on the Agronomy Department and the entire University of Florida.

Saqib Mukhtar-College of Agricultural and Life Sciences Professor, IFAS Extension Administration

Dr. Saqib Mukhtar received his B.S. in Agricultural Engineering from the University of Agriculture in Faisalabad, Pakistan in 1981, his M.S. in Agricultural engineering from Iowa State University in 1984, and his Ph.D. in Agricultural Engineering from Iowa State University in 1989. Dr. Mukhtar is currently a professor and associate department head and extension program leader for Biological and Agricultural Engineering at Texas A&M University. He has published 46 refereed journal articles in addition to six invited publications and book chapters. As editor, Dr. Mukhtar has reviewed 30 papers and submitted 63 of his own. Impressively he has been PI or Co-PI on more than \$15 million in grants and contracts. Dr. Mukhtar has given more than 30 presentations both nationally and internationally. Dr. Mukhtar is considered to be one of the best extension professionals who has generated the unparalleled impact on the US agricultural production, especially in protecting the environment from being compromised by livestock and poultry productions. His leadership will contribute to building on the strengths of IFAS and the University of Florida and will allow both to achieve even greater successes in the future.

Blair Siegfried- College of Agricultural and Life Sciences Professor, Department of Entomology and Nematology

Dr. Blair Siegfried received his B.S. in Biology from Lock Haven University in 1981, his M.S. in Entomology from the University of Florida in 1984, and his Ph.D. in Entomology from Pennsylvania State University in 1989. Dr. Siegfried is currently a professor in the Department of Entomology at the University of Nebraska-Lincoln. He is a noted toxicologist and has become a leader in the application of RNAi interference technology for insect management. Dr. Siegfried has mentored and supervised nearly 40 graduate and postdoc students. He has more than 160 refereed journal articles and book chapters to his name as well as nearly 100 invited presentations both nationally and internationally. Dr. Siegfried has three U.S. patents as well. His Page 8/209

commitment to the retention and expansion of the undergraduate major and the distance education efforts of the department allow the college to build on past successes and innovations helping them to become even better.

Spiro Stefanou-College of Agricultural and Life Sciences Professor, Department of Food and Resource Economics

Dr. Spiro Stefanou received his B.A. in Anthropology from George Washington University in 1977, his M.S. in Agricultural and Resource Economics from the University of Maryland, and his Ph.D. in Agricultural Economics from the University of California, Davis. Dr. Stefanou was most recently a professor of Agricultural Economic analysis and innovation of agricultural and food industries. His grant experience has garnered approximately \$2.5 million from a variety of organizations which positions him well to lead a large, multi-disciplinary engaged applied economics department such as Food and Resource Economics. Dr. Stefanou has published over 90 peer-reviewed papers in both national and international economics journals and has made numerous invited presentations at major universities around the world. The recognition he will bring to the University of Florida and the leadership that he will provide makes Dr. Stefanou an excellent addition to our faculty.

Coray Colina-College of Liberal Arts and Sciences

Professor, Department of Chemistry

Dr. Coray Colina received her B.S. in Chemical Engineering from Simon Bolivar University in 1993, her M.Sc. in Chemical Engineering from Simon Bolivar University in 1994, and her Ph.D. in Chemical Engineering from North Carolina State University in 2004. Dr. Colina is currently an associate professor in the Department of Materials Science and Engineering at Pennsylvania State University. She is a world leader in the modeling of polymeric materials and computational physical chemistry. She has established several international collaborations and has presented the results of her research at more than 150 national and international conferences. Dr. Colina has published over 60 peer-reviewed papers, and her work has been supported by external funds of over \$5.9M from NSF, NIH, DOE, and several industrial sources. In addition to her highly impressive track record in research, Dr. Colina has also excelled in teaching and service. She has taught at both the undergraduate and graduate levels has consistently received excellent student evaluations. Dr. Colina's research, teaching, and service contributions have been outstanding and promise a bright future as a senior member of our faculty and a wonderful addition to the university.

Dorina Avram-College of Medicine

Professor, Department of Medicine

Dr. Dorina Avram received her Ph.D. in Genetics from Oregon State University in 1997. Dr. Avram is currently a professor at the Center for Immunology and Microbial Disease at Albany Medical College. Her research interest is in immune regulation and autoimmunity. Her work has an important impact in the control of autoimmune diseases such as multiple sclerosis and inflammatory bowel disease. Dr. Avram has published nearly 40 articles for peer-reviewed Page 9/209

journals and has been an invited speaker at conferences nationally and internationally. She has brought nearly \$8 million in grant funding to her college with several funds still pending. The College of Medicine is looking forward to working with Dr. Avram as she fits into the current research programs extremely well. She will interact with the many mucosal immunologists on staff as well as the lung immunologists and the new brain cancer immunology group. Dr. Avram's novel techniques, reagents, and ideas will contribute tremendously to the college and university.

Josie Clark-Curtiss-College of Medicine Professor, Department of Medicine

Dr. Josie Clark-Curtiss received her B.S. in Biology from St. Mary's College in 1968 and her Ph.D. in Microbiology from the Medical College of Georgia in 1974. Dr. Clark-Curtiss is currently a professor in the School of Life Sciences and The Biodesign Institute at Arizona State University. She has national research prominence in TB and in using bacterial vectors for vaccines. Dr. Clark-Curtiss has been a full member of an NIH study section and a Councilor for the American Society of Microbiology. She has one RO1 on which she is co-PI as well as more than \$1 million in NIH direct cost funding. She has 44 publications in peer-reviewed journals and has participated in numerous invited presentations nationally and internationally. Dr. Clark-Curtiss has mentored and supervised many undergraduate students as well as graduate and postdoctoral students. Having Dr. Clark-Curtiss in the College of Medicine and the University of Florida will be advantageous and provides an assurance of a positive future.

Carol Mathews-College of Medicine

Professor, Department of Psychiatry

Dr. Carol Mathews received her B.A. in Biology from Cornell University in 1988 and her M.D. in Medicine from Johns Hopkins University in 1992. Dr. Mathews is currently the director of the Obsessive Compulsive Disorders Program and the co-director of the Anxiety Disorders Program at the University of California, San Francisco. She has participated in more than forty invited presentations both nationally and internationally. Dr. Mathews' teaching activities encompass clinical teaching to psychiatric residents and medical students and research-related teaching and mentoring. She also teaches science to elementary school students with an aim at promoting science education in the early years of students when laboratory-based science instruction is not routinely provided and exposure to science-related activities can promote a lifelong interest in the field. Dr. Mathews has mentored and supervised more than sixty predoctoral and postdoctoral students as well as faculty. With more than seventy peer-reviewed articles and book chapters to her name, Dr. Mathews is certainly considered an expert in her field. Having Dr. Mathews here at UF in the Department of Psychiatry will serve to strengthen and further enhance the department and college as she brings with her a fresh vision and outlook for the future.

H. Lee Sweeney-College of Medicine

Professor, Department of Pharmacology and Therapeutics

Dr. H. Lee Sweeney received his S.B. in Biology/Biochemistry from Massachusetts Institute of Technology in 1975, his A.M. in Physiology from Harvard University in 1980, and his Ph.D. in Page 10/209

Physiology and Biophysics from Harvard University in 1984. Dr. Sweeney is currently a professor in Physiology, Medicine, Surgery, and Pediatrics at the University of Pennsylvania. He has supervised twenty-seven graduate and postdoctoral students. He has spoken at more than one hundred and fifty lectures nationally and internationally. He has well over two hundred peer-reviewed articles, book chapters, and books to his name. Dr. Sweeney has two patents awarded to him as well. One is for the use of insulin-like growth factor-1 in muscle. The other is for Bowman-Birk Inhibitor compositions for the treatment of muscular atrophy and degenerative muscle disease. Dr. Sweeney currently has more than \$2 million in grant funding. He is internationally recognized in his field and will be a tremendous asset to the university and to the department.

Somnath Datta- College of Medicine and College of Public Health and Health Professions Professor, Department of Biostatistics

Dr. Somnath Datta received his B.S. in Statistics from the Indian Statistical Institute in 1983, his M.S. in Mathematical Statistics and Probability from the Indian Statistical Institute in 1985, and his Ph.D. in Statistics and Probability from Michigan State University in 1988. Dr. Datta is currently a professor in the Department of Bioinformatics and Biostatistics at the University of Louisville. His research focuses on theoretical as well as applied and computational statistics, bootstrap methods, causal inference, analysis of clustered data, clustering and classification, empirical Bayes, survival analysis and time series analysis. He has received several NSF and NIH grants in addition to a variety of other grants. Dr. Datta's publications include one book, 123 articles in peer-reviewed journals, and additional articles in different stages of peer review. He has participated in numerous statistical presentations throughout the world. Dr. Datta is an experienced and well regarded educator and has mentored many pre and postdoctoral students. His records of excellence in research, teaching, and service make Dr. Datta an outstanding addition to the colleges and university.

Susmita Datta-College of Medicine and College of Public Health and Health Professions Professor, Department of Biostatistics

Dr. Susmita Datta received her B.S. in Physics from the University of Calcutta, India in 1986, her M.S. in Applied Statistics from the University of Georgia in 1990, and her Ph.D. in Statistics from the University of Georgia in 1995. Dr. Datta is currently a professor in the Department of Bioinformatics and Biostatistics at the University of Louisville. Her focus includes bioinformatics, clustering and classification, genomics, statistical issues in population biology, and survival analysis and multi state models. Dr. Datta has 87 articles in peer-reviewed journals. She is currently principal investigator of two NIH grants. She is and has been section chief editor, associate editor, and an editorial board member for many statistical journals. Dr. Datta has taught at both the undergraduate and graduate levels teaching many different statistics courses. She has also mentored numerous predoctoral and postdoctoral students. As a well-regarded educator, Dr. Datta's record of excellence in research, teaching, and service provides the basis for an exceptional fit here at the University of Florida.

Roy Curtiss-College of Veterinary Medicine Page 11/209

Professor, Department of Infectious Diseases and Pathology

Dr. Roy Curtiss received his B.S. in Agriculture from Cornell University in 1956 and his Ph.D. in Microbiology from the University of Chicago in 1962. Dr. Curtiss is currently a professor of Life Sciences in the School of Life Sciences at Arizona State University. His areas of focus are the design, construction, and evaluation of vaccines to elicit protective host immune responses in agriculturally important animals and humans, mechanisms of bacterial pathogenesis and host immune responses to infections and vaccines, and genetic engineering of cyanobacteria for biofuels production. Dr. Curtiss has well over three hundred publications in peer-reviewed journals along with an impressive 41 patents and three licensed vaccines to his name. His research and training support by NIH and NSF and others is quite remarkable and extensive. Dozens of postdoctoral students have had the fortunate experience of being supervised by Dr. Curtiss a wonderful addition to the College of Veterinary Medicine and to the University of Florida.



UNIVERSITY OF FLORIDA BOARD OF TRUSTEES COMMITTEE ON EDUCATIONAL POLICY AND STRATEGY COMMITTEE ACTION ITEM EP2 April 2, 2015

SUBJECT: Housing Rental Rates for the Fiscal Year Ending June 30, 2016

BACKGROUND INFORMATION

The Board of Trustees has the authority to set local fees for the Fiscal Year ending June 30, 2016 effective July 1, 2015, including student housing rental rates. Proposed increases are: three-point-five percent for single student housing; and three-point-five percent for Diamond Village, Corry Village, Tanglewood Village, and University Village South; and a seven percent increase for Maguire Village. The Maguire Village increase is part of a three-year, student-agreed plan, to incorporate this former HUD property into University housing and bring its support up to the same rate as applies to University Village South. These rates are reasonable in the context of demand for and cost to the University of Florida student housing, as well as local market conditions, and were unanimously recommended by the Campus Student Housing Committee (of faculty, staff, and students).

PROPOSED COMMITTEE ACTION

The Committee on Educational Policy and Strategy is asked to approve the proposed Housing Rental Rates for the Fiscal Year ending June 30, 2016, as shown on the Attachments C and D, for recommendation to the Board of Trustees for approval on the Consent Agenda.

SIGNIFICANT POLICY ISSUES FOR COMMITTEE TO CONSIDER

Board of Governors approval is not required.

Supporting Documentation Included: See <u>attachments</u>.

Submitted by: Dave Kratzer, Vice President for Student Affairs



UNIVERSITY OF FLORIDA BOARD OF TRUSTEES COMMITTEE ON EDUCATIONAL POLICY & STRATEGY COMMITTEE ACTION ITEM EP3 April 2, 2015

SUBJECT: Honorary Degree

BACKGROUND INFORMATION

The Honorary Degrees, Distinguished Alumnus Awards and Memorials Committee recommends honorary degrees to the Faculty Senate.

The honorary degree for Phillip Frost, M.D., was approved by the Faculty Senate on November 20, 2014 and then by the President on January 7, 2015.

PROPOSED COMMITTEE ACTION

The Committee on Educational Policy and Strategy is asked to approve the Honorary Degree for Phillip Frost, M.D., for recommendation to the Board of Trustees for approval on the Consent Agenda.

SIGNIFICANT POLICY ISSUES FOR COMMITTEE TO CONSIDER

Board of Governors approval is not required.

Supporting Documentation Included: See Appendix

Submitted by: Joseph Glover, Provost and Senior Vice President for Academic Affairs



UNIVERSITY OF FLORIDA BOARD OF TRUSTEES COMMITTEE ON EDUCATIONAL POLICY AND STRATEGY COMMITTEE ACTION ITEM EP4 April 2, 2015

SUBJECT: University of Florida Regulations and Regulation Amendments

BACKGROUND INFORMATION

Under the Board of Governors regulations development procedure for State Universities, proposed regulations or amendments are adopted upon approval of the University Boards of Trustees. Regulations that pertain to student tuition and fees, articulation and admissions require additional approval by the Board of Governors prior to becoming effective.

PROPOSED COMMITTEE ACTION

The Committee on Educational Policy and Strategy is asked to approve the University of Florida regulations as contained in the following Summary and Board materials for recommendation to the Board of Trustees for approval on the Consent Agenda.

SIGNIFICANT POLICY ISSUES FOR COMMITTEE TO CONSIDER

Board of Governors' final approval is required for the regulations that pertain to parking fees to the extent applicable to students.

Supporting Documentation Included: See attached <u>Summary</u> and <u>Appendix</u>.

Submitted by: W. Kent Fuchs, President

Summary of University of Florida Regulation Changes Committee on Educational Policy & Strategy April 2, 2015

General Personnel

1.201 Leaves

The proposed amendments to Regulation 1.201 are made to provide clarification and accurately reflect and conform to existing practices of the University regarding vacation and sick leave. The amendments reflect the existing interpretation and practice that the 200 hours of vacation leave payout provided to separated employees is a maximum lifetime amount and that great-grandchildren and great-grandparents are considered "immediate family members" for whom sick leave may be utilized.

Students

4.004 Social Fraternities and Sororities

The proposed clarifying changes are made to codify longstanding application of the regulation requiring that students be degree-seeking students at the University of Florida to join a social fraternity or sorority.

4.009 The University of Florida Career Resource Center

The proposed changes concern the individuals who are eligible to use the University of Florida Career Resource Center and simplify the process to do so. Faculty and non-academic staff currently employed by the University will no longer be eligible to use the University of Florida Career Resource Center. Only current degree-seeking students and alumni who have graduated in the previous twelve months will be eligible to use the University of Florida Career Resource Center. This conforms to the focus the Center has, reflects its majority use, and reflects the capacity of its resources.

4.010 Employer Registration

The proposed changes clarify the process for employers to recruit and interview eligible applicants through the University of Florida Career Resource Center. The changes also direct employers to the current Career Resource Center Employer Recruiting Policies and Procedures for details and options.

Faculty Personnel

7.0012 Office of Academic Affairs Requirements

The regulation references the Office of Academic Affairs' policies and requirements relating to academic activities of the university, and clarifies that they apply to those covered by their provisions. These may be found on the website of the Provost and Senior Vice President of Academic Affairs.

7.003 Academic Personnel Employment Plan: Academic Appointments, Types of Appointments, Appointment Status Modifiers, and Academic-Administrative Classification Titles

The proposed amendments to the regulation clarify the descriptions of faculty titles, but do not change the substance of the positions associated with titles. In addition, the titles of Intern and Resident as used in the College of Veterinary Medicine are clarified, as this College treats Internships and Residency as distinct programs. The amendment also provides that a change in administrative appointment is normally submitted to the Office of Academic Affairs for approval.

7.010 Faculty Evaluation and Improvement: General Policy, Areas of Performance to be Evaluated, Sources of Data for Evaluation, Methods of Evaluation, Utilization of Evaluations, Junior Faculty Mentoring, Sustained Performance Evaluation and Administrative Evaluation

The amendments to this regulation clarify and provide some enhancements in the evaluation process for academic administrators. Copies of the administrative evaluation process and procedures requiring input from faculty and others shall be publicly available on the relevant college or senior vice president's website as appropriate. The results of evaluation are to be filed with the appropriate senior vice president (as opposed to filing all evaluations with the Provost).

Traffic and Parking Fees and Fines

3.007 Traffic & Parking; Parking Registrations

The new parking decal prices are set forth, representing an approximately four percent increase in rates, effective May 1, 2015.

3.014 Traffic & Parking: Fine Schedule

The amendments increase the fines for various traffic and parking violations on campus.

Leasing

3.025 Lease of Space

The amendments to this regulation revise language regarding University's standard form of lease, including involvement of the Office of Real Estate when deviations are allowed or changes are made. The University's Office of Real Estate is made primarily responsible for solicitations and/or advertisements relating to University lease of space when required. The method of space measurement is changed to match existing Board of Governor regulations which incorporate a method set forth in the current State University System space file. An additional permissible standard of measurement, based on the Building Owners and Managers Association protocols, is added for privately owned space. A requirement relating to designation of useable square footage in solicitation responses is also added.

Technical Changes

2.019 Alcoholic Beverages

The changes to this regulation are technical in nature (such as updating office names and correcting typographical errors) and do not include any substantive changes that are required to be approved by the Board of Trustees.

3.020 Purchasing

The changes to this regulation are technical in nature (such as updating office names and correcting typographical errors) and do not include any substantive changes that are required to be approved by the Board of Trustees.



UNIVERSITY OF FLORIDA BOARD OF TRUSTEES COMMITTEE ON EDUCATIONAL POLICY & STRATEGY COMMITTEE ACTION ITEM EP5 April 2, 2015

SUBJECT: Facility Security Clearance; Exclusion of Certain Directors and Officers; Designation of Senior Managerial Group for Classified Information

BACKGROUND INFORMATION

Certain contracts between the University and federal governmental agencies and certain research at the University require a facility security clearance for classified information. Department of Defense policy and procedure permit the University to designate a senior management committee to obtain security clearance rather than obtaining the clearances for individual Trustees and other officers. All Trustees must be listed in the designation, so a new resolution is required when the composition of the Board changes.

PROPOSED COMMITTEE ACTION

The Committee on Educational Policy and Strategy is asked to approve Resolution R15-145, confirming that members of the Board of Trustees will not require access to classified information, designating a senior management committee comprising the President and Vice President for Research for that purpose, and listing all Trustees, including the recently appointed Trustees, for recommendation to the Board of Trustees for approval on the Consent Agenda.

SIGNIFICANT POLICY ISSUES FOR COMMITTEE TO CONSIDER

Board of Governors approval is not required. Upon the appointment of any new Trustees, the Board will need to approve a similar resolution to include them.

Supporting Documentation Included: See attached <u>Resolution R15-145</u>.

Submitted by: David P. Norton, Vice President for Research



UNIVERSITY OF FLORIDA BOARD OF TRUSTEES RESOLUTION

Number: R15-145

- Subject: Facility Security Clearance; Exclusion of Certain Directors and Officers; Designation of Senior Managerial Group for Classified Information
- Date: April 3, 2015

WHEREAS, the University of Florida, a university in the State University System of Florida, desires to enter into contractual obligations with agencies of the United States Government, including contractual obligations requiring security clearance, and

WHEREAS, current Department of Defense Regulations contain a provision making it mandatory that the Chair of the Board and all principal officers meet the personnel clearance requirements established for a security clearance, and

WHEREAS, current Department of Defense Regulations do authorize exclusion from the security clearance requirements of certain members of the Board of Directors and other officers, provided that this Board adopts a resolution stating that the Board members and such officers will not require access to classified material and designate the President and Vice President for Research for such purposes.

NOW THEREFORE, BE IT RESOLVED, that a Senior Managerial Group for Classified Information is hereby designated for the purpose of providing oversight of all classified information and programs at the University.

NOW THEREFORE, BE IT RESOLVED, that the membership of the Senior Managerial Group shall be composed of those persons occupying the following positions at the University: (a) the President; and (b) the Vice President for Research. The Chair of the Senior Managerial group shall be the Vice President for Research. At the present time, the individuals in these positions do possess, or will be processed for, the required security clearance; and

NOW THEREFORE, BE IT RESOLVED, that the following Board of Trustees members are excluded from access to classified information and are excluded from the requirement for a Personnel Security Clearance in accordance with Department of Defense 5220.00-M National Industrial Security Program Operating Manual, Section 1.2-106:

Steven M. Scott	Chair
David L. Brandon	Trustee
Susan M. Cameron	Trustee
Christopher T. Corr	Trustee
Charles B. Edwards	Trustee
James W. Heavener	Trustee
Paul W. Davenport	Faculty Trustee
Rahul Patel	Trustee
Jason J. Rosenberg	Trustee
Steven M. Scott	Trustee
Robert G. Stern	Trustee
David M. Thomas	Trustee
Cory M. Yeffet	Student Trustee
Anita G. Zucker	Trustee

This action is in the form of a resolution to take effect immediately upon its adoption.

Adopted this 3rd day of April, 2015, by the Board of Trustees of the University of Florida.

Steven M. Scott, Chair

W. Kent Fuchs, President and Corporate Secretary



UNIVERSITY OF FLORIDA BOARD OF TRUSTEES COMMITTEE ON EDUCATIONAL POLICY AND STRATEGY COMMITTEE ACTION ITEM EP6 April 2, 2015

SUBJECT: UF-UFRF Institutional Conflict of Interest Policy

BACKGROUND INFORMATION

Overview of University of Florida-University of Florida Research Foundation (UFRF) Institutional Conflicts Policy

Changes to the University of Florida-UFRF Institutional Conflict of Interest Policy (originally promulgated in 1998) were approved by the UFRF Board of Directors in December 2014 and are now brought to the University of Florida Trustees for approval.

The changes are:

1) The policy currently applies to institutional conflicts of interest that occur when UFRF holds an ownership interest in a commercial enterprise and that enterprise has an ongoing research relationship with the University. In addition, the policy will now apply when UFRF has entered into a license agreement with a commercial enterprise and that enterprise supports research involving the specific licensed technology at the University even if no ownership interest was granted.

2) In addition to the disclosure and monitoring requirements currently in the policy (the primary responsibility for monitoring being that of the dean in consultation with the Vice President for Research or designee), the college and the Office of Research will annually review and update monitoring on all ongoing cases.

3) With approval of the Vice President for Research and the applicable Senior Vice President, a University department chair, center director or college administrator who is the inventor of intellectual property licensed to a commercial enterprise may receive an ownership interest in the company as payment for services. Otherwise University department chairs, center directors and college administrators should not acquire ownership interests in any such company when the respective department, center or college may benefit financially from the license agreement.

4) Human subject research involving any potential institutional conflict of interest may be accomplished only after consideration of the factors that are set forth in the policy. Special care to protect the subjects and the integrity of the research is required, and whether the Vice President for Research will approve a management plan involving human subjects depends on the degree of risk to the subjects, the ability of the University to safeguard the subjects' welfare, the nature of the conflict and degree to which it can be managed, whether the University is uniquely qualified or experienced to do the research and safeguard the subjects, and the number of clinical trial centers and phase of the trials.

The remainder of the policy stays in effect, including the requirement that UFRF managers, which include its directors and officers, and University of Florida officials, which include its trustees, president and vices presidents, may not acquire any ownership interest in any commercial enterprise which has provided UFRF an equity position as consideration under a license or other agreement or in which UFRF has otherwise acquired an ownership interest. Also, UFRF will sell its equity interest as soon as practicable on the market.

PROPOSED COMMITTEE ACTION

The Committee on Educational Policy and Strategy is asked to approve the revisions to the University of Florida-University of Florida Research Foundation, Inc. (UFRF) Institutional Conflict of Interest Policy (previously approved by the UFRF board of directors and attached in the Appendix to the University of Florida Board of Trustees' materials) for recommendation to the Board of Trustees for approval on the Consent Agenda.

SIGNIFICANT POLICY ISSUES FOR COMMITTEE TO CONSIDER

Board of Governors approval is not required. This policy has been approved by the University of Florida Research Foundation, Inc. Board.

Supporting Documentation Included: <u>See the UF-UFRF Institutional Conflicts of Interest Policy</u> in the Appendix.

Submitted by: David P. Norton, Vice President for Research

APPENDIX

Note: For direct access to individual documents in the Appendix (without scrolling) go to the Agenda and click on the blue links, or go to the bookmarks (upper left hand corner on the iPad or upper right hand corner on the computer).



UNIVERSITY OF FLORIDA BOARD OF TRUSTEES COMMITTEE ON EDUCATIONAL POLICY AND STRATEGY COMMITTEE MINUTES November 24, 2014 Pre-Meeting/Telephone Conference Call Meeting 123 Tigert Hall, University of Florida, Gainesville, Florida Time Convened: 2:00 p.m. EST Time Adjourned: 2:25 p.m. EST

Verification of Quorum

After a roll call, a quorum was confirmed, with all members present except for Trustees Brandon, Corr, Heavener and Roulhac.

Call to Order and Welcome

Committee Chair, David M. Thomas called the meeting to order at 2:00 p.m. EST.

Members present were:

David M. Thomas, Chair, C. David Brown, II, Susan M. Cameron, Charles B. Edwards, Pradeep Kumar, Carolyn K. Roberts, Jason J. Rosenberg, Steven M. Scott, Cory M. Yeffet. Trustees Brandon, Corr, Heavener and Roulhac were unable to attend.

Others present were:

Provost and Senior Vice President for Academic Affairs Joseph Glover, Vice President for Student Affairs, David Kratzer, Vice President, General Counsel and University Secretary Jamie Lewis Keith, Special Assistant to the President T.J. Villamil, other members of the President's Cabinet, Executive Assistant Cheryl May, Executive Assistant Rebecca Holt, members of the University of Florida Community, and other members of the public and the media.

Review and Approval of Minutes

Committee Chair Thomas stated that the minutes will be reviewed for approval at the December 4, 2014 meeting.

Action Items

Committee Chair Thomas stated that no action would be taken, but the conference call/meeting was for information purposes only. Committee Chair Thomas then asked Provost Joseph Glover to go through each item on the agenda and allow time for discussion.

EP1. Tenure Upon Hire

Provost Glover shared that there are 12 newly hired faculty members who have been reviewed and recommended for tenure upon hire by the Dean, the Provost and the President. They are as follows:

- 1. College of Agricultural and Life Sciences
 - a. James Anderson, Professor, Department of Food and Resource Economics
 - b. Morgan Ernest, Associate Professor, Department of Wildlife Ecology and Conservation
 - c. Karen Garrett, Professor, Department of Plant Pathology
 - d. Lisa Lundy, Associate Professor, Department of Agricultural Education and Communication
 - e. Ethan White, Associate Professor, Department of Wildlife Ecology and Conservation
 - f. Frank White, Professor, Department of Plant Pathology
- 2. College of Liberal Arts and Sciences
 - a. George Michailidis, Professor, Department of Statistics
 - b. Daniel Savin, Associate Professor, Department of Chemistry
- 3. College of Nursing
 - a. Versie Johnson-Mallard, Associate Professor, Department of Health Care Environment and Systems
 - b. Diana Wilkie, Professor, Department of Biobehavioral Nursing Science
- 4. College of Pharmacy
 - a. Jane Aldrich, Professor, Department of Medicinal Chemistry
- 5. College of Veterinary Medicine
 - a. Christopher Vulpe, Professor, Department of Physiological Sciences

Provost Glover asked if there were questions and there were none.

EP2. Annual Fee Increases: Activity and Service, Athletic, Student Health and Transportation Access Fees for Academic Year 2015-2016

Vice President David Kratzer reviewed the fees and provided a breakdown of each. It was requested by Athletic Director Jeremy Foley that no athletic fee be assessed this year. Trustee Scott asked about the student body involvement in proposing these fees and Trustee and Student Body President Cory Yeffet confirmed that students participated on the fees committee and support the requested fee increases.

Vice President Kratzer asked if there were any other questions and there were none.

EP3. Degree Program Terminations

Provost Glover stated that the College of Education has requested the termination of the Ph.D. and Ed.D. degrees in Marriage and Family Counseling and the Ph.D. and Ed.D degrees in Mental Health Counseling. These degrees have been subsumed under another existing degree

program and have been streamlined into one major. All have been approved by the Faculty Senate.

Provost Glover asked if there were any questions and there were none.

EP4. University of Florida Annual Accountability Report 2013-2014

Provost Glover explained that the Annual Accountability Report is one of two reports that UF is required to submit to the Board of Governors every year. This Report on pages 2-4 provides a dashboard for a quick look at statistics on UF in a number of areas, on pages 5-11 provides narratives of accomplishments, and on pages 12 through the end provides detailed statistics about UF. Committee Chair Thomas asked Provost Glover to highlight key items at the Committee meeting in December.

Committee Chair Thomas asked if UF received feedback from the Board of Governors on this report and Provost Glover replied that UF had not because it measures activities, resources, and accomplishments that have already occurred. The report captures data the Board of Governors assembles from the data UF provides.

Provost Glover asked if there were any other questions and there were none.

EP5. University of Florida Regulation Amendments

Vice President, General Counsel and University Secretary Jamie Lewis Keith stated that the amendments implement the fee increases addressed in EP2 and that no other amendments are included.

Vice President Keith asked if there were any questions and there were none.

EP6 and R14-141 Naming: "Center for Excellence in Early Childhood Studies" to "Anita Zucker Center for Excellence in Early Childhood Studies"

Ms. Keith stated that the proposed naming is in recognition of the generosity of Anita Zucker, who is a generous and long-term supporter of the University.

Vice President Keith asked if there were any questions and there were none.

Discussion/Informational Items

Committee Chair Thomas stated that the Discussion and Informational Items will be presented at the December 4, 2014 meeting.

<u>Adjourn</u>

After asking for any further discussion and hearing none, Committee Chair Thomas thanked everyone for participating on the call. The Committee on Education Policy and Strategy conference call/meeting was adjourned at 2:25 p.m. EST.



UNIVERSITY OF FLORIDA BOARD OF TRUSTEES COMMITTEE ON EDUCATIONAL POLICY AND STRATEGY COMMITTEE MINUTES December 4, 2014 President's Room 215B, Emerson Alumni Hall University of Florida, Gainesville, Florida Time Convened: 3:37 p.m. EST Time Adjourned: 4:35 p.m. EST

1.0 Verification of Quorum

After a roll call, a quorum was confirmed, with all members present except for Trustees Cameron and Edwards.

2.0 Call to Order and Welcome

Committee Chair, David M. Thomas called the meeting to order at 3:37 p.m. EST.

Members present were:

David M. Thomas, Chair, David L. Brandon, C. David Brown, II, Christopher T. Corr, James W. Heavener, Pradeep Kumar, Carolyn K. Roberts, Jason J. Rosenberg, Juliet M. Roulhac, Steven M. Scott, Cory M. Yeffet. Trustees Cameron and Edwards were unable to attend.

Others present were:

President J. Bernard Machen, Provost and Senior Vice President for Academic Affairs Joseph Glover, Senior Vice President and Chief Operating Officer Charles Lane, Vice President for Student Affairs David Kratzer, Vice President, General Counsel and University Secretary Jamie Lewis Keith, other members of the cabinet, Executive Assistant Cheryl May and Executive Assistant Rebecca Holt, members of the University of Florida Community, and other members of the public and the media.

3. Review and Approval of Minutes

The Committee Chair asked for a motion to approve the minutes of the May 23, 2014 committee meeting and November 3, 2014 committee meeting, which was made by Trustee Roulhac and Seconded by Trustee Brandon. The Chair asked for further discussion, after which he asked for all in favor of the motion and any opposed and the motion was approved unanimously.

4.0 Public Comment

There were no requests for public comment.

The Committee considered the following Action Items:

5.0 Action Items

EP1. Tenure Upon Hire

Provost Glover stated that there were 12 tenure upon hire cases for the Committee to act upon. There have been 61 confirmed faculty hires in the preeminence initiative to-date. The President has determined that the 12 faculty members satisfy the requirements for tenure and recommends them to the Board. For those individuals hired with faculty and administrative appointments, tenure attaches only to the faculty appointment. Provost Glover asked if there were any questions about any of the cases, all of which were discussed on the pre-meeting/call, and there were none.

Committee Chair Thomas asked for a motion to approve Action Item EP1, which was made by Trustee Roberts and Seconded by Trustee Brandon, for recommendation to the Board for its approval on the Consent Agenda. The Committee Chair asked for further discussion, of which there was none. The Committee Chair then asked for all in favor of the motion and any opposed, and the motion was approved unanimously.

EP2. Annual Fee Increases: Activity and Service, Athletic, Student Health and Transportation Access Fees for Academic Year 2015-2016

Committee Chair Thomas noted that in the pre-call/meeting, the Committee had a good discussion about this action item. The proposal is unanimously recommended by the fees committees and David Kratzer advised us that these committees have at least 50 percent student members. The Committee Chair asked if any Trustee would like for Vice President for Student Affairs, David Kratzer or Vice President of Business Affairs Curtis Reynolds to present the proposal again or to answer any questions. Having discussed this item fully, there were no additional questions.

Committee Chair Thomas asked for a motion to approve Action Item EP2, which was made by Trustee Heavener and Seconded by Trustee Kumar, for recommendation to the Board for its approval on the Consent Agenda. The Committee Chair asked for further discussion, of which there was none. The Committee Chair then asked for all in favor of the motion and any opposed, and the motion was approved unanimously.

EP3. Degree Program Terminations

Provost Glover stated that the College of Education has requested the termination of the Ph.D. and Ed.D. degrees in Marriage and Family Counseling and the Ph.D. and Ed.D. degrees in Mental Health Counseling. These programs will be subsumed under another existing degree program and have been streamlined into one major. These terminations were approved the Faculty Senate.

Committee Chair Thomas asked for a motion to approve Action Item EP3, which was made by Trustee Brandon and Seconded by Trustee Roberts, for recommendation to the Board for its approval on the Consent Agenda. The Committee Chair asked for further discussion, of which there was none. The Committee Chair then asked for all in favor of the motion and any opposed, and the motion was approved unanimously.

EP4. University of Florida Annual Accountability Report 2013-2014

Provost Glover stated that the University's Annual Accountability Report for 2013-2014 is comprised data on UF's financial resources, expenditures, personnel, enrollment, undergraduate and graduate education, and research and economic development activities and is submitted annually to the Board of Governors.

On the pre-call, the Committee asked for a summary of key advances and any issues reflected in the statistical tables at the beginning of the report. Provost Glover provided a summary of highlights of the report which is located in BoardVantage under Archives.

Committee Chair Thomas asked for a motion to approve Action Item EP4, which was made by Trustee Brown and Seconded by Trustee Brandon, for recommendation to the Board for its approval on the Consent Agenda. The Committee Chair asked for further discussion, of which there was none. The Committee Chair then asked for all in favor of the motion and any opposed, and the motion was approved unanimously.

EP5. University of Florida Regulation Amendments

Vice President, General Counsel and University Secretary Jamie Lewis Keith stated that the only regulation change being proposed is an amendment to UF Regulation 3.0372 to implement the fees that were authorized by EP2.

Committee Chair Thomas asked for a motion to approve Action Item EP5, which was made by Trustee Brown and Seconded by Trustee Brandon, for recommendation to the Board for its approval on the Consent Agenda. The Committee Chair asked for further discussion, of which there was none. The Committee Chair then asked for all in favor of the motion and any opposed, and the motion was approved unanimously.

EP6 and R14-141 Naming: "Center for Excellence in Early Childhood Studies" to "Anita Zucker Center for Excellence in Early Childhood Studies"

Committee Chair Thomas stated that in the pre-call, the Committee favorably discussed naming the Center for Excellence in Early Childhood Studies for Anita Zucker. Ms. Zucker has been a long-time and generous donor and supporter of the University and is deeply dedicated to early childhood education in Florida and South Carolina.

Committee Chair Thomas asked for a motion to approve Action Item EP6 and Resolution R14-141 – Naming – for the Board's approval on the Consent Agenda, which was made by Trustee Roulhac and Seconded by Trustee Heavener. The Committee Chair asked for further discussion, of which there was none. The Committee Chair then asked for all in favor of the motion and any opposed, and the motion was approved unanimously. The following Discussion/Informational Items were the addressed by the Committee:

6.0 Discussion/Informational Items

Committee Chair Thomas turned the Committee's attention to the information items. He asked each presenter to address a topic in turn:

- 6.1 **Admissions Update** On behalf of Vice President for Enrollment Management Zina Evans, Provost Joseph Glover presented an overview of the 2015 Freshmen Admission Applications as of November 1 2014.
- 6.2 **Faculty Senate Update** Faculty Senate Chair Pradeep Kumar gave accolades to President Machen for his contributions to the Machen Opportunity Scholarship and Shared Governance and thanked the faculty and Board of Trustees for the opportunity to serve as Chair of the Faculty Senate. Dr. Kumar announced his retirement in April 2015 and introduced Paul Davenport, a Distinguished Professor in the College of Veterinary Medicine, who will assume the role of Faculty Senate Chair in May 2015.
- 6.3 **Student Government Update** Student Body President Cory Yeffet distributed a pamphlet highlighting the accomplishments and initiatives of the Student Government.

Provost Glover noted the following new majors and centers and one name change, which are noted for information and do not require Board action.

- 6.4 **New Majors in the College of Liberal Arts and Sciences:**
 - 6.4.1 Bachelor of Arts in Biology (CIP Code 26.0101)
 - 6.4.2 Bachelor of Arts in Psychology (CIP Code 42.0101)

6.5 New Centers/Institutes:

- 6.5.1 Center for Landscape Conservation and Ecology (IFAS)
- 6.5.2 UF Center for Global Islamic Studies (College of Liberal Arts and Sciences)
- 6.5.3 UF Institute for Therapeutic Innovation (College of Medicine)
- 6.5.4 Center for Public Issues Education in Agriculture and Natural Resources (College of Agricultural and Life Sciences)
- 6.6 **College Changes: The College of Nursing Reorganization.**

Provost Glover stated that he had one other informational item for the Committee:

With support from President Machen and incoming President Kent Fuchs, two dean searches began this week.

The College of Liberal Arts and Sciences (CLAS) dean search: Elaine Turner, Professor and Dean of the College of Agricultural and Life Sciences is chairing the committee, whose members are: CLAS Professors Karen Bjorndal (Biology), Bonnie Efros (Humanities), and Leslie Thiele (Political

Science), Graduate Student Kevin Jenkins, Undergraduate Student Susan Webster, Journalism Dean Diane McFarlin, Win Phillis of the President's Office, and CLAS Alumnus Roderick Odom.

The College of Law dean search: Diane McFarlin, Dean of the College of Journalism and Communications will Co-chair the committee along with Robert Rhee, Law Professor. Other members are Law Professors Darren Hutchinson, Lea Johnston and William Page, Associate Dean for Students Rachel Inman, Law Lecturer Stacey Steinberg, Law Student Celeste Thacker, Law Alumni Michael Minton and Eugene Pettis, and Dean of the College of Health and Human Performance Michael Reid.

7.0 New Business

Committee Chair Thomas asked if there was any new business for the Committee.

Vice President, General Counsel and University Secretary Jamie Lewis Keith stated that the Slowdown Hoedown in honor of President Bernie Machen and First Lady Chris Machen will be at the Museum of Natural History from 6:00-8:30 p.m. Dress is "hoedown casual" or "business casual." Many former Trustees, including students and faculty, will be there in addition to our current Board and Cabinet.

Vice President Keith shared that everyone is very much looking forward to celebrating the extraordinary accomplishments and contributions of Bernie and Chris Machen and thanking them for their leadership of UF and friendship to all of us.

Vice President Keith also reminded the Trustees that, at breakfast on Friday, the Board will be hosting 14 distinguished UF faculty members who are elected Fellows of the National Academy of Sciences or Engineering, the Institute of Medicine, or the American Academy of Arts and Sciences—which are the most prestigious academic research societies in the U.S. and are held in high regard by the Association of American Universities.

The breakfast will begin at 8:15 a.m. and President Machen and Board Chair Steve Scott will welcome these faculty members at the beginning of the breakfast. Trustees are asked to arrive at Emerson Hall by 8:15 a.m. Becky Holt handed out a chart with pictures and a short excerpt from the biographies of the Board's guests.

8.0 Adjourn

After asking for any further discussion and hearing none, Committee Chair Thomas asked for a motion to adjourn, which was made by Trustee Roberts and a second which was made by Trustee Brandon and, with no further discussion desired, the motion was passed unanimously and the University of Florida Committee on Educational Policy and Strategy meeting was adjourned at 4:35 p.m. EST.

HONORARY DEGREE

CANDIDATE:

PHILLIP FROST, M.D.

NOMINATED BY:

Nicholas Bodor, PhD., D. Sc., D. Sc., h.c. (multi) Graduate Research Professor Emeritus UF College of Pharmacy and Executive Director, Center for Drug Recovery University of Florida

LETTERS OF SUPPORT PROVIDED BY:

Nicholas Bodor, PhD., D. Sc., D. Sc., h.c. (multi) Graduate Research Professor Emeritus UF College of Pharmacy and Executive Director, Center for Drug Recovery University of Florida

William H. Eaglstein, M.D. Past Professor and Chairman, Department of Dermatology University of Pittsburgh School of Medicine Emeritus Chairman, Department of Dermatology and Curtaneous Surgery University of Miami Miller School of Medicine

> Roger Kornberg, M.D. Nobel Laureate 2006 Stanford University Medical Center

Richard Lerner, M.D. Institute Professor The Scripps Research Institute

Eugene Van Scott, M.D., FAAD Past Chief, Dermatology Branch, National Cancer Institute Scientific Director for General Laboratories and Clinics, National Cancer Institute Professor of Dermatology, Temple University

UF FLORIDA

Call for Nominations

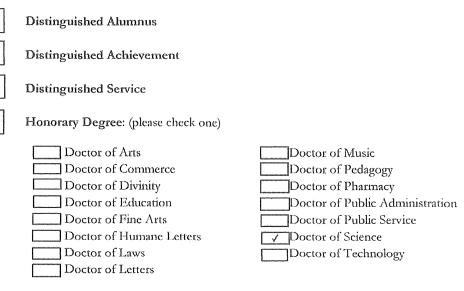
Nominator's Name:	Nicholas Bodor, Ph.D., D.Sc., D.Sc. h.c. (mulli)		
Department: Graduate	Research Professor Emeritus (active), UF College of Pharmacy and Executive Director, Center for Drug Discovery		
Address: c/o Bodor Laboratories, Inc.; 4400 Biscayne Blvd., Ste. 980, Miami FL 33137-3212			
Phone: (305) 571-8490	Fax: (305) 571-8492		
Email: bodor@cop.ufl.ed	U		
Signature of Nomina	tor: hich Month		

The Committee on Honorary Degrees, Distinguished Alumnus Awards and Memorials will consider nominations at its next meeting in March. This form and a complete nomination package should be mailed to the committee in care of Donna Stricker, President's Office, PO Box 113150 by MARCH 1.

1. General Information:

Nominee's Name:	Dr. Phillip Frost	
Affiliation:	Chairman of the Board, Teva Pharmaceutical Industries, Ltd.	
Business Address:	4400 Biscayne Blvd., 15th floor; Mlami, FL 33137-3212	
Home Address:	21 Star Island, Miami, FL 33139	
Phone: Home (305	5) 673-0029 Business (305) 575-6001	Fax: (305) 575-6016
Email: pfrost@thefros	sigrp.com	

Nominated for (PLEASE CHECK ONE):



2. Description

Please write a brief description of this individual's intellectual and professional achievements and attributes and attach it to this form.

3. Vita or Resume

Please attaché the nominee's vitae, resume or a biographical description.

4. Supporting letters or materials

Supporting letters or other materials that will help the committee understand the significance of this nominee's work, his/her contributions, and his/her impact on others can also be attached.



College of Pharmacy Phone: (305) 571-8490 Email: bodor@cop.ufl.edu Nicholas Bodor, Ph.D., D.Sc., D.Sc., h.c. (multi) Graduate Research Professor Emeritus (active) Executive Director, Center for Drug Discovery

December 2, 2013

Committee on Honorary Degrees UNIVERSITY OF FLORIDA Office of the President Box 113150 Gainesville, FL 32611-3150

Dear Committee Members:

I consider it a distinct honor to nominate **Dr. Phillip Frost** to receive an honorary Doctor of Science degree from the University of Florida. After reviewing the summary of his distinguished career and contributions to the state's economic, educational and cultural strength, I hope you will agree as well that this honor is more than appropriate.

Dr. Frost's standing as a world leader in the pharmaceutical industry is built on a foundation of dedication to education and scientific leadership combined with exceptional business acumen, as well as keeping service to country and community a primary focus. After receiving his M.D. from the Albert Einstein College of Medicine, Dr. Frost served as Lt. Commander for the U.S. Public Health Service from 1963 to 1965 at the National Cancer Institute, Dermatology Branch, in Bethesda, Maryland. He started his Florida-based career in dermatology in 1965 at Jackson Memorial Hospital before moving to the University of Miami School of Medicine, and then to Mount Sinai Medical Center in 1972 as Chairman of the Department of Dermatology. It was during this time that he also partnered with Michael Jaharis to acquire Key Pharmaceuticals. As Chairman of the Board, Dr. Frost steered the company's focus towards development of highly innovative design concepts such as a zero-order sustained release and transdermal ("drug patch") delivery systems, creating new products for the treatment of asthma (TheoDur[®]) and angina (NitroDur[®]). Key Pharmaceutical's success led to its being named the 1983 recipient of the first Florida Company of the Year Award, and its lucrative product line led to its 1986 merger with Schering-Plough.

In 1987, Dr. Frost founded IVAX Pharmaceuticals, a Miami-based manufacturer of generics. At the helm of IVAX he advanced the generic drug industry on a global scale, resulting in the availability of medicines at reduced cost to the public within the shortest feasible time period. I have worked directly with Dr. Frost in the development of numerous pharmaceuticals in the U.S. and Europe, often involving collaborations with UF's Center for Drug Discovery and Department of Pharmaceutics. His drive to bring beneficial drug products to the market to

The Foundation for The Gator Nation An Equal Opportunity Institution increase healthcare options available to the public have led to countless successes contributing to Florida's economic growth, evidenced by, among other things, IVAX Corporation being named the 1995 Florida Company of the Year. His success in developing the company led to its sale in 2006 to TEVA Pharmaceuticals, the world's largest generic drug manufacturer. Dr. Frost currently sits at the helm of TEVA, serving from Miami as its Chairman of the Board.

Before he became a major scientific and business leader in the pharmaceutical industry, Dr. Frost's research focus resulted in significant contributions to the fields of drug metabolism, dermatology and cancer therapies. Specifically, his work on psoriasis, viral infection, Kaposi's Sarcoma and other cancer states have resulted in nearly 80 papers from 1966 to 1984 in a variety of high-impact publications such as *Nature, Journal of the American Medical Association, The Lancet* and *Journal of the American Academy of Dermatology*, spurring further developments in these fields. He expanded his research collaborations with a 1970 Visiting Professorship at the Duke University School of Medicine, and other recognitions such as the 1970 J. N. Taub International Memorial Award for Research in Psoriasis resulted from his contributions. My own experience in working directly with Dr. Frost for six years in new drug development at IVAX Pharmaceuticals allowed me the opportunity to witness first-hand his in-depth understanding of all drug discovery and development projects and his exceptional ability to envision innovative formulations for generic drugs. As we all know by his track record, his perception to recognize the value of a project for both ethical and generic drugs has resulted in a great number of successes in the pharmaceutical marketplace.

However, Dr. Frost's work in worldwide healthcare is not limited to his direct business ventures. For example, he has served as a trustee of the Scripps Research Institute, an organization dedicated to tackling international health issues with the goal of improving quality of life globally. His expertise is highly respected and sought out to steer the national direction on a variety of issues, leading to appointments by President Ronald Reagan (National Cancer Advisory Board, 1986-1992) and President Bill Clinton (National Museum Services Board, 1993-2001). We have reaped the benefits of his advice a bit closer to home with his service from 1984–1990 on the University of Florida Health Science Center Board of Overseers – a role he also served at the University of Miami School of Medicine from 1981-1983. Other such appointments with local, state and national entities are simply too numerous to list here, but can be noted by a quick perusal of the attached curriculum vitae.

Dr. Frost's contributions have been recognized with such prestigious honors and awards as the 1993 Joseph P. Wharton Business Statesman Award, the 1994 Nova Southeastern University Entrepreneur of the Year Award, the 2000 Cutting Edge Award by the Greater Miami Chamber of Commerce, the Ernst & Young 2001 National Entrepreneur of the Year Award in Health Sciences, the 2007 South Florida CEO Business Leader of the Year in the Biomedical Industry and the 2010 Claude Pepper Memorial Award for Corporate Service in Healthcare. He has received an honorary Doctorate of Law degree from Barry University and an honorary Doctor of Science degree from Florida International University. His continued work and support in the field of dermatology has been recognized with the 2002 Dermatology Foundation of South Florida Lifetime Achievement Award for Community Service and Outstanding Philanthropy and Contributions to Dermatology, the 2006 National Psoriasis Award for Pioneering Work in Psoriasis, and the 2013 American Academy of Dermatology Philanthropy Award for Supporting

The Foundation for The Gator Nation
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Research in Dermatology, to name just a few. Perhaps Dr. Frost's contributions were most accurately summed up when the University of Miami Miller School of Medicine named him the 2011 recipient of the South Florida "Legend of the Year" Award.

Additionally, Dr. Frost and his wife Patricia are enduring advocates of Florida's initiatives in the fine arts and sciences, as evidenced by their active support of the Art Museum at Florida International University, the School of Music at the University of Miami, and the Miami Science Museum which is slated to open in 2015. Dr. Frost also serves on the Board of Regents of the Smithsonian Institution, again expanding his philanthropic support to reach a national and global audience.

The enclosed letters of support from leaders in the medical, educational and business community provide further testimony of Dr. Frost's contributions to his field, and to students and citizens throughout Florida.

Thank you very much for your consideration in providing Dr. Frost with this recognition of his vast contributions to the economic, educational and social betterment of Florida and the nation.

Yours sincerely,

Les Both

Nicholas S. Bodor, Ph.D., D.Sc., D.Sc., h.c. (multi) Graduate Research Professor Emeritus (active) and Executive Director, Center for Drug Discovery

> The Foundation for The Gator Nation An Equal Opportunity Institution

The following letters of support for Dr. Phillip Frost's nomination have been written by these leaders in the medical, educational and business communities:

William H. Eaglstein, MD: Dr. Eaglstein is a past professor and Chairman of Dermatology at the University of Pittsburgh and University of Miami Miller School of Medicine, where he was appointed Chairman Emeritus upon his retirement there in 2003. Since 2004 he has held executive positions in IVAX Dermatologicals Inc. (President), Teva Pharmaceuticals (Vice President of Research) and Stiefel, a GSK company (Vice President of New Product Assessment and External Research). Dr. Eaglstein has been a Robert Wood Johnson Health Policy Fellow, and was a founding member of the national multidisciplinary Wound Healing Society. He has served on numerous government health care policy panels, including the National Institute of Arthritis Advisory Panel, and as Chairman of the FDA Dermatology Advisory Committee. Closely involved with the evolving issues of health care delivery and policy, Dr. Eaglstein has worked directly with leading political figures in writing and submitting new health care laws for Congress.

Roger Kornberg, PhD: Dr. Kornberg is a professor of structural biology and the Mrs. George A. Winzer Professor in Medicine at the Stanford School of Medicine. Dr. Kornberg received the Nobel Prize in Chemistry in 2006 for his groundbreaking work in understanding how DNA in converted into RNA, a process known as "transcription." He published the first molecular snapshot of the protein machinery responsible – RNA polymerase – in action. This finding helped explain how cells express all the information in the human genome, and how that expression sometimes goes awry, leading to cancer, birth defects and other disorders. In addition to the Nobel Prize, Dr. Kornberg has received numerous other recognitions, such as an honorary degree from the University of Umea, Sweden, and the 2005 General Motors Cancer Research Award (Sloan Prize).

Richard Lerner, MD: Dr. Lerner retired from the post of President of the Scripps Research Institute in January 2012, after serving 25 years in the role. He currently holds faculty positions there as Lita Annenberg Hazen Professor of Immunochemistry, as well as a joint appointment in molecular biology with Scripps' Skaggs Institute for Chemical Biology. Dr. Lerner is considered the architect of the conception, design and creation of combinatorial antibody libraries, currently the most widely used of all libraries in the field of biochemistry and which enable a broadening of the scope of action of the immune system. He is also a pioneer in the development of catalytic antibodies, a strategy to accelerate and catalyse chemical reactions for which traditional methods are not efficient. Dr. Lerner holds honorary degrees from seven universities in Europe and America. Among other awards, he has received the Wolf Prize in Chemistry (Israel, 1995), the William B. Coley Award from the Cancer Research Institute (USA, 1999), the Paul Ehrlich and Ludwig Darmstaedter Prize (Germany, 2003) and the Prince of Asturias Award for Scientific & Technical Research (Spain, 2012).

Eugene J. Van Scott, MD, FAAD: Dr. Van Scott's distinction in dermatology is celebrated by more than 50 years of significant and noteworthy achievements. For more than 15 years, Dr. Van Scott served as the branch chief for Dermatology at the National Cancer Institute where he was an early mentor to Dr. Phillip Frost. He later spent two decades on the faculty of Dermatology at Temple University's Skin & Cancer Hospital, then several years at Hahnemann University in Philadelphia. Dr. Van Scott is co-founder of the Neostrata Company, Inc. He has served twice on the Board of Directors of the American Academy of Dermatology and helped to form several other dermatological groups such as the National Psoriasis Foundation, the Dermatology Foundation, and the Foundation for Ichthyosis and Related Skin Types. In 1972 Dr. Van Scott was honored with the Albert Lasker Clinical Medical Research Award for research in cancer chemotherapy. Among other distinctions, he has been named a 'Master in Dermatology' by the American Academy of Dermatology.

October 24, 2013

Committee on Honorary Degrees UNIVERSITY OF FLORIDA

Dear Committee Members:

I am pleased to write in support of Professor Nicholas Bodor's nomination of Phillip Frost, MD to receive an Honorary Doctorate of Science degree from the University of Florida. Dr. Frost's outstanding achievements both reflect the ideals and uphold the purposes of the university.

I am a past Professor and Chairman of the Department of Dermatology at the University of Pittsburgh School of Medicine and the Emeritus Chairman of the Department of Dermatology and Curtaneous Surgery at the University of Miami Miller School of Medicine. Since retiring from the University of Miami I have been in a "second career" aimed at developing drugs for skin diseases. My most recent full time role was as the vice-president in charge of new product opportunities for Stiefel, a GSK company. I continue to be involved in drug development at the Stanford School of Medicine, to review grant applications for NIH (NIAMS) and to consult with various drug companies.

I have known Dr. Frost for many years and from several perspectives. First, as a dermatology resident at the University of Miami School of Medicine, I was one of his students. Subsequently I was a coinvestigator with Dr. Frost when he served on the University of Miami Medical School faculty, investigating the mechanism-of- action of fluorouracil in skin precancers. Ultimately I worked with him as cofaculty member, and many years later as an employee, developing a dermatology drug line at Ivax Pharmaceuticals. I am also pleased to have become social friends with both Dr. Frost and his wife, Patricia.

Dr. Frost has a very insightful and creative mind. His interest in the fundamental biologic mechanisms underlying disease has always been combined with uncommon insight into how basic scientific understanding might be translated to the commercial world and ultimately the bedside. His open-mindedness and ability to see how findings and people in one "universe" might be productively combined with those of other "universes" has enabled him to make a wide range of contributions. For example, his early landmark work using cellular kinetic and microanatomical information to for the first time correctly classify the Icthyosiform Dermatoses (Arch Derm. 94:113-126, 1966) led to the his use of topical vitamin A acid treatment for these diseases (Topical Administration of Vitamin A. Acid for Ichthyosiform Dermatoses and Psoriasis. J.A.M.A. 207:1863-1866, 1969). This work also resulted in the first understanding of cellular kinetics in a host of skin conditions, including psoriasis and basal cell cancer (Benign and Malignant Skin Diseases in Humans. National Cancer Institute Monograph #30. 225-246, 1969), and to early works identifying the proliferating population of cells in the skin. He quickly recognized how to utilize the basic information his kinetic studies revealed to develop schedules to appropriately use chemotherapeutic agents such as Methotrexate to safely and effectively treat benign inflammatory conditions such as psoriasis (Weinstein, G.D., and Frost, P.: Methotrexate for Psoriasis, A New Therapeutic Schedule. Arch. Derm. 103:33-38, 1971). A similar pattern of basic investigation

followed by study to document bedside application can be seen in his work on testosterone metabolism and anti-androgen therapy.

In addition to such scholarly and applied works as described above, Dr. Frost created a free-standing dermatology training program at Mount Siani Hospital in Miami Beach, Florida, which trained many outstanding dermatologists, some of whom remained in academic medicine. This program was merged with the University of Miami's program after Dr. Frost's retirement from active participation.

I know that Dr. Frost's contributions in the area of pharmaceutical development and his contributions by way of institutional service have been well-described to you in the letters of others. However, I would like to add, as one who has observed Dr. Frost in many roles over the greater part of his life, that he has maintained an intensity of purpose and an infectious excitement over new knowledge that is unusual and that have influenced many individuals and institutions in Florida and far beyond. His accomplishments, contributions and educational influence seem to me highly consistent with the educational goals and purpose of a great public university. Thanks for your consideration.

Jun H Englsten William H. Eaglstein, M.D.

William H. Eaglstein, M.D. 15 Oak Hollow Way Menlo Park, CA 94025



STANFORD UNIVERSITY MEDICAL CENTER STANFORD, CALIFORNIA 94305-5126

ROGER D. KORNBERG WINZER PROFESSOR IN MEDICINE DEPARTMENT OF STRUCTURAL BIOLOGY TELEPHONE: (650)723-6988 E-MAIL: kornberg@stanford.edu FAX: (650) 292-2255

November 30, 2013

Committee on Honorary Degrees UNIVERSITY OF FLORIDA

Dear Committee Members:

I am writing in enthusiastic support of the nomination by Dr. Nicholas Bodor of Dr. Phillip Frost for an honorary Doctor of Science degree from the University of Florida. I can only add to the excellent nomination letter from Dr. Bodor that Dr. Frost is the leading biomedical entrepreneur of his generation. He combines brilliance in science, medicine, and business in an unparalleled way. His contributions to human health and to the pharmaceutical industry are monumental. He is richly deserving of the highest recognition by the University and the state of Florida. Indeed recognition of Dr. Frost will confer great honor upon the University and the state. I cannot imagine a better choice for the proposed degree.

Sincerely,

Noger Komber

Roger Kornberg Nobel Laureate 2006



10550 North Torrey Pines Road La Jolla, California 92037

December 2, 2013

Committee on Honorary Degrees University of Florida

Dear Sir or Madam:

It is an honor to endorse Dr. Nicholas Bodor's nomination of Dr. Phillip Frost for an honorary Doctor of Science degree from the University of Florida. I can think of no one more deserving. As you know, he began as an academic researcher with time at NIH where he made major inroads into understanding the pathogenesis of a variety of skin diseases. Thus, he was a highly regarded physician-scientist at that time in his life. He then went on to highly respected career in dermatology where, by accident, he entered the commercial sector. The rest is history. He became on of the world's most successful businessman in the pharmaceutical industry ultimately selling Ivax to Teva for billions of dollars. He, today, serves as Teva's chairman of the board. He together with his wife Pat has become one of the world's most generous donors to the arts, music, medicine and science. There are many philanthropists in the world, but his gifts are always uniquely creative and designed to do the maximum good. For instance, his recent multimillion-dollar gift to Oxford to train young Floridians is a case in point. Another is his support for the science museum in Miami. I could go on and on about Phil, but the case is so strong because all his visible deeds speak for themselves. You would do both him and the university an honor by granting this degree.

Best regards,

Richard A. Lerner

Richard A. Lerner Institute Professor

EUGENE J. VAN SCOTT, M.D. 3 HIDDEN LANE ABINGTON, PA 19001

PHONE (215) 884-1726 FAX (215) 884-2334 E-MAIL - EJVSMD@AOL.COM

October 21, 2013

Committee on Honorary Degrees UNIVERISTY OF FLORIDA

Dear Committee Members:

To have this opportunity to participate in supporting the nomination of Dr. Phillip Frost to receive an honorary Doctor of Science degree from University of Florida is a momentous honor for me.

I have had a sponsorship role in his career pursuit in dermatology; a role as co-investigator of epidermal cell kinetics in the genetic skin diseases known as the ichthyoses; and an observer of his extended career pursuits. His ever so many appointments, awards, societal activities are in the public record. His immense philanthropic generosity generally has been carried out quietly with minimal publicity.

I will address the astounding achievements of Phillip as an inventive scientist in interrelated pursuits.

In the over half century since Phillip and I first met he has, again and again, shown that he is exceptional: a creative scientist in bio-medicine, exceptional in perceiving the fundamentals of a disease/disorder; exceptional for inventiveness in his approach to organization efficiencies; for his ability to quickly understand new science and its application(s); for his boundless interests and immense energy; and exceptional for his creation of pharmaceutical entities beneficial to humankind in so many ways.

Phillip, as he has told me, began his career in science of the skin by way of a chance encounter with Albert Kligman, a brilliant clinical investigator who was both a mycologist and dermatologist, and a faculty member of the University of Pennsylvania where Phillip began a Residency in Dermatology. During a two-year interval as a clinical Associate in the Dermatology Branch of the National Cancer Institute, under my direction at the time, Phillip began a research career with intensity. The areas of his research indicated that his concept of science of the skin was beyond the conventional. He saw relationship of cutaenous events to metabolic events in other body systems. His early publications of research on glycolipid lipidosis, as in Fabry's Disease, were in non-dermatologic journals, e.g. Nature; J. Biol. Chem.; Arch Ophth; Arch. Int. Med.; Ann. Hum. Gen.

His research later on moved in accordance with his concern directly with dermatologic disorders. In the academic environment of the University of Miami he and coworkers were leading-edge researchers with new technologic tools of the day. His academic

positional advancement moved quickly so that in 1972 he held the appointment of Chairman, Department of Dermatology, Mount Sinai Medical Center of Greater Miami.

Research coming from The Department of Dermatology at Mount Sinai under the direction and guidance of Phillip reflected a keen interest in how pharmacologic agents performed against several dermatologic diseases. Many drugs attracted the interest of Phillip and his research partners. The list includeds retinoic acid, fluorouracil, estrogens, progesterone, androgens, methotrexate, corticosteroids, non-steroidal anti-inflammatory drugs, mycophenolic acid, butylated hydroxytoluene, anti-bacterial and anti-viral substances.

Phillip had not only an intense interest in pharmacologic action of drugs, in their metabolism and in their clinical use but, of great importance to him was the availability of effective drugs for the many patients who could benefit from their use. His background in dermatolgy and his knowledge of functional properties of the skin were creatively used by Phillip in his directing the course of Key Pharmaceuticals. His knowledge that some drugs having desirable systemic effect could be delivered in sustained measured dose by transcutaneous route from a patch having the appropriate vehicle. This knowledge was put to use in making a topical formulation containing theophylline for treatment of asthma; and a topical formulation containing nitroglycerin for treatment or prevention of angina. Both products were widely used upon their introduction into the market by Key Pharmaceuticals. The founding and development by Phillip of IVAX Corporation, and its incorporation into TEVA Pharmaceuticals were realizations of long-term objectives of his to make available pharmacologic agents at reasonable cost to more and more of patients globally in need of the benefits of those agents.

Currently Phillip is engaged in bringing discoveries, which have been made in many areas of biomedical science, into a corporate relationship to form a company having substantial potential in diagnostic and therapeutic applicability to a wide spectrum of diseases. Building such an entity requires creative talents beyond the conventional, creativity in biomedical science and creativity in organizational science (art?), and depth of experience in both, all which Phillip has an abundance of.

I have followed closely the professional life of Phillip since its early time in 1963. The scientific achievement and capabilities of Phillip are admirable to me, as is his commitment to relentlessly pursue noble objectives to control diseases and disorders of humankind. I am proud of him, his achievement, his goals, and without reservation enthusiastically support his receiving an Honorary Degree of Science from the University of Florida.

Sincerely,

Eugene J. Van Scott, M.D. Private Practice in Pharmaceutical Research

Former Positions Chief, Dermatology Branch, National Cancer Institute Scientific Director for General Laboratories and Clinics, National Cancer Institute Professor of Dermatology, Temple University

CURRICULUM VITAE

NAME	Phillip Frost, M.D.
DATE OF BIRTH	November 11, 1936
PLACE OF BIRTH	Philadelphia, Pennsylvania
PRESENT ADDRESS	4400 Biscayne Boulevard Miami, Florida 33137-3227 305/575-6001
EDUCATION	
1953-1957	University of Pennsylvania, Philadelphia, PA; B.A.
1955-1956	University of Paris, Paris, France
1957-1961	Albert Einstein College of Medicine, New York, NY; M.D.
1963-1965	Clinical Associate, National Cancer Institute

POSTGRADUATE POSITIONS

1961-1962	Internship, Montefiore Hospital, New York, NY
1962-1963	Resident in Dermatology Hospital of the University of Pennsylvania Philadelphia, PA
1963-1965	Clinical Associate, Dermatology Branch, National Cancer Institute, National Institutes of Health Bethesda, Maryland
1965-1966	Senior Resident, Jackson Memorial Hospital Miami, Florida
1966-1972	Assistant Professor of Dermatology University of Miami School of Medicine Miami, Florida
1972-1990	Chairman, Department of Dermatology Mount Sinai Medical Center of Greater Miami Miami Beach, Florida

POSTGRADUATE POSITIONS (Continued)

1991-2005	Clinical Professor of Dermatology University of Miami School of Medicine Miami, Florida
MILITARY SERVICE	
1963 - 1965	Lt. Commander, U.S. Public Health Service, July 1963-June 1965 Stationed at the National Cancer Institute, Dermatology Branch Bethesda, Maryland
BUSINESS ACTIVITIES	
1972-1986	Chairman of the Board Key Pharmaceuticals
1980-1985	Director, Pan American Bank, Inc.
1982-1988	Director, American Bakeries Corporation
1983-1998	Director, American Exploration Corporation
1984-1987	Director, Florida Federal Savings & Loan Association
1987-2006	Chairman of the Board and Chief Executive Officer, IVAX Corporation
1989-1991	Director, CEO Board of Advisors University of Southern California School of Business Administration
1989-1994	Director, IAF Biochem International
1989-2000	Vice Chairman of the Board and Director, North American Vaccine Corporation
1992-1995	Director, Enterprise Florida Capital Partnership
1992-1995	Chairman, Enterprise Florida Innovation Partnership
1992-2003	Chairman, Whitman Education Group, Inc.
1992-2008	Governor, American Stock Exchange
1994-1997	Director, NaPro Bio Therapeutics Inc.
1996-1998	Vice Chairman, Pan Am Corporation
1996-1999 9/9/2013	Director, German American Chamber of Commerce

BUSINESS ACTIVITIES (Continued)

1996-2002	Vice Chairman, Continucare Corporation
1996-2009	Director, Northrop Grumman Corporation
2001-2002	Director, Center for Blood Research, Harvard Medical School
2001-2002	Director, Ladenburg Thalmann Financial Services
2001-2005	Co-Vice Chairman, American Stock Exchange
2001-2006	Chairman, IVAX Diagnostics
2002-2004	Director, Ladenburg Thalmann Financial Services
2004-2011	Director, Continucare Corporation
2004-2012	Trustee, Scripps Research Institute
2005- 2007	Director, Cellular Technical Services
2005-2007	Director, Castle Brands, Inc.
2006-2007	Director, Protalix Corporation
2006-2010	Vice Chairman of the Board, Teva Pharmaceutical Industries Ltd.
2006-PRESENT	Chairman of the Board – Ladenburg Thalmann Financial Services
2007–2009	Chairman of the Board, Ideation Acquisition Corporation
2007-2011	Director, Etamota Corporation (formerly Atomate)
2007-PRESENT	Chairman of the Board & Chief Executive Officer, OPKO Health, Inc.
2008-2011	Member, AMC FMR LLC
2008-PRESENT	Director, Cocrystal Discovery, Inc.
2008-PRESENT	Chairman of the Board, PROLOR Biotech, Inc. (formerly Modigene)
2008-PRESENT	Director, Castle Brands, Inc.
2009-2012	Member, ELX Futures LLP
2010-PRESENT 9/9/2013	Director, Fabrus LLC

BUSINESS ACTIVITIES (Continued)

2010-PRESENT	Chairman of the Board, Teva Pharmaceutical Industries, Ltd.
2011-PRESENT	Director, Morgan Solar, Inc.
2013-PRESENT	Director, TransEnterix
APPOINTMENTS	
1981-1983	Board of Overseers, University of Miami School of Medicine
1983-PRESENT	University of Miami Board of Trustees, 1997-2001 Vice Chairman 2001-2004 Chairman
1984-1990	Board of Overseers, University of Florida School of Medicine, Health Sciences Center
1986-1992	Member, National Cancer Advisory Board – National Cancer Institute Appointed by President Ronald Reagan
1991-PRESENT	Board of Trustees, Mount Sinai Medical Center of Greater Miami
1993-2001	Member, National Museum Services Board Appointed by President Bill Clinton
1994-1996	Chairman, University of Miami Technology Transfer Committee
1994-1998	Trustee, Miami Heart Research Institute
1994-2001	Board of Trustees, Vice President's Residence Foundation – Appointed by Vice President Al Gore
1997-PRESENT	Florida Council of 100
2006-2010	Appointed by Congress, Citizen Regent of the Board of Regents of the Smithsonian Institution
2010-PRESENT	Board of Governors, Tel Aviv University
2010-PRESENT	Member, Scientific Advisory Council of the Foundation for Development of the Center of Research and Commercializing of New Technologies – Skolkovo, Russia
2013-PRESENT	Founding Member, Shanghai Institute for Advanced Immunochemical Studies (SIAIS)
9/9/2013	

SPECIAL ACTIVITIES

Co-Director, Research Committee on Hyperproliferative Diseases of Skin, National Program for Dermatology

Editorial Consultant for "Archives of "Dermatology", "Journal of

Investigative Dermatology", "Cancer Chemotherapy "Reports", "Journal of Clinical Endocrinology and Metabolism"

HONORS / OTHER SPECIAL SCIENTIFIC RECOGNITION

1957	Mayor of the City of Philadelphia Scholarship Award to University of Pennsylvania
1957	Bokser Scholarship Award to Albert Einstein College of Medicine
1970	Elected to Endocrine Society
1970	Foundation Prize Thesis; South Atlantic Association of Obstetricians and Gynecologists, with Doctors H. Averette and G. Weinstein: "Audioradiographic Analysis of Cell Proliferation Kinetics in Human Genital Tissues"
1970	Visiting Professor, Duke University School of Medicine
1970	J. N. Taub International Memorial Award for Research in Psoriasis
1977	The Silver Award for Original Research for the Scientific Exhibit for a Presentation entitled: "Goeckerman Therapy Revisited: New Approaches to the Use of UVB and Tar for the Therapy of Psoriasis", at the Annual Meeting of the American Academy of Dermatology in Dallas, Texas, December 4-7.
1983	Maimonides Award from the Albert Einstein College of Medicine
2002	Dermatology Foundation of South Florida Lifetime Achievement Award for Community Service and Outstanding Philanthropy and Contributions to Dermatology
2006	National Psoriasis Award for Pioneering Work in Psoriasis
2010	FIRST (Foundation for Ichthyosis & Related Skin Types) Award for Appreciation of Commitment to the Ichthyosis Community
2013	American Academy of Dermatology Philanthropy Award for Supporting Research in Dermatology

<u>AWARDS</u>

1983	Chairman, Key Pharmaceuticals recipient of the First Florida Company of the Year Award
1993	Business Statesman Award, Annual Wharton Business School
1993	Doctor of Science Degree, Honoris Causa, Florida International University
1993	Master Entrepreneur of the Year Award, Ernst & Young
1994	Entrepreneur of the Year, Nova Southeastern University
1995	Chairman, IVAX Corporation Recipient of The Florida Company of the Year Award
2000	2000 Cutting Edge Award by the Greater Miami Chamber of Commerce
2001	Entrepreneur of the Year Award in Health Services, Ernst & Young National Award
2001	Honorary Doctorate of Law Degree, Barry University
2002	Entrepreneur Ernst & Young USA Award – Received in Monte Carlo (Representing the USA)
2004	Business Hall of Fame of South Florida Award, Florida Council on Economic Education for Outstanding Leadership in Business
2005	American Stock Exchange Award as Co-Vice Chairman for Exceptional Services
2005	American Friends of Rabin Medical Center Honoree – New York, NY
2007	The Beacon Council, Jay Malina Award
2007	Miami Coalition of Christians and Jews Silver Medallion Award for Dedication to Education, the Arts, Science and Economic Development
2007	South Florida CEO 2007 Business Leader of the Year Award for Most Growth in the Biomedical Industry
2010	South Florida Business Journal Ultimate CEO of the Year Award
2010	Claude Pepper Memorial Award for Corporate Service in Healthcare
2011	South Florida Legend of the Year Award
2012	Lifetime Achievement Award for Outstanding Work in the Community, Miami Today
9/9/2013	

AWARDS (Continued)

2013 Miami Beach Chamber of Commerce Lifetime Achievement Award

MEMBERSHIPS

American Dermatologic Association American Academy of Dermatology Dade County Medical Association

MEDICAL LICENSURE

California Florida New York Pennsylvania

1965 Diplomate, American Board of Dermatology

CIVIC/PHILANTHROPIC ACTIVITIES

1980-PRESENT	Trustee, Miami Jewish Home & Hospital for the Aged
1982-1984	President, Friends of Lowe Art Museum, University of Miami
1982-1984	Executive Committee, Foundation of Jewish Philanthropies
1982-1985	Trustee, Foundation of Florida International University
1983-1985	Trustee, Bass Art Museum
1983-1985	Trustee, Vizcaya Foundation, Vizcaya Museum
1983-PRESENT	Trustee, University of Miami 1997 Vice Chairman 2001 Chairman
1984-1986	Board of Overseers, University of Florida School of Medicine
1985-1987	Board of Governors, Dade Foundation
1985-1987	Trustee, United Way
1988-1990	Board of Directors, Miami Heart Institute Foundation
1995-2001	Board of Directors, Friends of Art & Preservation

CIVIC/PHILANTHROPIC ACTIVITIES (Continued)

1995-2002	Board of Directors, National Museum & Library Services Board
1997-PRESENT	The Florida Council of 100
2007-PRESENT	Chairman, Temple Emanu-El Board of Directors
2009-PRESENT	Director, Federal Health Board

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- 13. Weinstein, G.D., and Frost, P.: Cell Proliferation Kinetics in Benign and Malignant Skin Diseases in Humans. <u>National Cancer Institute Monograph #30</u>. 225-246, 1969.
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- 15. Klaus, S., Weinstein, G.D., and Frost, P.: Localized Epidermolytic Hyperkeratosis: A Form of Keratoderma of the Palms and Soles. <u>Arch. Derm. 101</u>:272-275, 1970.
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REGULATIONS OF THE

UNIVERSITY OF FLORIDA

1.201 Leaves.

(1) The following describes the leaves provided to University of Florida employees. Also refer to the University of Florida Regulation 5.0761 for leave provisions for interns, residents, and fellows in the College of Medicine and University of Florida Regulation 6.011 for leave provisions for county extension employees.

(a) For the purposes of this regulation, Executive Service shall comprise the President and the members of Technical, Executive, Administrative, and Managerial Support (TEAMS) who hold the title of Vice President or who are otherwise designated by the University president to receive Executive Service benefits.

(2) Each employee is expected to work the number of hours in the employee's established workweek unless on approved leave.

(3) The minimum workweek is forty (40) hours for full-time employees. Holiday pay (maximum of eight (8) hours) and paid leave are not considered overtime and are paid at the employee's regular pay rate. Approved leave shall be adjusted to ensure an employee's workweek will not exceed the employee's full-time-equivalent (FTE) appointment.

(4) Compensatory leave shall consist of the following types and such unused leave shall be paid as follows:

(a) Overtime compensatory leave is provided in lieu of payment for overtime for nonexempt University Support Personnel System (USPS) and non-exempt TEAMS employees at the rate of one and one half times the total hours worked beyond forty (40) or by crediting the

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employee with up to one hundred twenty (120) hours of overtime compensatory leave, which is earned at one and one-half hours for each hour of overtime worked. Overtime compensatory leave is only available to non-exempt USPS and non-exempt TEAMS employees and is not available to any other employees.

1. Overtime shall be paid no later than the end of the following pay period, unless accrued as overtime compensatory leave.

2. If the employee separates from the University, or accepts another position at the University with an exempt designation, such leave shall be paid at the employee's regular rate of pay. An employee who reassigns, promotes, or accepts a demotion to another position in a work area paid by a different account number will be paid for unused overtime compensatory leave at the employee's regular rate of pay. If an employee reassigns, promotes, or accepts a demotion to another position within the same department, cash out is at the department's discretion.

3. Upon reaching one hundred twenty (120) hours of overtime compensatory leave, the employee must either receive cash payment for additional hours of overtime worked or use accrued overtime compensatory leave credits before receiving further overtime compensation in the form of compensatory leave.

4. The President or President's designee may elect to pay or require an employee to use any or all of the employee's accrued overtime compensatory leave at any time. Typically, with approval from the President or designee, overtime compensatory leave shall be used or cashed out prior to the end of each fiscal year and/or before any scheduled salary increase.

(b) Regular compensatory leave shall be provided to a USPS exempt employee for work beyond forty (40) hours on an hour-for-hour basis. The University will pay for unused regular compensatory leave with the approval of the President or designee. The President or

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designee has the authority to grant approval to an employee to retain regular compensatory leave in excess of one hundred twenty (120) hours for a period not to exceed six (6) months in circumstances involving natural disasters and other extraordinary situations that last for an extended period of time which would prevent employees from using their accrued compensatory leave.

1. When a USPS employee moves within the University of Florida from a position in a class that accrues regular compensatory leave credits to another position which also accrues regular compensatory leave, any unused regular compensatory leave will be transferred.

2. When a USPS employee moves from an exempt class that accrues regular compensatory leave to a USPS class that does not accrue regular compensatory leave or accepts a position in TEAMS, any unused regular compensatory leave will be transferred. In such cases, the employee must use regular compensatory leave before using vacation leave.

3. Regular compensatory leave shall not be transferred to a faculty position. As a result, each supervisor should make a reasonable effort, whenever practical, to allow an employee to use regular compensatory leave credits as requested before the employee transfers to a faculty position.

(c) Special compensatory leave is provided on an hour-for-hour basis to USPS and non-exempt TEAMS personnel as follows:

1. Special compensatory leave is provided to compensate an employee for hours over his or her FTE that are attributed to a holiday in the workweek when the employee observed the holiday and worked additional hours in the workweek or when the holiday falls on the employee's regularly scheduled day off or the employee is required to work the holiday and the employee's work schedule is not adjusted accordingly within the workweek.

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2. Special compensatory leave is provided to compensate an employee when administrative leave for jury duty or court appearance provided in subsections (16)(a) and (b) below and the employee's time worked hours exceed his or her FTE in the workweek.

3. Special compensatory leave is provided to an employee required to perform essential duties during an emergency closing for the hours worked during the closing if the employee's work schedule is not adjusted accordingly within the workweek.

4. The President or designee has the authority to pay or require an employee to use any or all of the employee's accrued special compensatory leave at any time in order to provide for the efficient management of the unit, to conserve funds, or to provide savings to the University. If the employee separates, the employee shall be paid for all unused special compensatory leave at the employee's regular rate of pay. An employee who reassigns, promotes, or accepts a demotion to another position in a work area paid by a different account number will be paid for all unused special compensatory leave at the employee's regular rate of pay. If an employee reassigns, promotes, or accepts a demotion to another position within the same department, cash out is at the department's discretion based on budget considerations. With approval from the President or designee, special compensatory leave shall be used or cashed out prior to the end of each fiscal year and/or before any scheduled salary increase.

5. An employee who is unable to work due to a compensable workers' compensation injury and is receiving salary indemnification benefits shall not be eligible for special compensatory leave or any paid holiday benefit.

(5) An employee shall be paid proportionate to the FTE in pay status for all holidays designated for University employees.

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(6) Leave shall be accrued while in pay status and shall be credited on the last day of that pay period or, in the case of separation, on the last day the employee is on the payroll.

(7) During an approved leave of absence for parental, foster care, medical, or military reasons, an employee may use accrued leave to continue the contributions to State benefits and other expenses.

(8) Unless agreed otherwise, an employee shall be employed in the same or similar status upon completion of the approved leave period. While on paid leave, an employee may not be employed elsewhere unless the requirements for outside activity and extra compensation have been met.

(9) Sick leave accrual for full-time employees shall be as follows with proportionate accrual for less than full-time.

Hours Accrued During

	fiours recruce During	
	Pay Period	
	Monthly	Biweekly
Faculty (except for Postdoctoral Associates)	8.667	4
TEAMS (except for	8.667	4
Executive Service)		
Executive Service	10.833	5
USPS	8.667	4

(a) Sick leave shall be accrued before use unless available through a sick leave pool.

There is no maximum on the amount of sick leave that can be accrued.

(b) Sick leave is authorized for the following purposes:

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1. The employee's personal illness, injury, exposure to a contagious disease, a disability where the employee is unable to perform assigned duties, or appointments with health care providers.

2. The illness, injury, appointments with health care providers, or death of a member of the employee's immediate family.

3. An "immediate family member" shall be defined as an employee's spouse, domestic partner, great-grandparents, grandparent, parent, brother, sister, child, grandchild<u>or</u> <u>great-grandchild</u>; or the <u>great-grandparent</u>, grandparent, parent, brother, sister, child, grandchild, or great-grandchild of the employee's spouse or domestic partner, or the spouse or domestic partner of any of them. This also includes individuals for whom the employee is the current legal guardian.

(c) Notice of absence due to illness, injury, disability, or exposure to a contagious disease, shall be given on the first day of absence.

(d) An employee shall not be paid for any unused sick leave upon separation, and such leave shall be forfeited unless the employee is recalled by the University within 365 days after a formal University layoff. Notwithstanding the foregoing, upon separation from University employment as a result of retirement on or before June 30, 2016, an employee with ten (10) or more years of creditable service who was hired prior to April 1, 2010, shall be paid for one-fourth of unused sick leave up to a total of 480 hours.

(e) An employee at another university in the Florida State University System or State of Florida agency who accepts employment at the University within thirty-one (31) days may transfer up to eighty (80) hours of accrued sick leave.

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Upon termination, any leave accepted in transfer shall be forfeited or cashed out in keeping with the provisions of this regulation.

(f) Upon reemployment with the University within 180 days, any sick leave paid at separation shall be restored provided the employee repays the full amount of any lump-sum payment received for accumulated sick leave credits within sixty (60) days of reemployment. An employee who was not paid for any unused sick leave shall have such forfeited sick leave restored.

(g) Postdoctoral Associates do not accrue sick leave.

(10) Vacation leave for full-time employees shall be as follows with proportionate accrual for less than full-time. Any exceptions other than those listed below will require approval of the Vice President over the area and the Vice President for Human Resource Services. An academic year (thirty-nine (39) weeks) employee, a Developmental Research School employee, an employee appointed for less than nine (9) months, and Postdoctoral Associates shall not accrue vacation leave. Hospitalist faculty members do not accrue vacation leave because of the unique nature of their duties and work schedules. Hours of accrual for USPS employees are based on years of creditable service, and such service shall be awarded as one (1) month of service credit for each calendar month that the employee is on the salaried (non-OPS) payroll of the University, on the salaried (non-OPS) payroll of a State agency prior to January 6, 2003, or on the salaried (non-OPS) payroll of these institutions while on authorized unpaid leave during the indicated time periods.

Hours Accrued DuringYearendMaximumBiweekly Pay PeriodMaximumLifetime Payment

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Faculty		6.769	352	200
(except for				
Postdoctoral Associates				
and Hospitalists)				
TEAMS (except for		6.769	352	200
Exec	utive Service)			
Executive Service		9.195	352	200
USPS (Months of Service)				
	060	4	240	200
	61 to 120	5	240	200
	Over 120 months	6	240	200

(a) Vacation leave shall be accrued prior to use unless vacation leave is advanced by the President or designee.

(b) Employees may accrue vacation leave in excess of the year end maximum during a calendar year. Employees with accrued vacation leave in excess of the year end maximum, shall have any excess converted to sick leave on an hour-for-hour basis at a time or times in the following calendar year to be designated by the University. The President or designee is authorized to grant approval to an employee to retain vacation leave in excess of the year end maximum in circumstances involving natural disasters and other extraordinary situations lasting for an extended period of time that prevent the employee from using vacation leave.

(c) An employee who separates from employment shall be paid for all unused vacation leave hours up to the <u>lifetime</u> maximum payment allowed for the employee's pay plan.
 Upon recall by the University within 365 days after a formal University layoff, the employee

shall have all unpaid vacation leave restored, and any vacation leave paid at time of separation also shall be restored upon repayment.

1. Upon entering into the Deferred Retirement Optional Program (DROP), an employee may elect to be paid up to the maximum payment allowed of his or her unused vacation leave. Such payment, along with any additional payment to be received upon separating from the University (end of DROP), shall not exceed the maximum payment associated with the employee's established pay plan upon entering DROP.

(d) An employee at another university in the Florida State University System or State of Florida agency who accepts employment at the University of Florida may within thirty-one(31) days transfer up to eighty (80) hours of accrued vacation leave.

(e) Upon moving from a vacation leave-accruing position to a position that does not accrue vacation leave, the employee shall be paid for unused vacation leave up to the maximum lifetime payment allowed for the employee's pay plan, unless the employee elects to retain all unused vacation leave, for up to two (2) years.

(f) Upon reasonable notice, an employee shall be required to use any part of his or her accrued vacation leave at any time deemed advisable by the President or designee based on the effective functioning of the unit, the efficient use of available personnel, and budget.

(11) Compulsory medical leave provisions shall be consistent with the following:

(a) Medical certification by a health care provider designated or approved by thePresident or designee shall be required.

(b) Notice shall be provided to the employee identifying duration of the leave, the conditions for return to the position, and whether such leave shall count toward FMLA entitlements.

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(c) The employee is allowed to use paid leave during compulsory leave to continue the contributions to benefits and other expenses.

(d) Unless agreed otherwise, an employee shall be employed in the same or similar status upon completion of the approved leave period and upon receipt of a current medical certification that the employee is able to perform assigned duties.

(e) Employees who fail to meet the conditions of the compulsory leave or who fail to obtain medical certification and are unable to perform duties shall be offered part-time employment, placed on unpaid leave or have such leave extended, requested to resign, or be dismissed for inability to perform the duties of the position.

(12) Employees are provided with twelve (12) workweeks of Family and Medical Leave within a twelve (12) month period in compliance with the Family and Medical Leave Act (FMLA) of 1993 (Public Law 103-3) and the Final Regulations of the Family and Medical Leave Act of 1993 (29 CFR Part 825). All employees are eligible including OPS employees who have worked at least twelve (12) months, which need not have been consecutive, and who have worked at least 1250 hours in the twelve (12) months prior to the leave. The fiscal year of July 1 to June 30 is considered the twelve (12) month period. Faculty, TEAMS, Executive Service, and USPS employees may use paid leave for an FMLA event, and such shall be counted toward the entitlement. If at the end of the leave period, an employee is unable to return from leave to work full-time and perform the duties of the position, the President or designee shall offer the employee part-time employment, place the employee on unpaid leave, extend the leave of absence, or dismiss the employee for inability to perform the duties of the position.

(13) Parental Leave

(a) Eligible employees shall be provided with up to six (6) months unpaid parental leave during which time the employee may use paid leave when the employee becomes a biological or adoptive parent. OPS employees, including Postdoctoral Associates, are not eligible for parental leave beyond their FMLA entitlement and are not eligible for paid parental leave. Parental leave is also provided to an employee who is a domestic partner to an expected biological or adoptive parent. Parental leave may begin two (2) weeks prior to the expected date of the child's arrival unless otherwise mutually agreed to by the President or designee and the employee.

(b) A Faculty, TEAMS, or USPS employee may be advanced up to six (6) weeks of sick or vacation leave to be used in connection with the birth or adoption of a child or the initial placement of a child in the foster care of the employee. The following guidelines would apply:

1. The paid parental leave may be used in conjunction with other accrued leave up to the six (6) months currently provided in University regulation and policy. The paid parental leave may not be used to extend the six (6) months of leave (paid and unpaid) currently allowed.

2. The employee may work part-time during the paid parental leave with agreement by the supervisor.

3. The leave may not be used during periods when the employee would not otherwise be assigned duties or be in pay status.

4. The employee will be required to repay the leave hours advanced within a three (3) year period from the first date the leave is used. Upon the employee's separation from the University, the number of hours of parental leave taken will be deducted from any sick and/or vacation leave balance or other payment, if owed by the University under other provisions of this regulation, prior to the payment being made. If the paid parental leave taken exceeds the

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available balance of unused leave that is payable, if any, at the time of separation, the employee will be required to repay the cost of the difference of the hours that have not been repaid.

(14) Up to six (6) months of leave may be granted to an eligible employee for his or her serious personal health condition or when he or she needs to care for a member of his or her "immediate family" as defined under subparagraph (9)(b)3 above with a serious health condition, which may be extended up to one (1) year for extenuating circumstances.

(15) Up to twelve (12) months of leave without pay may be granted for personal reasons to an eligible employee, which must be taken as a complete leave without pay.

(16) Employees provided paid administrative leave shall not exceed forty (40) hours during the workweek. Administrative leave is not accrued.

(a) Administrative leave for jury duty shall not exceed the number of hours in the employee's normal workday. If jury duty does not require absence for the entire workday, the employee shall return to work immediately upon release by the court. If the jury duty does not coincide with the regular work schedule, the employee shall be granted administrative leave based on the total hours served on jury duty and such leave shall be granted on the next scheduled work shift. Any jury pay shall be retained by the employee.

(b) Administrative leave shall be provided to an employee summoned as a fact witness in a matter not involving personal interests. Witness pay shall be retained by the employee. Administrative leave shall not be provided to an employee serving as an expert witness.

(c) Administrative leave for athletic competition in Olympic events shall be provided under the same conditions accorded to state employees in Section 110.118, Fla. Stat.

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(d) Administrative leave up to two (2) days shall be provided to a USPS or TEAMS employee upon the death of an immediate family member.

(e) Administrative leave shall be provided for official emergency closing of University facilities. Special compensatory leave shall be provided to eligible USPS and TEAMS employees required to perform essential services during the emergency closing. Only employees scheduled to work during the time of the emergency closing shall be provided leave.

(f) The President or designee shall provide administrative leave for Florida Disaster
 Volunteers under the same conditions accorded to state agency employees in Section 110.120,
 Fla. Stat.

(g) The President or designee may grant up to two (2) days of administrative leave for civil disorder or disaster for an employee who is a member of a volunteer emergency response team based on the nature of the duties performed by the employee and the location and nature of the civil disorder or disaster.

(h) The President or designee may provide administrative leave up to two (2) hours for voting in public elections upon the request of the employee and based upon the nature of the employee's duties and the location of the polling place.

(i) The Vice President for Human Resource Services or designee in conjunction with the appropriate vice president or designee may place an employee under investigation on administrative leave or may reassign the employee pending the conclusion of the investigation pursuant to University of Florida Regulations 3.045, 3.046 and 7.048.

(j) The Vice President for Human Resource Services or designee in conjunction with the appropriate vice president or designee may place an employee on administrative leave or may reassign the employee between the notice of reduction in pay, suspension, layoff or dismissal and the effective date of such action pursuant to University of Florida Regulations 3.045, 3.046, and 7.048.

(k) The Vice President for Human Resource Services or designee in conjunction with the appropriate vice president or designee may place an employee on administrative leave when the employee's presence in the workplace may result in damage to property, or injury to the employee or others.

(17) Military Leave – Federal and state laws shall govern the granting of military leave and the employee's reemployment rights as follows:

(a) Disabled Veterans Reexamination or Treatment—An employee who has been rated by the Veterans Administration to have incurred a service-connected disability and has been scheduled by the Veterans Administration to be reexamined or treated for such disability shall, upon presentation of written confirmation of having been so scheduled, be granted administrative leave or leave not affecting accrued leave balances for such reexamination or treatment without loss of pay, benefits, or efficiency rating, not to exceed six (6) days in any calendar year.

(b) Examinations for Military Service—Upon presentation of a copy of the employee's official orders or appropriate military certification, an employee who is ordered to appear for an examination for entrance into the military service shall be granted administrative leave or leave not affecting accrued leave balances for this purpose.

(c) National Guard State Service - Upon presentation of a copy of the employee's official orders or appropriate military certification, an employee who is a member of the Florida National Guard shall be granted leave with pay on all days when ordered to active service by the state. Such leave with pay shall not exceed thirty (30) calendar days at any one time. Such leave

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will be counted as administrative leave or leave not affecting accrued leave balances and shall be without loss of time or performance rating.

1. A copy of the official orders shall be filed in the employee's personnel file.

2. Any absence in excess of thirty (30) calendar days may, upon request by the employee and approval by the supervisor, be covered by accrued vacation, sick or compensatory leave.

3. If not requested by the employee or approved by the appropriate supervisor as vacation or compensatory leave, such absences in excess of thirty (30) calendar days shall be approved as leave without pay.

(d) Other Military Leave - Upon presentation of a copy of the employee's official orders or appropriate military certification, an employee, except an employee who is employed in a temporary position or employed on a temporary basis, who is drafted, who volunteers for active military service, or who is ordered to active duty (not active duty training) shall be granted leave in accordance with Chapter 43 of Title 38, United States Code. Active military service includes active duty with any branch of the United States Army, Air Force, Navy, Marine Corps, Coast Guard, National Guard of the State of Florida, or other service as provided in Sections 115.08 and 115.09, Fla. Stat. Such leave shall be without loss of performance rating.

1. Verification of Military Certification - Such leave of absence shall be verified by official orders or appropriate military certification. The first thirty (30) calendar days of leave shall be with full pay and shall not affect an employee's vacation or sick leave balance. The remainder of military leave shall be without pay unless the employee elects to use accrued paid leave as described below. Leave payment for the first thirty (30) calendar days shall be made

only upon receipt of evidence from an appropriate military authority that thirty (30) calendar days of military service have been completed.

2. Applicability of Laws - Federal and state laws shall govern the granting of military leave and the employee's reemployment rights.

3. Use of Vacation Leave - Beyond the first thirty (30) calendar days of leave, which shall be with full pay and shall not affect an employee's vacation or sick leave balance, use of accrued paid leave is authorized during military leave in keeping with the University's extended leave of absence policy.

4. Reinstatement—The position of an employee granted military leave may be filled on a temporary basis. Upon separation from the military service, the employee is eligible to return to his or her former position or a different position in the same class in the same geographic location if reinstatement is requested within one (1) year after separation. The University may require the employee to submit to a medical examination to determine the employee's fitness to perform the essential functions of the position to which the employee may be returning. Based on the medical findings, the University may place the employee in another class with duties that employee is able to perform and which is the nearest approximation to the position held prior to the military service.

(e) Short-Term Military Training - Upon presentation of a copy of the employee's official orders or appropriate military certification, an employee who is a member of the United States Armed Forces Reserve, including the National Guard, shall be granted leave with pay during periods in which the employee is engaged in annual field training or other active or inactive duty training exercises. Whether continuous or intermittent, such leave with pay shall not exceed two-hundred forty (240) hours in any federal fiscal year (October 1 - September 30).

Such leave will be designated as administrative leave or leave not affecting accrued leave balances and shall be without loss of time or efficiency rating.

1. A copy of the official orders shall be filed in the employee's personnel file.

2. Any absence in excess of two-hundred forty (240) hours may, upon request by the employee and approval by the appropriate supervisor, be covered by accrued vacation or compensatory leave.

3. If not requested by the employee or approved by the appropriate supervisor as vacation or compensatory leave, such absences in excess of two-hundred forty (240) hours shall be approved as leave without pay.

(18) Workers' Compensation - Employees who sustain a work-related injury compensable under the Florida Workers' Compensation Law shall be treated in accordance with Chapter 440, Fla. Stat. and provided with University benefits as follows:

(a) Time away from work for the initial medical assessment and/or treatment of a work-related injury shall be counted as work time, but shall not cause employees to exceed their scheduled work hours for that day.

(b) Workplace Injury Leave is an annual benefit available to leave accruing employees only and shall be used to compensate these employees for a portion of their wages lost due to work-related injuries compensable under Chapter 440, Fla. Stat.

1. Workplace Injury Leave shall be pro-rated based on an employee's current FTE, but shall not exceed forty (40) hours per fiscal year for full-time employees. Such leave time shall be counted against an employee's FMLA entitlement. Unused Workplace Injury Leave hours shall not carry forward from one (1) fiscal year to next.

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2. Workplace Injury Leave shall be used only when a workers' compensation authorized medical provider documents that an employee is unable to work due to their compensable injury and/or when a work unit cannot provide an employee with modified duty work within the employee's medical restrictions.

3. All authorized work-related injury absences or time away from work that do not meet the criteria for Workplace Injury Leave shall be covered by an employee's FMLA leave, FMLA leave of absence or other leave if all FMLA leave has been exhausted.

(c) Employees receiving workers' compensation salary indemnification benefits may elect to use FMLA personal leave to supplement that benefit; however, such leave usage shall not cause an employee to receive more than the employee's regular University daily earnings.

(d) Employees who are unable to work due to compensable workers' compensation injuries and are receiving salary indemnification benefits shall not be eligible for holiday pay or accrual of special compensatory leave.

(e) Modified/alternate duties, consistent with the University's Modified Duty Program Policy, 2006, shall be provided to employees with work-related injuries. A copy of the policy is available from the Workers' Compensation Office, PO Box 115008, Gainesville, Florida 32611-5008.

(f) If at the end of the modified duty period, an employee is unable to perform the essential functions of the employee's position, the Vice President or designee responsible for the employee's unit may place the employee on unpaid leave or extend the leave status, offer the employee alternate employment, or terminate the employee from employment.

(19) Domestic Violence Leave – Employees may take up to three (3) days of leave in a twelve (12)-month period if the employee or a family or household member is a victim of

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domestic violence. The fiscal year of July 1 to June 30 will be considered the twelve (12)-month period.

(a) An employer must provide leave for the following specific activities:

1. Seeking an injunction for protection against domestic violence or repeat violence, dating violence, or sexual violence;

2. Obtaining medical care or mental health counseling or both for the employee or a family or household member to address injuries resulting from domestic violence;

3. Obtaining services from victims services organizations such as a domestic violence shelter or rape crisis center;

4. Making the employee's home secure from the perpetrator of domestic violence or finding a new home to escape the perpetrator; or

5. Seeking legal assistance to address issues arising from domestic violence or attending or preparing for court related proceedings arising from the act of domestic violence.

(b) An employee seeking leave from work under this section must provide his or her employer advanced notice of the leave except in cases of imminent danger to the health or safety of an employee, or to the health or safety of a family or household member.

(c) The employee is required to use accrued leave. In the event that the employee does not have sufficient leave hours to cover the event, the leave that is not covered will be unpaid.

(20) Leaves of Absence for Faculty.

(a) Requests for Leave or Extension of Leave

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1. A faculty member shall make a written request at the beginning of the proposed leave. If possible, the request should be made at least one (1) full semester or, in the case of twelve (12)-month faculty, six (6) months prior to the date the leave is requested.

2. For an extension of the leave, the faculty member shall make a written request not less than sixty (60) days before the end of the leave, if possible. If possible, the request should be made at least one (1) full semester or, in the case of twelve (12)-month faculty, six (6) months prior to the date the leave is requested.

The University shall approve or deny such request in writing not later than thirty
 (30) days after receipt of the request.

4. An absence without approved leave or extension of leave shall subject the faculty member to the provisions of the University's regulations dealing with misconduct.

(b) Unpaid or Uncompensated Leaves are provided for eligible faculty members as follows:

1. Upon request of a faculty member, the President or designee shall grant a leave without pay for a period not to exceed one (1) year unless the President or designee determines that granting such leave would be inconsistent with the academic or employment needs of the University. Such leave shall be extended upon mutual agreement. (If possible, the request should be made at least one (1) semester or its equivalent prior to the beginning of the academic term or date the leave is requested.)

2. Upon return, the salary of the faculty member shall be adjusted to reflect all nondiscretionary increases distributed during the period of leave.

3. Retirement credit for such periods of leave without pay shall be governed by the rules and regulations of the Division of Retirement and the provisions of Chapter 121, Fla. Stat.

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4. While on leave without pay, the faculty member shall retain accumulated sick leave and vacation leave, but shall not earn sick leave or vacation leave nor be entitled to holiday pay.

5. While on approved leave without pay, a faculty member may use accrued leave with pay for parental, foster-care, medical, or military reasons. The use of this leave may be in the amount necessary to cover the faculty member's contribution to the state insurance programs and for other expenses.

(c) Paid or Compensated Leaves are provided for eligible faculty members as follows:

1. Faculty members shall accrue normal leave credits while on compensated leave or while participating in the professional development leaves program. If a faculty member is on compensated leave in less than full pay status for other than professional development programs, the faculty member shall accrue leave in proportion to the pay status. During the professional development leave, a person is considered to be in regular employment status in accordance with the established and assigned FTE even though on reduced pay.

2. No faculty member on compensated leave may be employed simultaneously by another employer unless the faculty member complies with state and University requirements for extra compensation, outside employment/activities, and conflict of interest.

(d) A Developmental Research School faculty member may be granted five (5) days (non-cumulative) of leave per year for emergencies or for other personal reasons. One (1) day shall be administrative leave and four (4) days shall be taken from sick leave. Except in cases of emergency, the faculty member shall provide at least two (2) days notice of the intended leave. Such leave shall not be used on the day immediately preceding or following a holiday. These

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faculty members shall not be required to give reasons for personal leave, except that the leave is for personal reasons.

(e) Professional development leave provides eligible faculty with the opportunity to take a leave for professional renewal, planned travel, study, formal education, research, writing, employee development, certification, or other experience of professional value, but not as a reward for service.

1. These leaves are available for faculty after six (6) years of continuous full-time University service.

2. In the case of faculty on a contract or grant, the terms of the leave must be consistent with the terms of the contract or grant.

3. Specific leave programs for eligible employees include:

(i) One semester leaves or six (6)-month leaves for twelve (12)-month employees are available at one-half pay or full-pay.

(ii) Two (2) semester leaves or twelve (12)-month leaves for twelve (12)-month employees are available at one-half pay.

(iii) One (1) semester leaves for academic year employees are available at one-half pay or full-pay.

(iv) Two (2) semester leaves for academic year employees, are available at half-pay.

4. In the case of IFAS and the Health Science Center, contact the appropriate Dean, Director, or Sr. Vice President's Office for information. For other units, contact the appropriate Office of the Dean. Each application shall include a statement describing the program to be followed while on leave, the expected increase in value of the employee to the University,

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specific results anticipated from the leave, any expected supplementary income, and a statement from the applicant agreeing to comply with the terms of the leave program.

5. The President or designee shall select applicants when the University believes that completion of the proposed project or work would substantially improve the productivity of the department or function of which the employee is a part.

6. Terms of Program

(i) If an employee receives fellowships, grants-in-aid, or financial assistance from sources other than the University to assist in accomplishing the program, the University salary shall be reduced so that the total income is equal to the faculty member's regular salary. Nonsalary funds for travel and living expenses from sources other than the University will not result in a reduction in University salary during the leave.

 (ii) Employment unrelated to the purpose of the professional development leave is governed by applicable outside activity and conflict of interest provisions of University regulations and state law.

(iii) Contributions made by the University to the retirement and Social Security programs shall be continued on a basis proportional to the University salary received during the professional development leave. University contributions made to the employee insurance programs and other employee benefits shall be continued during the leave.

(iv) Twelve (12)-month employees shall continue to accrue vacation and sick leave on a full-time basis during the professional development leave.

(v) Nine (9)-month employees shall continue to accrue sick leave on a full-time basis during the professional development leave.

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(vi) If accepting the leave, the employee must agree to return to the University for at least one (1) academic or calendar year (depending on the employee's appointment) following participation in the program. If the employee does not fulfill that agreement, or does not participate in the program as stated in the proposal, the employee shall reimburse the University the amount of salary received from the University during the professional development leave.

(vii) Upon returning to the University, the employee shall submit to the chair or supervisor, with a copy to the dean or director, a written report of the employee's accomplishments during the leave. This report shall include information regarding the activities undertaken during the leave, the results accomplished during the leave as they affect the employee and the University and the research or other scholarly works produced or expected to be produced as a result of the leave.

(viii) Employees shall not be eligible again for a full-pay sabbatical in this programuntil six (6) years of full-time service have been completed following prior participation.

(21) The President or designee has authority to provide an employee leave with or without pay or to reassign an employee when such leave or reassignment is in furtherance of the mission of the University.

(22) December Personal Leave Days for TEAMS Employees, Faculty, and Postdoctoral Associates.

(a) TEAMS employees, faculty (except Hospitalists), and postdoctoral associates
 earn four (4) personal leave days in proportion to their FTEs per fiscal year in addition to the
 University holidays.

(b) Such personal leave days shall be credited to eligible employees on December 1 of each year.

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(c) Personal leave days must be taken in full-day increments (that is, as an 8-hour day for full-time employees, as a 4-hour day for .50 FTE employees) on workdays between the dates December 26 and December 31, inclusive.

(d) Eligible essential personnel who are required to work between December 26 and
 December 31 shall have their schedules adjusted to provide equivalent paid leave time within
 December 2 and June 30 of the current fiscal year based on departmental need.

(e) Cash payment is not provided for unused personal leave days. Any unused personal leave days expire at the end of each fiscal year.

(23) Personal Leave for Postdoctoral Associates

(a) Full-time Postdoctoral Associates will accrue five (5) hours of personal leave each biweekly period, with proportionate accrual for less than full-time, up to a maximum of 352 hours. Such leave may be used in lieu of sick leave and vacation leave under the policies and procedures regulating such leaves.

(b) Postdoctoral Associates shall not be paid for unused personal leave upon separation from employment.

Authority: BOG Regulation 1.001.

History--New 6-28-98, Amended 1-12-00, 5-20-02, 3-12-03, 6-28-04, 3-30-07, 9-5-08 (technical changes only), Formerly 6C1-1.201, Amended 3-16-10, 12-10-10, 2-3-11 (technical changes only), 3-17-11 (technical changes only), 9-6-2011, 2-01-12.

REGULATIONS OF THE

UNIVERSITY OF FLORIDA

3.007 Traffic & Parking; Parking Registration.

(1) Transportation and Parking Services is responsible for issuing decals and permits for parking on campus and for collecting parking fees.

(2) To purchase a decal, students and employees must present the license plate number of a vehicle registered or titled to the individual, his or her guardian, or to some person in his or her immediate family and a valid University identification card.

(3) Students and employees may own no more than one (1) current decal at any one time except as provided in paragraph (9)(g) and subsection (12) below.

(4) Any person applying for or receiving a parking permit or decal by showing or giving false information or by other fraudulent means, which shall include reproducing, altering or defacing a decal, a permit, or any other document, shall be guilty of false registration.

(5) The registrant must park in accordance with his or her decal assignment. The decal is not transferrable and may be used only by the registrant. The receipt of a parking permit or decal does not guarantee that a parking space will be available at all times.

(6) Annual, semester, monthly, weekly or daily decals may be purchased. For employees, annual decals shall be effective from May 1 through April 30 of the year for which purchased. For students, annual decals shall be effective from September 1 through August 31 of the year for which purchased. Semester-term decals shall be effective for one (1) of the following semesters for which purchased:

(a) Summer -- May 1 through August 31.

- (b) Fall -- September 1 through December 31.
- (c) Spring -- January 1 through April 30.

(NOTE: Registration fee may be higher when purchased by semester, month, week or day. All fees include the required state sales tax.)

(7) Reserved spaces: Employees who register to park at the University and have a valid Official Business or a Restricted Area decal may purchase an individually reserved parking space, as approved by the President, upon payment of the appropriate fee shown below.

Annual Semester

\$732.00720.00 \$244.00234.00

(8) Annual or semester decals for reserved parking areas may be purchased by eligible employees upon payment of the appropriate fee shown below. (NOTE: Health Science Center reserved parking decals are not valid in other Blue lots.)

	Annual	Semester
Reserved Gated		
Decals	\$ <u>1,062.00</u> 1020.00	\$ <u>354.00</u> 340.00
Official Business		
Reserved Gated		
Decals	\$ <u>1,188.00</u> 1140.00	\$ <u>396.00</u> 380.00
Medical Resident		
Reserved Area		
Decals	\$ <u>462.00</u> 444.00	\$ <u>154.00</u> 148.00

(9) Parking decals are sold under the following guidelines:

(a) Faculty, Technical, Executive, Administrative, and Managerial Support (TEAMS)
 and University Support Personnel System (USPS) employees are eligible for an Official Business
 decal, authorizing parking in Restricted Area lots except where signs prohibit it. An Official
 Business decal is issued upon payment of the appropriate fee shown below:

Annual	Semester
\$ <u>444.00</u> 4 26.00	\$ <u>148.00</u> 142.00

(b) Faculty, TEAMS and USPS employees are eligible for Restricted Area Orange and Blue decals, authorizing parking in designated restricted and Green lots. A Restricted Area decal is issued upon payment of the appropriate fee shown below:

Annual Semester \$330.00318.00 \$110.00106.00

(c) Disabled employees possessing a State of Florida issued Disabled Persons Parking Placard are eligible for a University of Florida Disabled Employee Parking decal upon approval and payment of the appropriate fee shown below. This decal is required to park in any designated disabled space on campus. This decal also will allow parking in any Restricted Area parking lot or garage on campus. If requested, the disabled employee is eligible to receive a reserved parking space near his or her primary work site at no extra charge.

Annual

Semester

\$<u>330.00</u>318.00

\$<u>110.00</u>106.00

(d) Any employee is eligible for Green parking in designated lots. A Staff Green decal is issued upon payment of the appropriate fee shown below:

Annual

Semester

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\$<u>168.00</u>162.00 \$<u>56.00</u><u>54.00</u>

(e) Any student of the University of Florida is eligible to register for parking as authorized on campus upon payment of the appropriate fee shown below.

Annual

Semester

\$<u>160.00</u>154.00 \$<u>80.0077.00</u>

(f) Disabled students possessing a State of Florida or out-of-state Disabled Persons Parking Placard specifically issued to them are eligible for a University of Florida Disabled Student Parking decal upon approval and payment of the appropriate fee shown below. This decal is required to park in any designated disabled space on campus. This decal will also allow parking in any Restricted Area parking lot or garage on campus.

Annual

Semester

\$<u>160.00</u>154.00

\$<u>80.00</u>77.00

(g) In cases where an individual lives in Graduate and Family Housing and also works for the University, he or she may purchase two (2) decals for the same vehicle. In these cases, the full price for the higher-priced decal must be paid, and the price for the second decal is \$5.00.

(h) Individual monthly, weekly and daily parking permits may be sold to those requiring parking privileges on a short term basis upon approval of Transportation and Parking Services and payment of the appropriate fee shown below:

	Monthly	Weekly	Daily
Staff Restricted Area	\$60.00	\$25.00	\$5.00
Staff Commuter	\$35.00	\$15.00	\$3.00
Student	\$35.00	\$15.00	
Commercial	\$75.00	\$35.00	\$7.00

(i) Additional parking policies affecting students include the following:

 Eligibility requirements for the various student decals will be established each year by Transportation and Parking Services prior to May 1st.

2. Eligibility for student decals will be determined by an annual analysis of the capacity of parking facilities, projected enrollment levels of students, the anticipated mix of students by class and credit hour designations, and the appropriate demand/supply ratios that will occur from the proposed eligibility standards.

3. Eligibility requirements will be established with the goal of providing the most efficient and effective management of campus parking facilities. Assignment of parking eligibility for facilities close to the core of campus will be made to graduate and professional students, as available, up to the level that those parking facilities can provide reasonable accommodation.

(j) Gold and Diamond level members of the President's Council, as determined by the University of Florida Foundation, are eligible for a President's Council Official Business decal, authorizing parking in Restricted Area lots except where signs prohibit it, upon payment of the appropriate fee shown below:

Annual

\$<u>468.00</u>450.00

4. Officials of University groups and organizations, as defined in paragraph (3)(a) of University of Florida Regulation 2.004, may be issued Restricted Area permits during their terms of office upon request and approval by the Parking and Transportation Committee.

(10) Decal sales and refunds:

5 Page 88/209 (a) The purchase price of a staff decal will be prorated on a bi-weekly basis over the term of the decal. The prorated price will be determined at the time of purchase.

(b) A full refund is issued on annual and semester parking decals when requested within fifteen (15) calendar days from the purchase or effective date. Monthly, weekly and daily decals are non-refundable.

(c) One twenty-fourth (1/24) of the annual price for each unused period may be given on an annual decal, based on when the decal is returned.

(d) For employees making payments by payroll deduction, payments made in excess of the amount due will be refunded.

(e) No refunds will be issued unless and until the decal or remains of the decal is returned to Transportation and Parking Services.

(11) Any person may operate a bicycle on the University of Florida campus. Bicycles may be registered with the University Police Department.

(12) Any student or employee having a valid operator's license is eligible to register a motorcycle, moped, or motor scooter and purchase a decal for parking in designated motorcycle parking spaces only, upon payment of the appropriate fee shown below:

Annual

Semester

\$<u>160.00</u>154.00

\$<u>80.00</u>77.00

An employee owning an automobile decal whose secondary vehicle is a motorcycle or scooter, may transfer that decal for use on the motorcycle or scooter provided a secure locking decal display device is used to ensure against unauthorized removal of the decal. However, a motorcycle or scooter decal may not be transferred to an automobile. The locking decal display device may be provided by the user subject to approval by Transportation and Parking Services, or may be purchased from Transportation and Parking Services at a cost of \$25.00.

(13) Any commercial visitor engaged in official business with the University of Florida is eligible to register and purchase a Commercial decal for each vehicle brought on campus. This entitles the holder to park in approved Restricted Area lots. The decals may not be used by the registrant or by members of the registrant's family or others to conduct personal business on campus. A Commercial decal may be purchased upon payment of the appropriate fee shown below:

Annual Semester \$450.00432.00 \$150.00144.00

(NOTE: Students do not qualify for a Commercial decal and must register and purchase a student decal in accordance with paragraph (9)(e) above.)

(14) Any appropriately marked vendor, delivery or commercial vehicle engaged in official business with the University of Florida may be permitted to go about that business without obtaining a permit or paying a fee. The vehicle must be clearly, conspicuously and permanently marked on both sides of the body of the vehicle with the company name and/or logo. Markings that are removable, transferrable, displayed on dashboards, affixed to windows, or otherwise added for the express purpose of avoiding purchasing a Commercial decal shall not grant the vehicle any campus parking privilege.

(15) At the request of the University of Florida Project Manager, contractors, subcontractors and construction workers may be permitted to either purchase a Commercial decal or receive a no-cost permit valid only in remote contractor parking areas, for use solely while actively engaged in construction projects at the University of Florida. (16) Designated parking spaces will be reserved for: (1) deans and assistant vice presidents or higher positions when the employee's office is not near a gated parking lot, (2) employees having sufficient medical reasons, and (3) full-time professional resident staff living in the residence halls.

(17) Official Business parking permits are issued to University departments or other appropriate administrative units upon approval of a written request submitted by the department chairperson or unit director to Transportation and Parking Services and upon payment of the appropriate fee shown below:

Annual

\$<u>114.00</u>110.00

These permits are to be used by employees while in the performance of official duties at several locations on campus, and may also be used for parking to load and unload in Service Areas for up to one (1) hour. Permits with extended Service Area time limits may be approved by Transportation and Parking Services if compelling justification is provided. Permits with a Service Area time limit greater than four hours, if approved, require payment of an additional \$100 fee. A decal may or may not be required, as specified on the permit.

(18) Temporary parking permits may be issued to employees and students at no charge upon approval of Transportation and Parking Services.

(19) The Parking and Transportation Committee shall have authority to hear requests and recommend special parking accommodations as needed.

(20) Decals or permits must be properly displayed on vehicles at all times while parked on campus.

(a) A decal evidencing registration is issued to the registrant and must be immediately affixed in accordance with the instructions supplied with the decal. No grace period is allowed.

(b) A vehicle bearing an illegal, transferred or improperly attached decal is not entitled to the privileges normally afforded by such decal.

(c) Lost, misplaced, or defaced decals must be replaced immediately.

(d) Changes in affiliation, classification, eligibility or address affecting the validity of the decal issued must be reported immediately to Transportation and Parking Services and the appropriate replacement decal purchased. The former decal must be surrendered.

(21) The cost for a replacement decal is \$25.00.

(22) Eligible pupils at P.K. Yonge Developmental Research School may park in the parking lot located on its campus upon the purchase of a special parking permit for a fee of \$25 per school year. The requirements and application for the issuance of this permit are found at http://pkyonge.ufl.edu/modules/cms/pages.phtml?pageid=252654&sessionid=32980ffe8094dc88 c3a6bec01630ce65/.

Authority: BOG Regulation 1.001.

History--New 9-29-75, Amended 8-15-78, 8-19-79, 8-4-80, 8-26-81, 8-12-82, 3-6-85, 5-9-85, 10-14-85, Formerly 6C1-3.07, Amended 5-19-86, 5-14-87, 4-27-88, 4-23-89, 4-17-90, 5-7-92, 5-19-93, 4-30-95, 5-1-96, 7-15-97, 5-3-98, 5-10-99, 6-7-00, 5-22-01, 7-19-01, 5-20-02, 6-3-03, 7-19-05, 4-13-06, 3-13-07, 3-14-08 (BOT Approval), 4-23-08 (BOG Approval), 3-17-09 (BOT Approval), 3-24-09 (BOG Approval), Formerly 6C1-3.007, Amended 3-17-11 (BOT Approval), 4-8-11 (BOG Approval), 3-28-12 (BOT Approval), 4-6-12 (BOG Approval), 3-22-13 (BOT Approval, 4-1-13 (BOG Approval), 3-28-14 (BOT Approval), 4-11-14 (BOG Approval).

REGULATIONS OF THE

UNIVERSITY OF FLORIDA

- 3.014 Traffic & Parking; Fine Schedule.
- (1) The schedule of violations, and the accompanying fines are:
- (a) No or expired decal or permit -- \$<u>35.00;</u>
- (b) Parking out of assigned area -- $\frac{35.00}{35.00}$;
- (c) Parking in a restricted area -- $\frac{40.00}{2}$;
- (d) Parking in a reserved parking space -- $\frac{40.00}{2}$;
- (e) Overtime Parking -- $\frac{20.00}{2}$;
- (f) Parking over lines -- $\frac{20.00}{2}$;
- (g) Parking on grass -- \$35.00;
- (h) Parking facing traffic -- \$30.00;
- (i) Parking on sidewalk -- $\frac{35.00}{35}$;
- (j) Parking obstructing traffic -- $\frac{40.00}{2}$;
- (k) Parking illegally in a service area -- $\frac{40.00}{2}$;
- (l) Parking in No Parking Zone -- \$<u>35.00;</u>
- (m) Decal improperly attached or displayed -- $\frac{15.00}{2}$;
- (n) False registration -- \$150.00 plus the cost of the equivalent parking decal;
- (o) The use of an unauthorized duplicate or lost or stolen decal -- \$150.00 plus the cost

of the equivalent parking decal;

- (p) Unauthorized use of decal or permit $-\frac{35.00}{35.00}$;
- (q) Driving/parking on campus while eligibility is suspended -- \$100.00;

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(r) Failure to pay parking lot fee -- \$<u>35.00</u>;

(s) Unauthorized operation of a vehicle in a restricted area -- \$50.00;

(t) Backed into parking spaces where prohibited -- $\frac{35.00}{35.00}$;

(u) Parking without a permit in a parking space reserved for persons with disabilities --\$250.00;

(v) Bicycles parked out of assigned area -- \$10.00;

(w) Tampering with or unauthorized removal of an immobilizing device -- \$200.00 plus the replacement price of the device if not returned to the University undamaged;.

(x) Motorcycle/scooter not parked in direction of pavement-marked arrows -- $\frac{20.00}{2}$.

(2) The above schedule covers all motor vehicles, mopeds and bicycles, as appropriate, and applies to all offenders. All listed fines are maximums and may be reduced at the discretion of the University Traffic Authority.

(3) Any individual who receives a citation must pay the fine or file a statement of appeal with the University Traffic Authority as provided in University of Florida Regulation 3.015. Failure to pay the fine or to file a statement of appeal within fifteen (15) calendar days from the date of the citation constitutes a default and the citation will be considered delinquent. The appropriate penalty, plus an additional \$10.00 administrative fee shall be imposed. Failure to respond to a citation may result in the impoundment of the vehicle at the owner's expense. The impounded vehicle will not be released until all fines and fees are paid.

(4) Accumulation of five (5) guilty citations (paid or unpaid) from September 1 through August 31, will be grounds for vehicle impoundment upon issuance of each subsequent citation.Accumulation of ten (10) or more guilty citations (paid or unpaid) from September 1 through

August 31 will result in suspension of campus parking privileges upon issuance of the nextcitation.

Authority: BOG Regulation 1.001.

History--New 9-29-75, Amended 8-12-82, 3-6-85, 5-9-85, Formerly 6C1-3.14, Amended 5-14-87, 4-27-88, 4-23-89, 4-17-90, 5-19-93, 4-30-95, 6-28-98, 6-7-00, 5-22-01, 1-11-05, 3-30-07, 3-14-08 (BOT Approval), 4-23-08 (BOG Approval), 3-17-09 (BOT Approval), 3-24-09 (BOG Approval), Formerly 6C1-3.014, Amended 3-16-2010 (BOT Approval), 3-29-2010 (BOG Approval), 3-28-14 (BOT Approval), 4-11-14 (BOG Approval).

REGULATIONS OF THE

UNIVERSITY OF FLORIDA

6C1-3.025 Lease of Space

(1) Purpose. This regulation implements the authority given to the University of Florida to lease real property for the purpose of implementing approved programs of the University.

(2) Definitions. For purposes of this regulation, a lease is a document containing the terms and conditions establishing the relationship of landlord and tenant for real property, including land and any improvements thereon.

(3) Approval. All leases shall be prepared in accordance with this regulation and executed by the President or the President's designee. In addition, if a lease of real property is being entered into for the benefit of a particular college and that college will have financial responsibility for the lease, the Dean or Dean's designee for such college must authorize the lease.

(4) Standard Lease. The University uses a standard lease <u>form as periodically developed</u> , Form BCM 4054 (R5-01), approved by the University's Office of the Vice President and General Counsel and <u>the University's Office of Real Estate</u>, and is available from the Office of <u>Real Estate</u>. The University's Office of the Vice President and General Counsel, following consultation with the Office of Real Estate, shall be responsible for the review and approval of any deviations from the standard lease form. <u>incorporated herein by reference</u>. The standard lease may be obtained from the Purchasing Division, Elmore Hall, Room 102, University of Florida. The University's lease form may be changed by deleting, adding to or revising its terms, which shall remain consistent with the requirements of this regulation as far as practical given the circumstances of the lease, with the prior approval of the University's Office of the Vice President and General Counsel.

(5) Escalation Clauses Prohibited. A lease shall not contain a rental escalation clause or an open rental rate that permits an adjustment in the amount paid by the University based on the happening of a future event, such as a change in the Consumer Price Index or other economic indicator, without also including a ceiling on the total amount the rent may increase. Any such clause in a lease shall be null, void, and unenforceable. The final cost to the University for the complete term of a lease, including all renewal periods, must be clearly set forth in the lease.

(6) Right-to-Terminate Clause Required. All leases for a term exceeding one (1) fiscal year shall include the following provision: "The State of Florida's performance and obligation to pay under this Lease is contingent upon an annual appropriation by the Legislature."

(7) Renewal of Leases. Any lease may contain options to renew and any renewal(s)shall be made according to the terms and conditions of the lease.

(8) Code Compliance in Leased Space.

(a) Any privately-owned building or any part thereof to be leased to the University shall comply with those portions of Chapters 553 and 633, F<u>la</u>._S<u>tat</u>., including, but not limited to, fire safety, life safety and disabled access standards, applicable to University buildings.

(b) No construction or renovation of any state-leased building may commence until the University has ascertained that the proposed construction or renovation plan complies with those portions of Chapters 553 and 633, Fla. Stat. applicable to University buildings.

(c) The cost of all modifications or renovations made for the purpose of bringing stateleased property into compliance with the applicable portions of Chapters 553 and 633, F<u>la. Stat.</u>, shall be borne by the landlord unless otherwise agreed in writing by the University.

(9) Leases of 5,000 Square Feet or More.

(a) The University shall not enter into a lease for 5,000 square feet or more of space in a privately-owned building except upon advertisement for and receipt of competitive proposalsbids and award to the responsive and responsible proposer whose proposal is determined to be the most advantageous to the University, taking into consideration the price and other criteria set forth in the University's solicitation.lowest bid meeting specifications. The University shall not enter into, within any twelve (12)-month period, more than one (1) lease for space in the same privately-owned facility or complex except upon the solicitation of competitive proposalsbids. Exceptions to these requirements are as follows:

1. This <u>sub</u>section shall not apply to the renewal of leases when the lease contains renewal terms. The University may approve extensions of an existing lease of 5,000 square feet or more of space if such extensions are determined to be in the best interest of the University but in no case shall the total of such extensions exceed <u>eleven (11)</u> months. If at the end of the 11th month the University still needs space, it shall be procured by competitive <u>solicitation</u><u>bid</u>. However, if the University determines it is in its best interest to remain in space it currently occupies, the University may negotiate a replacement lease with the landlord if an independent market analysis demonstrates that the lease rates offered are within market rates for the space and the cost of the new lease does not exceed the cost of a comparable lease plus documented moving costs. The term of such replacement lease may not exceed the base term of the expiring lease. The decision to extend a lease and to remain in space currently occupied shall be based on the following factors: the cost of moving, the disruption of activities that will occur as a result of moving, the location of the space, the suitability of the space, the proximity of services, the layout and type of space, and the quality of space involved.

2. This <u>sub</u>section shall not apply to specialized research, medical or educational facilities, if the President or <u>the President's</u> designee certifies in writing that said facility is available from a single source and that compliance with competitive <u>proposalbid</u> requirements would be detrimental to the University.

3. This <u>sub</u>section shall not apply to any lease having a term of less than <u>one hundred</u> <u>twenty (120)</u> consecutive days for the purpose of securing one-time special use of the leased property.

4. This <u>sub</u>section shall not apply to buildings or facilities of any size leased for the purpose of providing care and living space for persons.

5. This <u>sub</u>section shall not apply to emergency space needs in the event existing stateowned or leased space is destroyed or rendered uninhabitable by an act of God, fire, malicious destruction, or structural failure, or by legal action upon certification by the President or his designee that other University controlled space is not available and the term of the lease does not exceed <u>eighteen (18)</u> months, provided the University may modify the lease to extend month to month for up to <u>six (6)</u> additional months to allow completion of such construction or renovations. 6. This <u>sub</u>section shall not apply when leasing facilities in a research and development park, a hospital, or other medical facilities, such as a medical office building, with which the University is affiliated.

7. This <u>sub</u>section shall not apply to any lease for nominal or no consideration.

(b) The University shall not enter into a lease agreement in a privately owned building when suitable space is available in a state-owned building located in the same geographic region unless it is determined to be in the best interest of the University, such as when the cost of the move from a private building to the state-owned building would exceed the rental savings associated with the state-owned building.

(c) Solicitation.

1. A public solicitation for proposals will be publicized using newspaper advertisements and/or contact with owners, developers or realtors in the city or area in which space is desired. <u>The University's Office of Real Estate shall be responsible for the solicitation</u> and/or advertisement for proposals for leased space.

2. The solicitation shall set forth, but not be limited to, the following:

a. Approximate net square footage required to be measured in accordance with <u>the</u> <u>method used by the State University System for gross square feet as described in the current</u> <u>Physical Facilities Space File, or if in a privately owned building, measured according to an</u> <u>industry-accepted measurement protocol, such as Building Owners and Managers Association</u> <u>Standards.subsection 60H-2.003(2), F.A.C.</u>

- b. General area in which space must be located.
- c. Date space must be available.

d. Name and address of location where specifications may be obtained.

e. Terms and conditions of lease.

(d) Specifications.

1. Specifications shall be written by the University in general terms and shall afford each prospective landlord, interested in submitting a proposal, knowledge of the University's space requirements. Specifications shall not be structured to favor any specific location or landlord.

2. Specifications provided to each prospective landlord shall set forth, but not be limited to, the following:

a. Approximate net square footage required to be measured in accordance with <u>Section</u>
 9(c)(2)(a) above.subsection 60H-2.003(2), F.A.C.

b. An approximate floor plan of space needed showing partitioning and other physical requirements.

c. General location of required space.

d. Date space must be available.

e. Term of lease with option to renew, if desired.

f. Services required to include parking, dining and transportation requirements.

3. Specifications shall also set forth that the prospective landlord will agree to:

a. Enter into the University's standard lease.

b. Provide a scaled floor plan showing present configurations and measurements that

equate to net rentable square footage offered, as well as useable square footage.

c. Be an Equal Opportunity Employer.

d. Provide Full Disclosure Statements of Ownership.

e. Validate the proposal for a minimum of forty-five (45) days following the public proposalbid opening date.

f. Propose a rental rate per square foot per year that will include all renovations and other special requirements necessary to accommodate the program at the time of initial occupancy, and clearly stating of that rental rate per square foot the amount allocated to (i) base rent, (ii) the cost of tenant improvements or renovations necessary to make the space conform to the University's specifications and (iii) operating costs, such as real property taxes and utilities, being reimbursed by the University.

4. Specifications may provide that sealed proposals are to be submitted, in a titled envelope, to a designated individual by a specified closing time and date, at which time all proposals will be publicly opened.

(e) Proposals.

 Proposals shall respond specifically but need not be limited to each item included in the specifications.

2. Each proposal shall be signed by the owner(s), corporate officers, or legal representative(s). The corporate, trade, or partnership name must be either stamped, written or typewritten, beside the actual signature(s). If the proposal is signed by an agent, written evidence of his authority must accompany the proposal. If a corporation foreign to the State of Florida is the owner, evidence of authority to conduct business in Florida shall be presented.

(f) Evaluation.

1. The University reserves the right to accept or reject any or all <u>proposals</u>bids submitted and, if the University deems it necessary, to reinitiate procedures for soliciting competitive proposals.

2. The University, in conjunction with preparing specifications, <u>may elect to shall</u> develop <u>either</u> weighted <u>or un-weighted evaluation</u> criteria. <u>If weighted The</u> criteria <u>are used</u>, the <u>criteria</u> items most significant to the University's needs should bear the highest weight. The cost of relocation, if any; consolidation of activities, if desirable; and any other factors deemed necessary should be weighed.

3. Selection shall be made by the University and shall be publicly posted at the location where the <u>proposals</u>bids were opened and remain posted for seventy-two (72) hours, which shall be interpreted as three (3) working days, not counting Saturdays, Sundays, and State of Florida holidays.

5. Documentation to support the selection shall be maintained and shall include, but not be limited to, the following:

a. A copy of all advertisements.

b. A copy of the proposal specifications.

c. A copy of all proposals received.

d. A synopsis of the University's findings for each proposal.

(g) Lease preparation and approval. After the selection has been awarded, a lease shall be properly executed.

(10) Disclosure Statements -- Private Entities, Public Officials.

(a) The landlord shall provide the statements required pursuant to sections255.249(4)(h) and (i), Fla. Stat., regarding ownership interests in the lease property.

(b) It is not necessary to make disclosure of any beneficial interest which is represented by stock in any corporation registered with the Securities and Exchange Commission or registered pursuant to Chapter 517, F<u>la.Stat.</u>, which stock is for sale to the general public. A statement certifying the registration shall be provided by the landlord.

(c) It is not necessary to make disclosure under paragraphs (a) and (b) above of any leasehold interest in property located outside the territorial boundaries of the United States.

(d) Each subsequent transaction pertaining to a lease for which a Disclosure Statement has been provided, may be accompanied by a landlord's affidavit that the previous Disclosure Statement submitted on (date provided) is still valid, if no change in the interest held or individuals concerned has occurred.

(11) Certification of Compliance. The President or designee, shall certify that the lease is in compliance with all leasing criteria provided by Chapter 255, Fla. Stat.

 (12) Standard Method of Space Measurement. Space measurement shall be based on usable space as defined in Department of Management Services <u>Rule subsection</u>-60H-2.003(2), F.A.C.

(13) Space Allocation. Space allocation shall be based on the formula provided in the publication "State Requirements for Educational Facilities, 1999 Volume I-Process and Rule and Volume II-Building Code."

(14) Rental Rates. The rental rate for space shall be within rental rate guidelines established by the University.

(15) Leases Not Requiring Competitive <u>Proposals</u>Bidding. In any leasing of space that is accomplished without competition, the individuals taking part in the development or selection of criteria for evaluation, in the evaluation, and in the award processes shall attest in writing that they are independent of, and have no conflict of interest in, the entities evaluated and selected.

Specific Authority: BOG Regulation 1.001 Resolution dated January 7, 2003.

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History--New 1-28-80, Formerly 6C1-3.25, Amended 2-9-87, 5-18-92, 4-30-95, 5-23-96, 7-27-98, 1-12-00, 07-25-02, 6-3-03, 3-14-08 (BOT approval), Formerly 6C1-3.025, Amended

REGULATIONS OF THE

UNIVERSITY OF FLORIDA

6C1-4.004 Social Fraternities and Sororities.

(1) Social sororities and fraternities are student organizations that are founded on the principles of brotherhood/sisterhood and are based on the ideals of friendship, personal growth and service to the community. In keeping with the educational mission of the University, social sororities and fraternities promote the highest standards of scholarship, leadership, and service for their members.

(2) The United States Department of Education has established requirements for classification as a social sorority or fraternity. Social sororities and fraternities meeting such requirements are permitted to exist as single-sex organizations. In keeping with these criteria established by the Department of Education, the University of Florida classifies social sororities and fraternities as organizations that:

(a) Do not limit membership to persons pursuing or having interest in a particular field of study, profession or academic discipline;

(b) Do not serve as honorary societies for academic, leadership, or any other endeavor; and

(c) Do not permit members to hold membership in other social sororities or fraternities.

In addition, social sororities and fraternities must:

(d) Have tax-exempt status under Section 501(c)(7) of the Internal Revenue Code; and

(e) Limit membership to currently enrolled <u>degree-seeking</u> students at the University of Florida.

(3) Social sororities and fraternities may exist at the University of Florida as registered student organizations and shall be classified as social sororities and fraternities.

(4) Social sororities and fraternities shall be governed by policies established by the Division of Student Affairs and administered through the <u>Department of Center for Student</u> Activities and Involvement/<u>Office of Sorority and Fraternity Affairs (OSFA)</u>.

(5) The governance structure established by the University of Florida includes student input and participation. Student input and participation is accomplished through authority delegated by the OSFA to designated student governing councils. Social sororities and fraternities must abide by university policies, regulations, and procedures governing student organizations, as well as policies, guidelines and procedures established by the OSFA and the designated governing councils.

(6) A new social sorority or fraternity seeking registration at the University of Florida must complete the expansion process as outlined in the Policy Expansion for Social Sororities and Fraternities, available from the OSFA. No social sorority or fraternity shall be registered at the University of Florida without approval by the OSFA and affiliation with a designated governing council.

(7) A social sorority or fraternity that operates a residential unit and provides opportunities for housing to university students must abide by facility management policies and standards as established by the University, including but not limited to the following: (a) organizations must have a live-in House Director and be in compliance with related policies; (b) organizations must be in compliance with fire safety standards and must pass Fire Safety Inspections; (c) organizations must comply with building inspection and related policies and must take corrective measures as needed or required.

(8) The University reserves the right to withhold, revoke, or suspend the registration of any social sorority or fraternity that fails to adhere to University <u>regulations</u>, rules, policies, and/or guidelines.

Specific Authority: BOG Regulation 1.001-1001.74(4), 1006.60(2) FS.

History--New 9-29-75, Amended 3-25-85, Formerly 6C1-4.04, Amended 10-31-99, 6-21-

00, 2-5-03, 6-3-03, 12-31-03, 5-30-04, 7-19-05, 9-5-08, Formerly 6C1-4.004, Amended

REGULATIONSRULES OF THE

UNIVERSITY OF FLORIDA

6C1-4.009 Student Affairs: The University of Florida Career Resource Center.

(1) The following persons are eligible to use the facilities and services of the Career Resource Center (CRC):

(a) <u>Degree-seeking s</u>Students currently attending the University,

(b) Faculty and non-academic staff currently employed by the University,

(<u>be</u>) Students currently attending other <u>Florida State University System</u> <u>S.U.S.</u> <u>Universities</u> on a reciprocal agreement basis,

(<u>c</u>d) Alumni of the University (graduated students). In-person career planning services are limited to alumni who have graduated in the previous twelve (12) months, and former students who have attended the University.

(2) The facilities and services of the Career Resource Center are free to eligible persons listed in paragraphs (1)(a), (b) and (c) above except that a minimum service charge is made to cover costs of materials and services provided that are not included in appropriated expense funds authorized for the Center. These charges will be posted at the Center and include, but are not limited to, charges for:

(a) Reproducing and mailing copies of resumes, references, qualification records, and other materials,

(b) Copies of instructional booklets, schedules, listing and other printed educational materials made available for the convenience of eligible persons as defined in subsection (1) above,

(c) Any other material provided or reproduced at the request of eligible persons as defined in subsection (1) above.

(23) Eligible persons desiring to <u>schedule appointments with full-time staff members</u> use the employment/interviewing services of the <u>CRCCareer Resource Center</u> must first register by completing the Gator Career Link registration, <u>which is located on the CRC website</u>. This is accomplished by accessing the Career Link login screen, which is found on the CRC website at www.crc.ufl.edu. Additional information or assistance may be obtained directly from the CRC in room CR-100 of the J. Wayne Reitz Union.

(4) Persons who have scheduled an employer interview must notify the Career Resource Center at least 48 hours in advance if they are unable to attend the interview. Failure to make such notification may result in suspension or revocation of interview privileges.

(5) Career counseling appointments are scheduled daily, Monday-Friday, and the most current list of these appointment dates and times may be found on the CRC website at www.crc.ufl.edu/services/Counseling/counseling.php. Students will be asked the purpose of the appointment and for additional information when they arrive for the counseling appointment in room CR-100 of the J. Wayne Reitz Union.

Specific Authority: BOG Regulation 1.001 1001.74(4) FS.

Law Implemented 1001.74(10) FS.

History--New 9-29-75, Amended 3-9-76, 6-7-83, Formerly 6C1-4.09, Amended 5-30-04. Formerly 6C1-4.009, Amended

REGULATIONSRULES OF THE DEPARTMENT OF EDUCATION DIVISION OF UNIVERSITIES UNIVERSITY OF FLORIDA

6C1-4.010 Student Affairs: Employer Registration.

(1) Employers desiring to recruit and interview on-campus for <u>universitygraduating</u> students and eligible, prior students, alumni and others who may be eligible to use the placement services must first register with the <u>Career Resource</u> Center (<u>CRC</u>) and meet all requirements in regard to general eligibility <u>in accordance with the CRC Employer Recruiting Policies and</u> <u>Procedures located at www.crc.ufl.edu/employers/employerPolicies.html.by providing the</u> <u>Center with:</u>

(a) Name and address of employer

(b) Name of employer contact and recruiter(s)

(c) Interview date(s) desired

(d) Types and levels of degrees sought and graduation dates

(c) Titles and descriptions of job available

(f) Citizenship and other special requirements needed for consideration of

employment

(g) Copies of recruiting literature for student use

(h) Approximate number of jobs available (if requested)

(2) <u>Employers who wish to post a position with the CRC Gator Career Link database</u>

must comply with the following:

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(a) All applicable Equal Employment Opportunity Commission Affirmative Action • requirements and all state and federal nondiscrimination requirements.guidelines of the

University of Florida.

(b) No fees of any kind will be charged to students or eligible alumni. Employer on campus interview assignments will be made on a "first come" basis until all facilities are filled. A minimum of three weeks advanced notice is required to allow time for processing and notification of students. Assignments will be made for regular interview periods only.

(3) Employer representatives, to interview on campus, must sign the following statement upon arrival at the Career Planning and Placement Center for interviewing: "Each visiting employer at the University of Florida must give consideration to each applicant without regard to race, color, religion, sex or national origin".

(4) Employer job listings will not be accepted by the Career Planning and Placement Center unless they contain complete names and addresses of the employers and persons to contact by name or title. Third party recruiting through the Career Planning and Placement Center is authorized only when the names of the potential employers are divulged and the employment agency fees are paid by the employers. Failure to meet these requirements will result in denial or withdrawal of on campus recruiting privileges for the employers concerned.

Specific Authority: BOG Regulation 1.001-240.227(1) FS. Law Implemented 240.227(1), (13) FS. Formatted: Indent: First line: 0.5"

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History--New 9-29-75, Formerly 6C1-4.10, 6C1-4.010, Amended

REGULATIONS OF THE

UNIVERSITY OF FLORIDA

7.0012 Office of Academic Affairs Requirements

(1) As part of its educational mission, the Office of Academic Affairs promulgates academic requirements to align university activities with federal, state, and university policies and requirements.

(2) Such Office of Academic Affairs requirements apply to faculty, staff and administrators engaged in the academic mission of the University, whether directly employed by the University or not, whether on campus or at remote locations. These requirements include but are not limited to policies on academic degree programs, academic learning compacts, course evaluations, posthumous degrees, and any other policies promulgated by the Office of Academic Affairs in order to carry out the academic mission of the University and may be found on the website of the Provost and Senior Vice President for Academic Affairs.

Authority: BOG Regulation 1.001.

History--New

REGULATIONS OF THE

UNIVERSITY OF FLORIDA

7.003 Academic Personnel Employment Plan: Academic Appointments, Types of Appointments, Appointment Status Modifiers, and Academic-Administrative Classification Titles, and Faculty Award Titles.

(1) Academic Personnel (AP) Employment Plan.

(a) The University of Florida maintains a uniform employment plan for Academic Personnel of the University. As used herein "Academic Personnel" comprises the faculty, and certain graduate and professional student appointments, and excludes members of the University Support Personnel System (USPS) and Technical, Executive, Administrative and Managerial Support (TEAMS). The Academic Personnel Plan includes all authorized class titles and codes; the class specifications; employment and professional status modifiers; and instructions for implementing and maintaining the Academic Personnel Plan.

(b) The Academic Personnel classification consists of positions having the principal responsibility of teaching and/or research, extension and/or providing administrative functions directly related to the academic mission and accomplishment of the University goals. Only those appointments that are tenure eligible shall be considered tenure accruing appointments under University of Florida Regulation 7.019. Tenure ineligible appointments are not tenure-accruing.

(2) Academic Appointments. The academic appointees of the University shall consist of personnel holding the academic ranks of assistant professor, associate professor, professor or above, the equivalent in academic ranks in the instructional, research, or extension units or other academic functions, and personnel holding other specialty faculty or student titles identified below. Equivalent faculty ranks may be granted in the scholar, scientist, engineer, and curator series.

(a) Academic Ranks Appointment Titles and Titles.

Eminent Scholar Endowed Chairs and the endowed professorship program. 1 Funds are provided to the University to create endowment programs which include the Eminent Scholar Endowed Chairs and the endowed professorship programs. The rank of Eminent Scholar is a tenure eligible rank in the endowed chair program. The rank of Clinical Eminent Scholar is not tenure eligible, and a person holding such a rank has no continuing contractual relationship with the University. The criteria for appointment to the rank of Eminent Scholar or Clinical Eminent Scholar in the endowed chair program shall be developed by the dean of the recipient's college in consultation with faculty members. Such criteria shall include, but not be limited to, an outstanding professional reputation and outstanding contribution of scholarly activities. Eminent Scholar or Clinical Eminent Scholar shall not be considered a promotional rank. a. University faculty members whose performance meets the criteria of an established endowment are eligible for consideration for the endowed professorship program. The decision concerning the recipient of an endowed professorship rests with the administration of the academic college or unit in consultation with the faculty members of that college or unit. b. The Eminent Scholar and endowed professorship programs may be evaluated on an annual basis using recognized academic standards and each college or unit will be responsible for submitting a report on each Eminent Scholar and Clinical Eminent Scholar and the endowed

professorship programs upon request of the Provost or President.

2. Graduate Research Professor (Faculty title). The rank of Graduate Research Professor was awarded to recognize outstanding accomplishment and an international reputation in teaching and research. This title is no longer awarded.

3. Distinguished Service Professors and Research Curators (Faculty Titles). The rank of Distinguished Service Professor was awarded to recognize outstanding accomplishment in teaching, extension, or service while at the University. The rank of Distinguished Research Curator was used to recognize outstanding accomplishments in research, service, and, where applicable teaching, in either the Florida Museum of Natural History or the University libraries. These titles are no longer awarded.

4. Distinguished Professor (Faculty Title) – This title is granted to tenured faculty holding the rank of professor or its equivalent to recognize a distinguished and exceptional record of achievement beyond the level of professor that is recognized both nationally and internationally. An exceptional record of achievement is one that places the candidate at the top of the discipline in research, as defined in University of Florida Regulation 7.019, with distinction in the areas of teaching and service as also defined in that regulation. Distinguished Professor shall not be considered a promotional rank. Recommendations for the award of the title of Distinguished Professor are processed under the same procedures used for the recommendation for promotion under University of Florida Regulation 7.019, except that nomination for this award is to be initiated by the appropriate college dean or equivalent administrator. Candidates may not be self nominated.

<u>15</u>. Professor (Faculty title). Candidates for this <u>tenure eligible</u> faculty rank shall be qualified for the rank of associate professor and in addition, be a recognized national or international authority or an outstanding teacher in his or her field or specialization. A candidate

for this rank must have a distinguished record of achievement beyond the level of associate professor. An appointee holding this title is tenure eligible unless the title is appropriately modified as set forth in subsection (4)(a) of this regulation.

26. Associate Professor (Faculty title). Candidates for this tenure eligible faculty rank shall hold the highest degree appropriate to his or her field or possess professional qualifications in his or her field above those which would be equivalent to the highest degree appropriate to his or her field. He or she is expected to have produced creative work, professional writing, or research, and to have had successful teaching or professional experience. A candidate for this rank must have a distinguished record of achievement beyond the level of assistant professor. An appointee holding this title is tenure eligible unless the title is appropriately modified as set forth in subsection (4)(a) of this regulation.

<u>37</u>. Assistant Professor (Faculty title). Candidates for this tenure eligible faculty rank shall hold the highest degree appropriate to his or her field or possess equivalent qualifications based on professional experience. A candidate shall be expected to demonstrate successful experience pertinent to the position for which he or she is being recommended and have demonstrated qualities pertinent to the goals of the academic unit in which he or she will be employed. An appointee holding this title is tenure eligible unless the title is appropriately modified as set forth in subsection (4)(a) of this regulation.

<u>48.</u> Curator (Equivalent Faculty T<u>i</u>tiles). Candidates for these tenure eligible positions may be awarded in the following equivalent academic ranks (equivalent to Assistant Professor, <u>Associate Professor and Professor</u>): Assistant Curator, Associate Curator and Curator. <u>Appointees holding these titles are tenure eligible unless the title is appropriately modified as set</u> <u>forth in subsection (4)(a) of this regulation.</u> <u>59</u>. Scientist/Scholar/Engineer Series (Equivalent Faculty titles).

a. Candidates for these tenure ineligible faculty positions are individuals not normally involved in regular academic programs, but who are carrying out the equivalent faculty duties in research and/or cooperative extension programs which may be funded by external or temporary sources. The candidates in the following ranks shall have the same professional qualifications required of those faculty described in subparagraphs (2)(a)<u>1-3</u><u>5-7</u>. above: Scientist, Associate Scientist, Assistant Scientist; Scholar, Associate Scholar, Assistant Scholar; Engineer, Associate Engineer; Assistant Engineer.

b. Faculty holding these titles shall have no regular teaching duties. Teaching on an occasional basis is not precluded, but substantial teaching assignments will not be made.

<u>610</u>. University Librarian (Faculty titles). Candidates may be awarded these tenure eligible positions in the following academic ranks: Assistant University Librarian, Associate University Librarian, and University Librarian. <u>Appointees holding these titles are tenure eligible</u> <u>unless the title is appropriately modified as set forth in subsection (4)(a) of this regulation.</u>

<u>7</u>44. Lecturer (Faculty titles). Candidates may be awarded these tenure ineligible faculty positions in the following academic ranks: Lecturer, Senior Lecturer, and Master Lecturer. Candidates shall have received the academic degree appropriate to the assignment of duties, or equivalent experiences or accomplishments in the field may be substituted. Appointment as Senior Lecturer shall constitute a promotion from Lecturer and shall be based on additional experiences and/or accomplishments in the field and in assigned duties. Appointment as Master Lecturer shall constitute a promotion from Senior Lecturer and shall be based on additional experiences and/or accomplishments in the field and in assigned duties.

<u>812</u>. Developmental Research School Faculty Positions (Specialty Faculty titles).

Candidates for the positions of P.K. Yonge (PKY) University Developmental Research School Instructor, PKY Assistant Professor, PKY Associate Professor and PKY Professor, in the department of the College of Education shall have at least a master's degree and shall have had at least three (3) years experience or relevant experience pertinent to the assigned position and shall hold a valid teacher's certificate appropriate to the assignment. These specialty faculty titles are not equivalent faculty ranks to those set forth in subparagraphs (2)(a)<u>1-4</u>5...8. above. Eligibility and ineligibility for permanent status is described in University of Florida Regulation 7.025.

<u>943.</u> County Extension Agents I, II, III, IV (<u>Extension Faculty</u> titles). Candidates for these specialty faculty<u>extension faculty</u> positions shall hold a master's degree and/or possess other qualifying experience or accomplishments. Responsibilities include the development of long-range educational programs in the area of responsibility of the Florida Cooperative Extension Service in concert with the county in which the agent is employed. Eligibility requirements for these ranks and eligibility or ineligibility for the awarding of permanent status are described in University of Florida Regulation 7.025 and subsection (3) of University of Florida Regulation 6.009.

<u>10</u>44. Assistant in, Associate in, and Senior Associate in (Specialty Faculty titles). Candidates for these tenure ineligible specialty faculty positions must hold a master's degree in a field of specialization unless the administrator of the unit determines that experience and/or training is equivalent to the educational requirements. Authorship or co-authorship of significant publications in the field of specialization may be regarded as evidence of qualifying experience and training. The authority to grant a promotion from the rank of Assistant in to Associate in or from Associate in to Senior Associate in in the College of Law, IFAS, and the Health Center is

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delegated by the President to the appropriate Senior Vice President. Appointment as Associate in shall constitute a promotion from Assistant in and shall be based on additional qualifying education and/or experience and on accomplishments in performing assigned duties. Appointment as Senior Associate in shall constitute a promotion from Associate in and shall be based on additional qualifying education and/or experience and on accomplishments in performing assigned in and shall be based on additional qualifying education and/or experience and on accomplishments in performing assigned duties.

115. Postdoctoral Associate (Specialty OPS Faculty title). The appointee shall have received the doctorate in a field appropriate to the assigned duties and responsibilities. This appointment is used when the individual renders compensable services to the University and is assigned specific duties and responsibilities. Such appointments are temporary, and appointees to these specialty OPS faculty titles shall have no continuing contractual relationship with the University. Postdoctoral associates may be appointed for up to four (4) years. Appointments of the postdoctoral associate beyond four (4) years will acquire approval of the Senior Vice President of the area requesting the appointment.

(b) Fellows.

1. Postdoctoral Fellow. The appointee shall have received the doctorate in a field appropriate to the position offered. The appointment is for the individual's further experience in research and teaching and is generally limited to two (2) or three (3) years. To qualify for a fellowship exemption under the Federal income tax laws, no assigned duties and responsibilities or services can be required other than those which are an integral part of the Fellow's program in research and teaching. Appointees to these specialty faculty positions shall have no continuing contractual relationship with the University.

(c) Student Titles – Graduate School Series.

1. All titles in this series shall be governed by the following criteria:

a. Appointees in this series must be properly registered and working toward a graduate degree through the Graduate School, and the appointee must meet the requirements set forth by the Graduate School.

b. The appointment may be for any desired percentage of full-time, and the assignment shall be commensurate with the percentage of time or the degree of effort of the appointment.

c. The appointment is not tenure eligible, is not a regular faculty appointment, and is temporary in nature, either because of the character of the project or assignment or, because upon completion of requirements for a degree, the appointee will not be offered re-appointment to the position. Appointees shall have no continuing contractual relationship with the University.

2. Senior Graduate Teaching or Research Associate. The appointee shall, as a minimum, have completed most of the work leading to a doctorate. Appointment to either of these positions represents a promotion from the position of Graduate Teaching or Research Associate.

3. Graduate Teaching or Research Associate. The appointee shall, as a minimum, have completed most of the work leading to a doctorate. Appointment to either of these positions represents a promotion from the position of Graduate Teaching or Research Assistant.

Graduate Teaching or Research Assistant. The appointee shall have completed thirty
 (30) semester credits of graduate work. Appointment to either of these positions represents a
 promotion from the position of Graduate Assistant.

5. Graduate Assistant. The appointee is normally a beginning graduate student since "Graduate Assistant" is the lowest rank of graduate assistant positions.

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(d) Student Titles – Professional School Series.

1. All titles in this series shall be governed by the following criteria:

a. The appointment may be for any desired percentage of full-time, and the assignment shall be commensurate with the percentage of time or the degree of effort of the appointment.

b. The appointment is not tenure eligible, is not a regular faculty appointment, and is temporary in nature, either because of the character of the project or assignment or because upon completion of degree requirements, the appointee will not be offered re-appointment to the position.

c. Appointees to these positions shall have no continuing contractual relationship with the University.

d. Appointees to positions identified by professional status modifiers "Medical","Dental Teaching" or "Legal Writing" must be registered students in the Colleges of Medicine,Dentistry, or Law, and such appointees must meet the standards of their respective colleges.

Medical or Dental Teaching or Research Associate, or Legal Writing Associate.
 Appointment to either one of these positions represents a promotion from the position of Medical or Dental Teaching or Research Assistant, or Legal Writing Assistant.

3. Medical or Dental Teaching or Research Assistant, or Legal Writing Assistant. The appointee shall have completed thirty (30) semester credits of graduate work.

4. Resident. The appointee serves as a member of the house staff or trainee of a Health Center professional school. The appointee must be a graduate of an approved program or hold a terminal degree appropriate for the appointment and be enrolled in the respective training within his or her selected area of specialization. The term "intern" usually refers to a resident in the first year of postgraduate work in the involved specialty. <u>Notwithstanding the foregoing, in the</u>

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<u>College of Veterinary Medicine an "Intern" is a participant in a one year program. An Intern in</u> <u>the College of Veterinary Medicine must hold a veterinary degree and be a graduate of an</u> <u>accredited veterinary school. A "Resident" in the College of Veterinary Medicine must hold a</u> <u>veterinary degree, be a graduate of an accredited school, and have performed an internship or</u> <u>have equivalent experience in private practice.</u>

(3) Types of Appointments. Appointments are classified with respect to duration of time and degree of effort as follows:

(a) Duration of time.

 Continuing - those appointments for periods of no more than a twelve- (l2) month period (July l - June 30) but at least thirty-nine (39) weeks beginning with the Fall or Summer term.

2. Temporary - those appointments for a period of time of less than thirty-nine (39) weeks of an academic year, or for a specific or limited period of time. If an appointment is temporary, the contract or letter of appointment shall so state and notice of non-renewal of such an appointment is not required.

3. Multi-year or Term - those appointments for a fixed term as indicated in the employment offer and contract. The multi-year or term contract cannot exceed five (5) years. Persons with such appointments shall not be entitled to any notice of the ending of employment during the term or at the end of the specified multi-year or term appointment. The appointment shall automatically expire at the end of the specified multi-year period, and no further notice of cessation of employment is required. The appointment shall not be tenured, permanent status, tenure eligible or permanent status accruing or eligible.

(b) Degree of Effort.

1. Full-time - the utilization of effort considered to be the normal or standard amount required during a given time period, equivalent to 100% or 1.00 FTE.

2. Part-time <u>- the</u> utilization of effort considered less than customary or standard during a given time period, equivalent to less than 100% or less than 1.00 FTE or, appointments for less than thirty-nine (39) weeks. It includes either working less than 100% of the time through an academic or calendar year or working full-time for less than the full number of terms in the academic year.

(4) Appointment Status Modifiers. A modifier defines certain conditions of an appointment and is, unless otherwise noted, to be included in the title.

(a) Faculty appointments which include the appointment status modifiers listed below are not eligible for tenure or permanent status.

1. The University shall notify the appointee in writing of the duties and responsibilities and the tenure or permanent status eligibility of the position at the time of the appointment.

2. Appointees whose faculty titles include status modifiers shall be considered members of the department for the term of the appointment and for the purpose of the assignment of duties and responsibilities. At the option of the departmental faculty the appointees may also attend, speak, and/or vote on departmental matters subject to the policy of the department.

3. These appointments, with the exception of the "emeritus" status, will be reviewed by the appropriate department annually.

4. Acting. (Modifier for administrative title). This modifier applies to a temporary appointment to fill a vacancy at an administrative level. The appointee may or may not receive compensation as a result of these temporary duties dependent on the nature of the new duties and

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of the previous responsibilities. Refer to subsection (5) below for a description of the academicadministrative classification.

5. Adjunct. This modifier applies to temporary appointments extended to persons of satisfactory professional qualifications who perform temporary teaching, research, or extension functions in connection with established programs. Such persons are appointed for one (1) academic term at a time and possess no continuing contractual relationship with the University. Persons with adjunct appointments may not be employed for more than fifty percent (50%) of the time throughout an academic year, or full-time for more than twenty-six (26) weeks of a fiscal year, unless approved by the Office of Academic Affairs based on the needs of the unit at the time approval is granted.

6. Affiliate or Joint. These modifiers apply to persons paid or holding appointments in a department or unit of the University who participate in another department or unit such as (joint) teaching and/or supervision of graduate students and/or research or extension on a regular or infrequent (affiliate) basis. A majority vote of the departmental faculty is required in the secondary department(s) to award such status. An affiliate appointment is not tenure eligible in the secondary department(s). Joint appointments may be tenure eligible. The rights and privileges of affiliate appointees in the department should be made clear to them at the time of appointment. Affiliate faculty members <u>normally</u> will be reviewed by the departments annually and such appointments may be altered or terminated at any time.

7. Clinical. The faculty modifier "Clinical" applies to persons of professional qualifications who perform teaching, research, service or extension functions in a clinical environment, in connection with an established program of the University. The faculty modifier "Clinical Hospitalist" applies to persons who perform teaching, research, and service functions

in a hospital as hospitalists. Appointees to a faculty position modified by "clinical" shall not be eligible for tenure.

8. Emeritus (Modifier for Faculty title). Faculty members, academic administrators, and such other officers of the University as the President may designate shall become eligible for the title "Emeritus" in connection with their faculty rank when they retire. The title is to be conferred in recognition of meritorious service, and it shall entail continued campus courtesies, under the same conditions as required for active faculty and administrators, including parking, use of the library and recreation facilities, admission to athletics and cultural events, receipt of such publications as are sent to regular faculty members and members of the Alumni Association, participation in contract and grant endeavors, and participation in academic convocations. Consideration for this title shall be accomplished prior to the faculty member's retirement although the Provost may permit consideration following retirement in exceptional circumstances. The names of all eligible nominees shall be submitted by the chairperson or director to the faculty for a departmental or school vote. The departmental or school vote shall accompany the submission of the nomination to the President from the department or school, the chairperson or director, and the dean or Provost. The President or designee will make the final determination and notify the Office of Academic Affairs.

9. Provisional. This modifier applies to the appointment of a person who is not fully qualified for an academic rank but who expects to acquire such qualification in a short period of time. Such appointments do not carry eligibility for tenure or permanent status during the duration of the appointment, and persons holding such appointments have no continuing contractual relationship with the University. The appointment may not be extended beyond one

(1) year except in the case of a provisional assistant professor or equivalent whose appointment can be extended for one (1) additional year.

10. Visiting. This modifier applies to appointments extended to qualified persons who are not expected to be available for more than limited periods of time, or to appointments to positions which are not expected to be available for more than a limited period of time. This appointment is not eligible for tenure or permanent status. The title shall not be held for more than four (4) years or the equivalent in proportional time unless approved by the Office of Academic Affairs based on the qualifications of the appointee and the needs of the unit.

11. Courtesy/Honorary/Affiliated Clinical/Industry - These modifiers apply to persons having the appropriate professional qualifications or having distinction and honor in his or her field who are appointed without compensation to a department, center or unit faculty. The "Industry" modifier may also be used for persons from industry who are paid with Other Personnel Services (OPS) funds only. Persons appointed with this status may or may not be otherwise affiliated with the University. This appointment is not eligible for tenure or permanent status. The "Affiliated Clinical" modifier is to be used for appointments in the Health Science Center only. Promotion shall be based on the credentials of the appointee and the recommendation of the faculty and administrators of the department, college and unit, as appropriate. Requests for promotion are submitted to the Office of Academic Affairs for final review and approval.

12. Research or Extension. This modifier may be used in those instances where a person holding professional rank is primarily engaged in research or extension. This appointment is not eligible for tenure or permanent status.

13. Program. This modifier may be used in those instances where a person holding professional rank is primarily engaged in Florida Cooperative Extension Service work and is funded through non-appropriated sources. This appointment is not eligible for tenure or permanent status.

14. Multi-year or Term. The modifiers "Multi-year" or "Term" are to be applied to faculty who are appointed and employed for a fixed term, unless terminated for cause, as indicated in the employment offer and contract. A multi-year or term contract cannot exceed five (5) years. The use of the modifier "Multi-year" or the modifier "Term", means that the appointment, whatever the faculty title or rank, is not a tenured, permanent status, tenure eligible or permanent status eligible or accruing appointment.

(b) Professional status and student modifiers may be used to describe the professional school or area or the position filled by a student as described in paragraphs (2)(c) and (2)(d) above. Appointees should be advised of the duties and responsibilities and the duration of such appointments and any special conditions required.

(5) Academic-Administrative Classification Titles. (Administrative/Faculty Title).

(a) Faculty appointed to serve in administrative positions, such as vice presidents, deans, directors, or department chairpersons, shall retain the rights and privileges afforded to them by their faculty rank. The following provisions shall apply to faculty members who are appointed to administrative positions.

1. Faculty appointed to serve in these administrative appointments shall not be eligible for tenure or permanent status in the administrative appointments. Tenure or permanent status shall not be granted in an administrative classification. 2. Tenure or permanent status granted to any faculty member prior to or during any such administrative appointment shall be retained only in the faculty rank in which it was granted. Any tenure or permanent status consideration during such appointment shall be based on the teaching, research, extension and service duties of the faculty member rather than the administrative portion of the assignment and on the University's criteria for tenure and promotion. Upon the cessation of such appointment, the faculty member shall be entitled to reassignment to the same or similar position in which tenure or permanent status was granted or held (or would normally have been granted or held) in the faculty member's current faculty rank.

3. Non-tenured and non-permanent status faculty who are appointed to serve in such administrative appointments shall be entitled to written notice of non-renewal if they are not to be offered further employment with the University.

4. Non-tenured faculty in tenure-accruing positions and non-permanent status faculty in permanent status-accruing positions who are appointed to serve in such administrative appointments shall accrue time toward tenure or permanent status only in their academic rank during such appointment. Appointees shall be advised in writing of the tenure or permanent status-earning eligibility of the <u>faculty</u> appointment at the time of the initial appointment in the academic-administrative classification.

(b) A faculty member holding an administrative position may be removed or reassigned to other institutional duties at any time during the term of the appointment and the salary rate and appointment period shall be established to reflect the new responsibilities. The change in the appointment status shall be submitted through the appropriate administrative channels to the Office of Academic Affairs for approval. Such removal or reassignment shall be discussed with the faculty member at such time and, if appropriate, with administrators of other departments or units affected.

(c) The change in the appointment status normally shall be submitted through the appropriate administrative channels to the Office of Academic Affairs for approval.

(d)(c) Faculty appointed to serve in administrative positions shall have no right to continue appointment in such classifications or positions beyond the term of the appointment period. An appointment term shall automatically expire at the end of the contractual period [twelve- (12) months or nine- (9) months or in the case of the University Laboratory School, ten- (10) months] or on June 30, whichever occurs first. Such appointments are subject to annual renewal in accordance with University of Florida Regulation 7.004(3), and notice of non-renewal shall not be required for the administrative appointment.

(6) Faculty Award Titles.

(a) Eminent Scholar, endowed chair programs, and Clinical Eminent Scholar.

 The title Eminent Scholar may be granted to a tenure eligible faculty member in the endowed chair program. The criteria for the title of Eminent Scholar in the endowed chair program shall be developed by the dean of the recipient's college in consultation with faculty members. Such criteria shall include, but not be limited to, an outstanding professional reputation and outstanding contribution of scholarly activities. Eminent Scholar is a title only and shall not be considered a promotional rank.

2. University faculty members whose performance meets the criteria of an established endowment are eligible for consideration for the endowed chair program. The decision concerning the recipient of an endowed chair rests with the administration of the academic college or unit in consultation with the faculty members of that college or unit. 3. The title of Clinical Eminent Scholar may be granted to a tenure ineligible faculty member. The criteria for granting the title of Clinical Eminent Scholar shall be developed by the Dean of the recipient's college in consultation with faculty members. Such criteria shall include, but not be limited to, an outstanding professional reputation and outstanding contribution of scholarly activities. Clinical Eminent Scholar is a title only and shall not be considered a promotional rank.

4. The Eminent Scholar and endowed chair programs may be evaluated on an annual basis using recognized academic standards, and each college or unit will be responsible for submitting a report on each Eminent Scholar and Clinical Eminent Scholar and the endowed professorship programs upon request of the Provost or President.

(b) Graduate Research Professor (Faculty title). The title of Graduate Research Professor was awarded to recognize outstanding accomplishment and an international reputation in teaching and research. This title is no longer awarded.

(c) Distinguished Service Professors and Research Curators (Faculty titles). The title of Distinguished Service Professor was awarded to recognize outstanding accomplishment in teaching, extension, or service while at the University. The title of Distinguished Research Curator was used to recognize outstanding accomplishments in research, service, and, where applicable teaching, in either the Florida Museum of Natural History or the University libraries. These titles are no longer awarded.

(d) Distinguished Professor and Distinguished Curator (Faculty titles) - These titles are awarded to tenured faculty holding the rank of Professor or Curator to recognize a distinguished and exceptional record of achievement beyond the level of Professor or Curator that is recognized both nationally and internationally. An exceptional record of achievement is one that places the candidate at the top of the discipline in research, as defined in University of Florida Regulation 7.019, with distinction in the areas of teaching and service as also defined in that regulation. Distinguished Professor is a title only and shall not be considered a promotional rank. Recommendations for the award of the title of Distinguished Professor are processed under the same procedures used for the recommendation for promotion under University of Florida Regulation 7.019, except that nomination for this award is to be initiated by the appropriate college dean or equivalent administrator. Candidates may not be self-nominated.

Authority: BOG Regulation 1.001.

History--New 12-9-75, Amended 8-15-78, 8-19-79, Formerly 6C1-7.05, 7.06, 7.08, 7.12, FAC, 3-26-80, 5-14-85, Formerly 6C1-7.03, Amended 4-30-95, 7-17-97, 6-28-98, 6-15-99, 6-21-00, 3-12-03, 6-3-03, 3-17-09, 6-12-09, Formerly 6C1-7.003, Amended 3-16-10, 3-17-11, 3-22-

REGULATIONS OF THE

UNIVERSITY OF FLORIDA

7.010 Faculty Evaluation and Improvement: General Policy, Areas of Performance to be Evaluated, Sources of Data for Evaluation, Methods of Evaluation, Utilization of Evaluations, Junior Faculty Mentoring, Sustained Performance Evaluation and Administrative Evaluation.

(1) General Policy.

Each faculty member shall be evaluated in writing at least once annually on the basis (a) of an assessment of the individual's total performance in fulfilling his or her assigned duties and responsibilities to the University and fulfilling effectively those responsibilities attendant to membership in the university community. The basic purpose of this evaluation is faculty improvement in the functions of teaching, including extension work, research, service, and any other duties that may be assigned with the resulting enhancement of learning, cultural advancement, and production of new knowledge. The semester assignment reflected in the Faculty Assignment Report (FAR), found in the University's on-line effort certification system, shall be used to convey the assigned duties and responsibilities to the faculty member at the beginning of each semester or academic term. The assignment shall be made in accordance with the policy established by the Provost as required by Florida Statutes. The assignment should provide faculty members who are eligible for tenure, permanent status, and/or promotion with equitable opportunities in relation to others in the department to meet the required criteria for such status. The primary assessment of the faculty member's competency shall be in terms of the performance of assigned duties and responsibilities, including those professional responsibilities arising from the nature of the educational process, such as observing and upholding the highest

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ethical standards of the faculty member's discipline, participating, as appropriate, in the shared system of collegial governance especially at department/unit level, respecting the integrity of the relationship between professor and student, and adhering to one's proper role as teacher, researcher, intellectual, mentor and counselor. The evaluation shall precede and shall be considered in making recommendations and final decisions on tenure or permanent status, promotions, and salary for tenured, permanent status, non-tenured or non-permanent status faculty members and on retention or non-renewal for non-tenured tenure- accruing or non-permanent status permanent-status accruing faculty members.

(b) The responsibility of the faculty member is the full and competent performance of all duties pertinent to his or her employment with the University. When first employed, each faculty member shall be informed in writing of what is expected of him or her, generally, in terms of teaching, including extension work, research and other creative activities, and service, and if there are any specific requirements and/or other duties involved. If and when these expectations change during the period of service of a faculty member, that faculty member shall be informed in writing of the change and shall be afforded an opportunity to discuss the assignment prior to its effective date.

(c) Application of the criteria enumerated in this policy shall not violate the faculty member's academic freedom or constitutional rights, nor shall a faculty member be punished for exercising such freedom or rights, either in the performance of University duties or duties outside the University. At the same time, a faculty member may reasonably be expected to show by his or her behavior, both in performance of University duties and duties outside the University, that he or she is aware that membership in the academic profession carries with it special responsibilities.

(d) Statement on Professional Ethics.

1. The professor, guided by a deep conviction of the worth and dignity of the advancement of knowledge, recognizes the special responsibilities devolving upon members of the profession. The professor's primary responsibility to his or her field is to seek and to state the truth as he or she sees it. To this end, the professor devotes himself or herself to developing and improving his or her scholarly competence. The professor accepts the obligation to exercise critical self-discipline and judgment in using, extending and transmitting knowledge. The professor must never seriously hamper or compromise anyone's freedom of inquiry.

2. As an instructor, the professor encourages the free pursuit of learning in students. The professor maintains and represents the best scholarly standards of his or her discipline. The professor demonstrates respect for the student as an individual, and adheres to the proper role of intellectual guide and counselor. The professor makes every reasonable effort to foster honest academic conduct and to assure that evaluation of students reflects their true merit. The professor respects the confidential nature of the relationship between professor and student. The professor avoids any exploitation of students for private advantage and acknowledges significant assistance from them. The professor protects their academic freedom.

3. As a colleague, the professor has obligations that derive from common membership in the community of scholars. The professor respects and defends the free inquiry of associates. In the exchange of criticism and ideas the professor shows due respect for the opinions of others. The professor acknowledges academic responsibilities and strives to be objective in professional judgment of colleagues. The professor accepts his or her share of faculty responsibility for the governance of the University. 4. As a member of the University, the professor seeks above-all to be an effective teacher and scholar. The professor observes the stated regulations of the institution, provided they do not contravene academic freedom, but nonetheless maintains the right to criticize and seek revision. The professor determines the amount and character of the work he or she does outside the University with due regard to his or her paramount responsibilities within it, provided such amount and character of outside employment is in compliance with State law and University and State University System's policies on outside employment. When considering the interruption or termination of employment, the professor recognizes the effect of this decision upon the programs of the University and gives due notice of his or her intentions.

5. As a member of the community, the professor has the rights and obligations of any citizen. The professor measures the urgency of these obligations in light of responsibilities to his or her field, to students, to the profession, and to the University. The professor, when speaking or acting as a private person shall avoid creating the impression that he or she speaks or acts for the college or the University. As an individual engaged in a profession that depends upon freedom for its health and integrity the professor has a particular obligation to promote conditions of free inquiry and to further public understanding of academic freedom.

(e) The criteria and procedures set forth in this regulation pertain to the annual evaluation of faculty. In cases where serious dishonesty, incompetence, neglect of duty, or irresponsibility of a faculty member is charged, proceedings should be undertaken involving full due process guaranteed under recognized procedures and standards for dismissal or other disciplinary action as provided in the University regulations, and contained in the Constitution of the University.

(2) Areas of Performance to be Evaluated. The performance of each faculty member shall be evaluated annually in writing based on the faculty member's contribution to the orderly and effective functioning of the academic-administrative unit (program, department, school, college), those professional responsibilities arising from the nature of the education process, and/or the total University and his or her performance in each of the following areas appropriate to the terms of his or her employment and assigned duties and responsibilities.

(a) Teaching -- Teaching involves the presentation of knowledge, information, and ideas by various methods including lecture, discussion, assignment and recitation, demonstration, laboratory exercise, practical experience, direct consultation with students, etc. The utilization and effectiveness of each of these methods, when appropriate, shall be considered. The evaluation of the total effectiveness of teaching shall be related to approved written objectives of each course which shall be given to each class at the beginning of the semester or academic term. Evaluation shall include consideration of:

1. effectiveness of teaching related to knowledge and skills imparted that correspond with the objectives of the course;

2. effectiveness of teaching related to stimulation of the students' critical thinking and/or creative ability in light of the objectives of the course; and

3. the faculty members' adherence to accepted standards of professional behavior in meeting his or her responsibilities to his or her students.

(b) Extension -- The contributions of the extension faculty to the non-degree educational programs of the University shall be evaluated. The utilization and effectiveness of the following processes and methods, where appropriate, shall be considered in the evaluation: situational analysis; clientele-needs assessment; design and implementation of educational experiences

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appropriate to the learner; analysis, synthesis, and adaptation of research results; packaging of such information into program materials such as bulletins, fact sheets, newsletters, mass media messages, and public displays for a wide range of audiences; and demonstration and field tests that enhance both idea adoption and development of new insights. The evaluation shall also include consideration of:

 Effectiveness of teaching and counseling related to planned objectives in the Extension program.

2. Effectiveness of obtaining, integrating, and organizing educational resources into programs directed to define needs of clientele.

(c) Research and Other Creative Activities -- Contribution to the discovery of new knowledge, development of new educational techniques, and other forms of creative activity shall be considered and evaluated. Evidence of research and other creative activity shall include, but not be limited to: published books, articles and papers in professional journals; musical compositions, paintings, sculpture; works of performing art; papers presented at meetings of professional societies; and current research and creative activity that has not yet resulted in publication, display, or performance. The evaluation shall include consideration of:

1. Productivity, including quality and quantity of what has been done during the year, and

2. Recognition by the academic or professional community of what has been done. In making judgments pertaining to the decision to award tenure, evaluation by qualified scholars in pertinent disciplines both within and outside the University should be sought.

(d) Service -- Service shall include, but not be limited to, participation in governance processes of the University through service on departmental, college, and university committees,

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councils and the senate; service to public schools; service in appropriate professional organizations; involvement in the organization and expedition of meetings, symposia, conferences, workshops; participation in radio and television; and participation on local, state and national governmental boards, agencies and commissions. Only those activities which are related to a faculty member's field of expertise or to the mission of the University shall be evaluated.

(e) Other University Duties -- Whenever reasonable duties other than those usually classified as teaching, including extension work, research or other creative activity or service, are assigned to a faculty member, such as academic administration and academic advisement, and such other responsibilities expected as may be appropriate to the faculty member's duties and responsibilities. The effective performance of these duties shall be evaluated as prescribed by the evaluation procedures of the University.

(3) Sources of Data for Evaluation -- Evaluation of a faculty member's performance shall include data from the following six (6) sources where appropriate:

(a) The chair of the faculty member's department or other administrative unit;

(b) Faculty;

(c) Students;

(d) The faculty member being evaluated;

(e) Other university officials; and

(f) Public school officials and/or personnel.

(4) Methods of Evaluation -- All appropriate data and evaluations, qualitative and quantitative, shall be collected by the chair of the department or other appropriate administrator.

(a) Chair's Evaluation -- The chair of the department or the administrator of a comparable academic unit shall review and evaluate the teaching, including extension work, research, and other creative activities, service and other university duties of each member of that department or unit during each academic year.

(b) Faculty Evaluation -- The respective college or divisions within the University will develop and implement the processes necessary to evaluate the appropriate faculty on teaching, including extension work, research and other creative activities, service and other university duties when appropriate.

(c) Student Evaluation -- Students shall evaluate teaching and, when appropriate, other university duties. The teaching effectiveness of each faculty member may be evaluated in writing by students currently or previously enrolled in his or her classes.

(d) Self-Evaluation -- Each faculty member may provide an evaluation of each area of his or her own total performance, and submit the evaluation, along with any appropriate substantiating evidence, to the chair of the department or other administrative unit.

(e) Evaluation by Other University Officials -- A faculty member may be evaluated by university officials for duties performed under the supervision of Deans, Directors, the Senior Vice President for Academic Affairs, or any other university official(s) who may supervise the faculty member's activities.

(f) Evaluation by Public School Officials and/or Personnel -- A faculty member who teaches or provides other kinds of service to the public schools may be evaluated by public school officials and/or personnel for duties performed under their supervision or in collaboration with said individuals.

(g) Evaluative Comments by Medical Students and Housestaff - The evaluation of the College of Medicine faculty shall include and incorporate into the evaluative process the evaluative comments, as well as the numerical data, submitted by medical students, housestaff (interns, residents, and clinical fellows), and physician assistant students concerning faculty members.

(5) Utilization of Evaluation.

(a) The chair of each department or other administrative unit shall collect the evaluation data for each faculty member in the department. The data, if used in the evaluation process shall be placed in the faculty member's personnel file which is normally located in the faculty member's department or unit. The chair shall provide the faculty member with a written summary of the data and shall discuss it privately with the faculty member.

(b) Existing evaluations and the data in the faculty member's personnel file upon which these evaluations are based shall be considered in recommendations and final decisions on tenure, promotions, and salary.

(c) The contents of the faculty personnel file which deal with the evaluation of performance shall be confidential and shall not be disclosed except to the affected faculty member and those whose duties require access to the file in accordance with the University's evaluation procedures or by the President or President's designee in the discharge of official duties and responsibilities or upon order of a court of competent jurisdiction. (Refer to University of Florida Regulation 3.055)

(6) The evaluations of administrators of the colleges or academic units shall be the responsibility of the dean and/or <u>the applicable senior appropriate</u> vice president.

(a) The annual evaluation process and the evaluation process and procedures involved in the evaluation of administrators requiring input from faculty and other appropriate personnel shall be the responsibility of the Dean, Director and/or <u>the applicable senior appropriate v</u>Vice <u>p</u>President.

(b) Copies of the administrative evaluation process and procedures requiring input from faculty and others shall be <u>filed with the Office of the Provost</u> publicly available on the college or senior vice president's website, as applicable.

(c) <u>Each evaluator shall submit his or her evaluation of the Dean or Director to the</u> Office of the Provost for tabulation. The results of the tabulation <u>evaluations for chairs and</u> <u>program directors</u> will be forwarded to the Dean or Director, with copies to the <u>Provost and</u> <u>Senior Sr. Vice President for Academic Affairs, the Senior Vice President for Health Affairs or</u> and the <u>Senior Sr. Vice President for Agricultural and Natural Resources, as</u> <u>applicableappropriate</u>.

(7) Junior faculty mentoring program and special review for faculty in the tenure probationary period.

(a) Each college and equivalent academic unit shall establish a mentoring program for faculty in the "tenure probationary period" as defined in University of Florida Regulation 7.019.
 This must include consultation assessing the faculty member's progress toward tenure. No college or equivalent academic unit mentoring program shall require any written assessments by the mentor.

(b) A special (midterm or mid-career review) review should be conducted for any faculty members in the tenure probationary period no later than the close of the third (3rd) year of academic service. Each college shall establish procedures for conducting the review. Such

procedures must require that each candidate prepare a tenure packet (without external letters). A departmental committee of tenured faculty, the department chair or equivalent administrator, and the dean or equivalent administrator must provide an evaluation of the faculty member's progress toward meeting the criteria for tenure. The outcome of the review shall be shared with the faculty member evaluated, but shall not be used in any future evaluation of the faculty member for tenure.

(8) Sustained Performance Evaluations - Tenured faculty members shall receive a sustained performance evaluation once every seven (7) years following the award of tenure or their most recent promotion. The purpose of this evaluation is to document sustained performance during the previous six (6) years of assigned duties and to encourage continued professional growth and development. A performance improvement plan shall be developed only for those employees whose performance is identified through the sustained performance evaluation as being consistently below satisfactory in one or more areas of assigned duties. It is the responsibility of the employee to attain the performance targets specified in the performance improvement plan. If the faculty member fails to meet these targets, the unit has the responsibility to take appropriate actions under the provisions of University of Florida Regulation 7.048, or the Collective Bargaining Agreement for those faculty in the bargaining unit.

Authority: BOG Regulation 1.001.

History--New 3-26-80, Amended 2-23-82, 3-6-85, Formerly 6Cl-7.l0, Amended 11-13-90, 6-28-98, 7-19-05, Formerly 6C1-7.010, Amended 12-1-12 (technical changes only).

University of Florida-University of Florida Research Foundation, Inc. (UFRF) <u>Institutional</u> Conflict of Interest Policy <u>regarding UFRF Equity Holdings</u>

A. <u>Introduction</u>:

Various This policy deals with the institutional conflicts of interest that may arise when the University of Florida Research Foundation, Inc. ("UFRF")"): (1) holds equity in companies that license technology and/or support ongoing research at the University of Florida ("University"). This policy concerns conflicts of an equity or other ownership interest other than the personal conflicts of interest that may arise when a faculty member or other employee stands to benefit financially from the results of his/her own research. The University has policies in place to deal with these conflicts. Basically, these personal conflicts are managed by first requiring disclosure to the chairperson, dean, the Vice President for Research, and, in some instances, to a Senior Vice President and the Chair of the Board of Trustees. In cases of potentially significant conflicts, such as when a faculty inventor is involved in conducting research sponsored by a company that has licensed the technology, a plan to monitor the potential conflicts must be formulated and agreed to by the department chair, the dean, and the Office of Research. The purpose of this policy is to mitigate institutional conflicts (UFRF and the University) and to avoid the creation of other personal conflicts with regard to UFRF equity holdings.

An institutional conflict may develop when an academic unit, such as a department or college, the Office of Research, or UFRF stands to benefit financially from the outcome of research ongoing at the University to support a license or a research agreement. UFRF, the Office of Research, and/or academic units at the University, along with inventors,("equity" herein) in a commercial enterprise and that enterprise has an ongoing research relationship with the University of Florida ("University"), and/or (2) has entered into a license or other agreement conveying an interest in University-developed intellectual property ("license agreement" herein) with a commercial enterprise and that enterprise supports research involving the specific licensed technology at the University. UFRF, the Office of Research and academic units at the University (along with inventors) may receive future financial rewards by way of royalties or other feesbenefits if the product or servicelicensed technology is commercially successful. -Therefore, they have a financial interest in <u>assuringresearch outcomes that impact</u> the success of the product. If the returns are in the form of royalties, there is some control, however. The market must buy the product or service and will judge it on its merits, not on earlier University actions. Otherwise, there are no royalties.

<u>UFRF may hold technology. The holding of equity or other ownership interests ("equity"</u> herein) in licensees. In these instances by UFRF increases the potential institutional conflicts become more likely for several reasons:

• Equity markets are not perfect. Speculators reacting to information such as research results may cause substantial changes in market value. This may occur before any product sales.

Unlike royalties, owners

- <u>The purpose</u> of equity may cash in their shares prior to the product or service passing the market test of generating sales. This creates a situation where UFRF and the inventor may enhance their positions relative to other shareholders by having superior information.
- UFRF generally accepts a level of equity that could have substantial value if the product or service is successful. Therefore, the size of the transaction makes the potential institutional conflict even more serious.

this policy is to manage or eliminate

• The University and UFRF, as well as the inventor, must avoid even the appearance of manipulating stock prices through issuing or using information that may later prove incorrect, such as promoting a drug discovery that later fails FDA tests.

Acceptance of equity in licensees of University technologies is within the overall mission of the University of Florida Research Foundation, Inc. There are three compelling reasons. First, many technologies are best developed within a small entrepreneurial company. In such cases, cash held by these companies may be better employed in product development and marketing rather than paying a cash license fee. Second, consistent with UFRF's Investment Policy as approved by the Board of Directors, UFRF may elect on occasion to invest directly in a licensee. Such an investment must be approved by the Board of Directors upon the recommendation of the Investment Subcommittee of the UFRF Finance Committee. Finally, the development of technologies in a small company may enhance economic development within the region, which is also of benefit to the University of Florida and the State of Florida. By licensing to new companies that locate within the region, jobs are created. If the company is successful, many jobs may be created.

B. <u>Hypothetical Situation</u>:

To illustrate the issues involved in institutional conflicts of interest, consider the following hypothetical case. UFRF licenses a drug developed at the University to a start up biotechnology company (BIO). As an alternative to a license fee, UFRF takes 100,000 shares of BIO stock which is 10% of the founders' shares. The stock has no market value initially and is carried on the books at a value of zero. Suppose that after the license agreement is entered into, the entrepreneur is successful in a private placement and raises \$5,000,000 for future research and development from venture capitalists at a price of \$4 per share. Thus, the UFRF position has now effectively grown to \$400,000 although there is no market for the stock.

The venture capitalists that invested in BIO anticipate that if the drug goes through clinical trials, it will create a valuation at 20 times their investment. Thus, UFRF looks at a potential stock price at a public offering of \$80. UFRF's position would then be worth

\$8,000,000. Under current policy, UFRF would sell the stock as soon as practicable when it becomes publicly traded. The inventor would then will receive 25% or approximately \$2,000,000. The researcher's program would receive \$800,000, the researcher's department would receive \$800,000 and the researcher's college, \$800,000. UFRF would receive the remaining \$3,600,000. Additionally, if the stock reaches this value, it is likely that there will be many millions in royalty income over the life of the patent. These funds will also accrue to the inventor, the inventor's program, the department, the college, and UFRF.

With a potential \$6 million from the sale of stock, plus future royalties coming to the University, there is a large incentive for academic units to make this technology successful. Developmental research may be the key to success. This is widely known by the financial community that will become very interested in research progress reports. If research continues on the invention at the institution, and particularly if that research is partially or wholly conducted by the inventor, (of UFRF and the University), as necessary. It does not concern the personal conflicts of interest or the appearance of such conflicts occur. (The following analysis borrows heavily from Ezekiel Emanuel and Daniel Steiner, "Institutional Conflict of Interest," <u>The New England Journal of Medicine</u>, January 26, 1995.) Examples of situations in which such conflicts may lead to decisions not in the best interests of the University or UFRF are:

1. The inventor/researcher may elect to assign that may arise when a faculty member or other employee stands to benefit financially from the results of his/her graduate students to work on the project although this may not be in the best interests of the careers of the graduate students because of confidentiality reasons or simply the nature of the science or technology involved.

2. The researcher may inappropriately divert resources from research not funded by the licensee company (i.e., supported by a federal agency or another corporate sponsor) to development of the invention.

3. The department may assign excessive laboratory space or <u>own research</u>, which are <u>covered by</u> other resources to the project, crowding out more deserving science/technology in terms of research and educational value.University policies.

4. The department, the college, and the University will have an incentive to keep the researcher on the faculty and involved with the technology. This may conflict with normal tenure, promotion, and merit pay standards. For the same reason, the researcher may be allowed to enter into inappropriate consulting or other agreements with the company.

5. If future research involves clinical trials, there may be pressure on the institution to aggressively seek patients for these tests, to fail to inform patients of the potential conflict, and to ignore or minimize symptoms that suggest an adverse reaction to the drug.

6. There is a risk that the researcher will employ a research design or data collection that biases the study to obtain the desired results and that traditional institutional checks on the behavior will be ignored.

7. In reporting the results to the public or in other public relations activities, the University and the researcher have an incentive to portray research progress and the potential for the company in the best possible light to maximize investor interest in the company.

8. If another researcher at the institution invents an alternate therapy or product, which may be more efficacious for the patient or have more value for consumers, the University may not pursue further development or licensing because of economic competition with the existing invention.

<u>CB</u>. <u>Institutional Conflict of Interest Policy</u>:

The University and UFRF have adopted the following procedures to deal with the potential and actual conflicts created when UFRF holds equity <u>interests</u> in <u>a</u>_commercial <u>enterprisesenterprise</u> and that <u>license technology and/or supportenterprise has an</u> ongoing research <u>relationship</u> at the University; of Florida ("University") or when UFRF has entered into a license agreement with a commercial enterprise (even if no equity interest is granted) and that enterprise supports research involving the specific licensed technology at the University.

1. Disclosure and Responsibility for Monitoring:

a. Any material provided to the public, to scientific journals, or to professional organizations from the University, UFRF, or from their respective employees should include the disclosure that the University of Florida, UFRF, and/or the inventor(s) <u>or author(s)</u> have significant financial interests in the licensing company. The person providing the material is responsible for making such disclosures.

b. WhenBefore a research contract or grant is entered into by the University with a company in which UFRF holds an equity interest, or when a research project is commenced dealing with a company that has licensed productUniversity-developed technology that is the subject of a company in which UFRF holds an equity interest, the research contract or grant, the Vice President for Research or the Vice President's designee shall inform the appropriate University officials of the potential conflict of interest of any faculty member or other employee ("Researcher")" herein) working on that research project. Notification should be made to the department chair, to the department graduate coordinator, to the dean of the college, to the Senior Vice President responsible for that college, and to the Vice President for Research. The dean of the college, in consultation with the Vice President for Research's designee, will be responsible for monitoring the situation, with special attention to resource allocation, employment practices, and graduate student assignments, and for informing the Researcher of this policy.

c. In cases (such as the BIO example) where potential conflicts are significant, the college dean must make annual written reports to the University's General Counsel's office, which will assist these persons in overseeing the disclosure and management of potential conflicts. A copy of the reports shall also be sent to the applicable Senior Vice President's office. In all cases in which UFRF has an ownership interest, any proposed changes in the license or research agreements involved or any potential sale of that interest must be immediately reported to the General Counsel's office.

c. Annually the colleges and the Office of Research will review and update the ongoing conflict of interest cases.

d. The financial interests of the University of Florida, UFRF, and the Researcher must be disclosed specifically and in writing to any research subject. The Researcher is responsible for makingensuring that such disclosures are made.

2. <u>Research Procedures</u>:

— a. The University should not be involved in any clinical trials/product testing of its licensed technology. In those exceptional circumstances in which the University must be involved (which must be specifically approved by the University's Vice President for Research), the inventors should not participate in such trials/testing at the University (unless approved by the University's Vice President for Research) and independent research should be conducted at other institution(s) to substantiate research results obtained by a Researcher. If the Vice President for Research approves any exception to the general policy that the University not be involved in any clinical trials/product testing of its licensed technology, documentation of the request for the exception and the reasons why it was granted must be maintained for a minimum of eight years after the completion of the clinical trials/product testing.

b. Any research should be designed to minimize researcher discretion in interpreting results. The Researcher should keep extensive notes to detail results and other issues that came up during the study. These records should be kept a minimum of eight years.

3. <u>Equity DecisionsRestrictions</u>:

a. UFRF managers, which shall include its directors and officers, and University of Florida managersofficials, which shall include its trustees, president and vice presidents, shall not acquire any equity in any commercial enterprise which has provided UFRF an equity position as consideration under a license or other agreement or in which UFRF has otherwise acquired an equity interest. A list of such equity positions shall be found in the UFRF annual audit.

b. University department chairs If approved by the Vice President for Research and college administrators (deans, associate deans, assistant deans, and so forth) shall not acquire equity in any such company when the respective department or college may benefit financially from the license or other agreement. Notwithstanding the foregoing, if applicable Senior Vice President, a University department chair, center director or college administrator (dean, associate dean, assistant dean), who is the inventor of intellectual property licensed to a commercial enterprise and that, may receive equity in the company as payment for services when the commercial enterprise desires to utilize the services of the chair, center director or college administrator as a consultant or otherwise as needed for the further to assist in the development of the licensed product, the University chair or college administrator may receive equity in the company as payment for such services. Such arrangement requires the approval of the Vice President for Research and the applicable Senior Vice President.intellectual property. Otherwise University department chairs, center directors and college administrators should not acquire equity in any such company when the respective department, center or college may benefit financially from the license agreement.

c. If a research grant or contract is entered into with a company in which UFRF holds an equity interest, any UFRF or University manager who also hasmanagers, which shall include its directors and officers, and University of Florida officials, which shall include its trustees, president and any vice president who has supervisory authority over the Researcher as a University employee, shall recuse themselveshimself or herself from any UFRF or University discussions or decisions regarding the equity or regarding any agreement between UFRF or the University and the company.

d. A Researcher or any other University employee who may have knowledge of the research shall not discuss current research results that have not been made public with any UFRF managers who have authority to make decisions on the <u>stockequity</u>. If the <u>stockequity</u> is publicly traded, it is the individual responsibility of the Researcher and those working with him <u>or her</u> on the research project and his/her department chair, <u>center director</u> and dean are responsible for familiarizing themselves with any restrictions imposed underto follow the provisions of federal securities law.--.

e. UFRF managers will make decisions on the equity, including whether and when to sell the stockequity, based only upon publicly available information.

f. UFRF will sell its <u>stockequity</u> as soon as practicable on the market. Such a sale will be accomplished upon the advice of the UFRF Investment Subcommittee. In order to limit potential conflicts, <u>no</u> University department chairs, <u>center directors</u> or college administrators whose <u>department or</u> college has any technology licensed by UFRF shall <u>serverecuse themselves on issues relating to their college when serving</u> on the Investment Subcommittee.

3. Human Subject Research:

The University will take particular care with respect to management of any potential institutional conflicts of interest in research involving human subjects so as to protect the welfare of human subjects and the integrity of the research. In order for the University or any of its employees to participate in a human subjects research project that represents an institutional conflict of interest as described in this policy, the project must be reviewed and approved by the University's Vice President for Research and an appropriate conflict management plan must be approved. Factors that will determine the suitability of the University's participation in the proposed human subject research project and management plan include the following:

• <u>The degree of risk to human subjects inherent in the research.</u>

- The ability of the University to appropriately safeguard the welfare of the human research participants
- The nature of the institutional and additional individual conflicts of interest and the degree to which the conflict(s) can be effectively managed.
- Whether the University is uniquely qualified, by virtue of distinctive resources (e.g. special facilities or equipment that cannot be duplicated easily, unique patient population) and/or the unique experience and expertise of its investigators, to conduct the research and appropriately safeguard the welfare of the human research participants involved.
- The number of trial centers, the clinical trial phase and role of the University in the case of human subject clinical trials.

Adopted by the Board of Directors and Approved by the University of Florida President on October 1, 1998.

Revised by the Board of Directors on December 9, 2013 and Approved by the University of Florida Board of Trustees on March 28, 2014.

<u>Revised and restated by the Board of Directors on December 10, 2014 and Approved by the</u> <u>University of Florida Board of Trustees on xxxxx, 2015.</u>

Overview

Freshmen admission decisions were released on February 13th to approximately 28,000 students who completed their application by the November 1st deadline. Decisions will be released for the post-November 1st applicants on March 27th. Students were notified through the on-line application status check system (<u>http://www.admissions.ufl.edu/appstatus.html</u>). At the peak of the decision notification event the system served 1100 decisions a minute. Additionally, during the notification process University Relations and the Office of Admissions lead a social media campaign to welcome the newest Gators that included an admitted student website (<u>http://welcome.admissions.ufl.edu/uf19</u>) and Twitter (#UF19). The #UF19 hashtag was so popular that it was trending on Twitter for more than an hour. The collaboration between staff in the Office of Admissions, Enterprise Systems and University Relations Social Media made this release a positive experience for applicants and their family.

There are multiple pathways to enter the University as a freshmen including **Summer B, Fall, Innovation Academy (IA), PaCE and UF Online**.

This year, we introduced a new initiative, Pathway to Campus Enrollment (PaCE)

(www.admissions.ufl.edu/ugrad/pacefag.html). PaCE allows us to offer admission to additional qualified applicants with academic potential and demonstrated success. Students selected for PaCE will enroll in UF Online as degree-seeking students for a minimum of two semesters and complete at least 15 credit hours online. After earning a total of 60 credits (up to 45 credits may come from previous college credits earned through AP, IB, Dual Enrollment or other accelerated methods) and meeting program requirements, students may move from online learning to a guaranteed place on the UF residential campus. There are over 50 majors offered through PaCE.

Students in the **Innovation Academy** (<u>http://innovationacademy.ufl.edu/</u>) will enroll on-campus during the spring and summer terms and will have the Fall term free to do internships, study abroad, on-line courses, research or a host of other great opportunities. This forth cohort of IA students will enter in **Spring 2016**.

Additionally, freshmen can apply directly to **UF Online** (<u>http://ufonline.ufl.edu/</u>) and receive their decisions on a rolling basis as applications are completed. UF Online allows students to complete their bachelor degree program entirely online.

Helpful Insights...

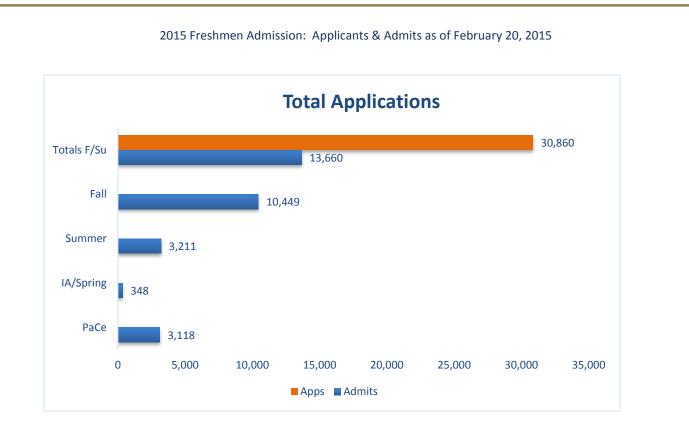
The GPA and SAT/ACT are only two of many variables used in the review process so there are students who fall within or above the ranges who were not admitted. Our evaluation process is comprehensive taking into consideration grades in academic subjects, rigor of the curriculum, progression of performance in academic courses as well as recognitions and accomplishments, breadth and depth of involvement in school and/or the community, special talents and extenuating circumstances just to name a few factors. At least four evaluators review each file from an individual holistic perspective as well as collectively in relation to the entire pool. We discuss the SAT/ACT and GPA in terms of the mid-50% instead of averages because it gives a better big picture of all admits and it helps to diminish the perception that there are "cut offs". The mid-50% represents that core group of admits and there are 25% higher and 25% lower than this range.

Profile:

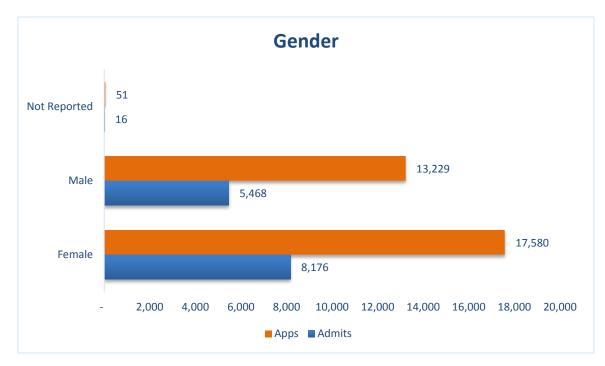
Once again we had an extraordinarily well qualified group of applicants and selectivity was high. Space limitations restrict our campus admissions offers to a faction of the excellent applicants.

Fall Admit Profile	dmit Profile GPA		ACT	
Mid 50%	4.2-4.5	1790-2050	27-32	
Average	4.3	1918	29	

University of Florida | Division of Enrollment Management



• Total application increase by 5% over this same time last year



• Admit rate remained at 44%

- Applications from women out-paced men for the fifth year in a row.
- Women represent 57% of all applicants and 60% of admits.

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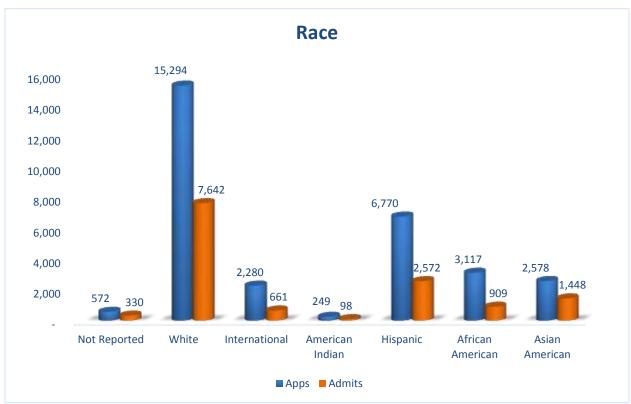
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- Non-Florida applicants account for 26% of total application up from 24% last year.
- 67% = Florida; 8% remain undetermined awaiting documentation to prove Florida residency.

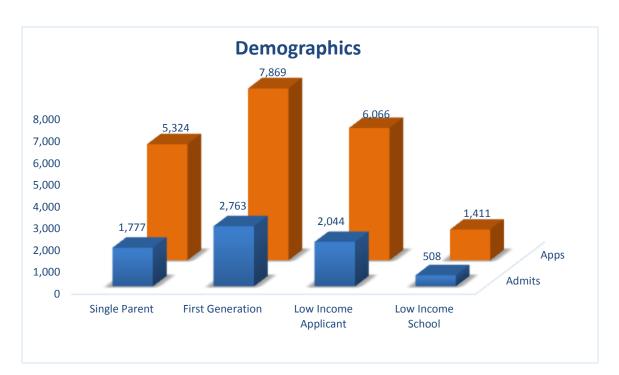


- Applications from all racial groups increased this year: American Indian up 9% followed by Hispanic (6%); African American (5%); Asian American (5%) and White (5%).
- Admits increased for: American Indian (12%), African American (7%) and White (1%)

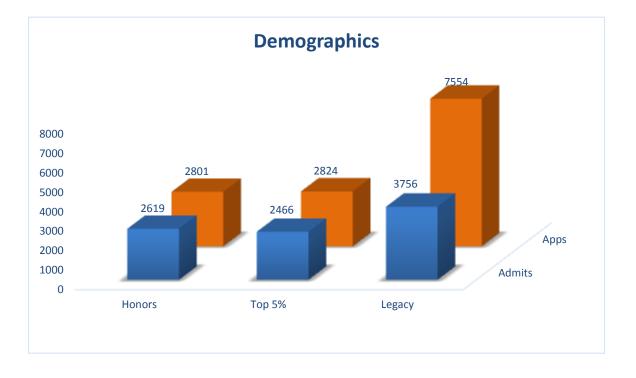
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3





• We saw increases in both applications and admits for all these demographic areas that are most often associated with students identified for the Machen Florida Opportunity Scholars program.



- Children of Alum account for 24% of applications and 27% of admits.
- An invitation to apply to the Honors Program requires a minimum 4.0 GPA and 2070/33 SAT/ACT test score.

University of Florida | Division of Enrollment Management

UNIVERSITY of **FLORIDA** Request for a New Major in an Existing Program

This form should be used to request a new major under an existing Instructional Program (i.e., UF is already approved to offer the CIP at that degree level).

Itemized instructions for completing and submitting this form begin on the third page.

Dep	Department and College							
1.	Dept Name M.E. Rinker School of Construction Management							
2.	Dept Number	011503000						
3.	College Name	Design, Construction, and Planning						
Exis	ting Degree Program]						
4.	Program Level	Μ	5.	CIP Code	15.1001			
6.	Program Name	Construction Management						
7.	Major Code, Degree	e, and Name for Each Existing Major						
CM	- Master of Cor	nstruction Management (M.C.M	n	on-thesis) in (Construction			
	nagement		,					
	•							
CM	- Master of Scie	ence in Construction Managem	ent	(M.S.C.M, th	esis) in			
Cor	nstruction Mana	aement						

Requested New	/ Major				
8. Term	Spring 2015		9.	Credits	30
10. Degree	MSCM		11.	Major Code	To be assigned by AA
12. Name	Sustainable Constructi	on			
13. Tracks/Cor	ncentrations				
None					
· · · ·					
Percentage	d Delivery System: e of credits available via ional delivery:	< 25%		25-49%	50% or more

Revised 9/29/2013

15. Rationale for the Proposed Major

The purpose of this major is to meet a wide range of new requirements in construction industry for sustainable construction, sometimes referred to as high-performance green buildings. As a minimum, all construction managers need to have strong familiarly with the LEED and Green Globes green building rating systems, now being applied to over 40% of all commercial and institutional construction in the U.S. The Federal government mandates green building certification for all of its construction, as do many states, including Florida. Based on recruiting by construction industry at the Rinker School, it is clear that having a major in sustainable construction would give the student a competitive edge in hiring. All construction firms highly recommend and even require that a student have experience with green building delivery and certification systems. Due to the promotion of green building throughout the state via professional education programs, the Rinker School has created a demand for graduates with sustainable construction credentials and training. A vast new area of research has resulted from this relatively new approach to construction to include research in energy efficiency, renewable energy, water conservation, recycling of construction materials, reducing construction waste, carbon neutral and net zero energy buildings, innovative building ventilation systems that reduce energy consumption, passive buildings, and indoor environmental quality. This program will address these research needs. Additionally expanded training of construction industry professionals such as architects, construction managers, and engineers is needed to meet the heavy demand for professionals with green building credentials and the Major will give additional weight and credibility to Rinker School programs.

16. Impacts on Other Programs

There are no anticipated impacts on other programs except internal to the MSCM program in which the Major in Sustainable Construction resides. It is expected that a significant number of students in the MSCM program will opt for the major in Sustainable Construction. Much of this shift has already been experienced and adjustments have been made due to the existing Concentration in Sustainable Construction which will be replaced by the major. Once the major in Sustainable Construction has been approved the School will seek to close the current concentration in Sustainable Construction.

Overall we expect the new major to attract many new students to the program whose interest is in sustainability and green building. We would expect that the new major would improve our ability to recruit students to our graduate programs.

17. Proposed Catalog Changes

Attach a copy of the proposed catalog changes, showing all revisions.

Departme	Department Contact:						
Name	Name Rober R.Ries						
Email	rries@ufl.edu	Phone	273-1150				
College Co	ontact:						
Name	Margaret H. Carr						
Email	mcarr@ufl.edu	Phone	392-4836 x308				

Request for a New Major in an Existing Program

Revised 9/29/2013

Instructions

Please note: this form should **only** be used to request a **new major** under an existing Instructional Program. That is, UF is already approved to offer the same degree (e.g., B.S., B.A., M.A., etc.) with the same CIP (Classification of Instructional Programs) code. To instead propose a new degree program (i.e., with a CIP that is not yet approved to be offered at UF) follow the procedures at <u>http://www.aa.ufl.edu/developing-programs</u>.

Department and College

- 1. Enter the name of the department from which this request is originating.
- 2. Enter the number of the department listed above.
- 3. Enter the complete name for the college/school from which this request is originating.

Existing Degree Program

- Indicate the level of the approved, existing degree program. This must be one of the following: B-Bachelor's Degree; M-Master's Degree; D-Doctorate Degree; S-Specialist Degree; E-Engineer Degree; P-Professional Doctorate.
- 5. Enter the six digit Classification of Instructional Programs (CIP) code for the existing degree program. The code has the numerical format XX.XXXX. Contact the <u>Office of Institutional Planning and Research</u> (OIPR) to verify the CIP code for the existing degree program.
- 6. Enter the name of the existing degree program.
- 7. Enter the combination of major code, degree and major name for each existing major in the program (e.g., HY, Bachelor of Arts in History; TEL, Bachelor of Science in Telecommunication.)

Requested New Major

- 8. Enter the term (semester and year) that students would first be admitted.
- 9. Enter the number of credits in the new major.
- 10. Enter the degree of the new major (e.g., BA, BS, MA, MFA, MD, PhD).
- 11. Enter the existing major code from item 7 to be linked to the new major.
- 12. Enter the name of the proposed new major (e.g., Mathematical Modeling).
- 13. If the proposed major would have tracks (for undergraduate programs) or concentrations (for graduate programs), list them here. Note that these must also be explained in the rationale (item 15, below) and be included in the proposed catalog copy.
- 14. Check the appropriate box to indicate the total percentage of the major's credits that are available via a nontraditional delivery system (e.g., off main campus, fully online, online with onsite meetings on main campus, online with onsite meetings off main campus, or self-paced instruction).

Rationale for the Proposed Major

15. Describe the rationale for the new major, what distinguishes it from the existing major(s) in the degree program, the extent of its overlap with the existing major(s) in the degree program and in other programs at the university, and a justification for any such overlap.

Impacts on Other Programs

16. Describe any potential impact on other programs or departments, including increased need for general education or common prerequisite courses, or increased need for required or elective courses outside of the existing program.

Proposed Catalog Changes

17. Prepare a copy of the proposed catalog changes that shows all revisions, for example using strikeouts and underlined text, or using tracked changes. Note that this catalog copy must include an **eight-semester plan** for the proposed major.

M.E. Rinker, Sr., School of Construction Management

Print this Page

Director: Robert Ries Director of Master's Programs: Robert E. Minchin

Complete faculty listing: Follow this link.

Doctor of Philosophy: The college offers an interdisciplinary doctoral program in design, construction, and planning. Areas of specialization in the program include architecture, construction management, interior design, landscape architecture, and urban and regional planning. Within the area of construction management, specialization options include sustainable construction, information systems, construction safety, affordable housing, productivity, and human resource management. These specializations prepare students to assume college-level faculty positions and industry research positions in construction management and the building sciences. For more information on the Ph.D. program, write to the Ph.D. Director, College of Design, Construction, and Planning Doctoral Program, 331 ARCH, P.O. Box 115701. For information on the specializations in the Rinker School of Construction Management, write to the Director of Graduate and Distance Education, Rinker School of Construction Management, 304 Rinker Hall, P.O. Box 115703.

Master of Construction Management (M.C.M.) or Master of Science in Construction Management (M.S.C.M.): The M.E. Rinker Sr. School offers courses leading to the degrees of Master of Science in Construction Management (thesis), Master of Construction Management (nonthesis), and Master of International Construction Management (nonthesis distance education program for experienced professionals). A Master of Science in Construction Management (thesis) with a Major in Sustainable Construction is also available to applicants. An individual plan of study is prepared for each student to insure that the student's goals are achieved within the broad policy guidelines of the Rinker School. Specialization may be in such areas as construction management, sustainable construction, information systems, construction safety, and construction law. Requirements for the M.C.M., M.S.C.M., M.I.C.M., and Ph.D. degrees are given in the General Information section of this catalog. To be eligible for admission to the M.C.M. or M.S.C.M. programs, including the Major in Sustainable Construction, a student must hold a 4-year undergraduate degree in construction management or its equivalent in related fields. "Equivalent in related fields" should include studies in construction materials and methods, structures, and management. Students with deficiencies in these related fields may need longer residence for the master's degree, as they will be required to take specified basic courses to provide a foundation for advanced courses. There is no foreign language requirement.

No more than 3 credits of <u>BCN 6971</u> may be used to satisfy the credit requirements for the M.S.B.C. <u>M.</u> degree without written permission of the Director of Master's Programs.

Master of International Construction Management (M.I.C.M.): This program prepares students to assume upper-level management responsibilities in a multinational company. To be eligible for admission to the M.I.C.M. program, a student must have

- A 4-year undergraduate degree
- At least 5 years of meaningful, supervisory-level construction management experience
- Acceptable GRE scores (verbal and quantitative)
- A grade point average of 3.0 on a 4.0 scale
- Employer sponsorship
- International students must submit an acceptable score on one of the following: TOEFL (Test of English as a Foreign Language: computer=213, paper=550, web=80), IELTS (International English Language Testing System: 6), MELAB (Michigan English Language Assessment Battery: 77), or successful completion of the UF English Language Institute program.

No more 3 credits of <u>ICM 6934</u> may be used to satisfy the credit requirements for the M.I.C.M. without written permission of the Director. All candidates are required to take ICM 6930. In addition to these 6 research-oriented graduate credit hours, the student selects one or two areas of emphasis and then takes the rest of the required 33 credit hours from the remaining courses and special electives. All candidates are required to pass a comprehensive oral and/or written examination at the completion of the course work and their master's research report/project.

The M.E. Rinker Sr. School reserves the right to retain student work for purposes of record, exhibition, or instruction.

Research facilities: The Shimberg Center for Housing Studies, operating within the School, researches the problems and possible solutions associated with developing and producing affordable housing. The Powell Center for Construction and the Environment conducts research on implementing sustainability in creating, operating, and constructing a built environment. The Fluor Program for Construction Safety researches and disseminates information on matters related to construction safety and health. The Center for Advanced Construction Information Modeling educates members of the AECO industry about new and emerging technologies in virtual design and construction.

Combined program: The School offers a combined bachelor's/master's degree program. Contact the Director of Master's Programs for information.

Programs

<u>Construction Management</u>

- <u>International Construction Management</u>
 <u>Sustainable Construction</u>

Cover Sheet

Construction Management

Info

TULO	
Process	Graduate Programs
Status	Pending
Submitter	Carroll, Joseph James josephcarroll@ufl.edu
Created	3/14/2014 1:32:45 PM
Updated	10/16/2014 3:45:37 PM
Description	(15.1001) We wish to add a Sustainable Construction major to the Construction
	Management degree.

Actions

Step	Status	Group	User	Comment	Updated
Department	Approved	DCP - Building Construction 011503000	Ries, Robert		3/17/2014
College	Recycled	DCP - College of Design, Construction and Planning	Carr, Margaret H	Please submit curriculum description and wording that will appear in graduate catalog.	4/18/2014
Department	Approved	DCP - Building Construction 011503000	Ries, Robert		7/18/2014
College	Approved	DCP - College of Design, Construction and Planning	Wehle, Andrew J		8/26/2014
Graduate Council	Recycled	GRAD - Graduate Council	Moraca, Rhonda S	Please revised the request new major form and the proposed catalog copy for the M.E. Rinker Sr., School of Building Construction as discussed in our meeting 10/8/2014. Thank you.	10/8/2014
College	Approved	DCP - College of Design, Construction and Planning	Carr, Margaret H		10/9/2014
Graduate Council	Approved	GRAD - Graduate Council	Dishman, Lorna M		10/16/2014
Faculty Senate Steering Committee Faculty Senate - Information	Pending	FAC - Faculty Senate Steering Committee			10/16/2014
Item Faculty Senate - Action Item					

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Step	Status	Group	User	Comment	Updated
Office of the					
Provost				-46	
Board of					
Trustees					
Board of					
Governors					
Office of the					
Registrar					
Office of					
Institutional					
Planning					
and					
Research					

UF |UNIVERSITY of

Close a Major

This form should be used to request closure (termination) of a major that is part of an existing degree program. Note that one form should be submitted for each degree / major combination. Instructions for completing this form are on the last page.

Existing Degree Program

1.	Degree Program	MAT in Philosophy	2.	CIP Code	38.0101	
3.	Degree Name	Master of Arts in Teaching (M.A.T.)				

. . .

Maj	or to Be Closed				
4.	Major Name	Philosophy	5.	Major Code	РРҮ
6.	Phase-out Date	Spring 2015	7.	Termination Date	Spring 2015

8. Rationale for Request

The Master of Arts in Teaching program (as opposed to the Master of Arts program) has been inactive for over two decades. The last student to earn the degree graduated in 1992. Since then no students have been enrolled or have expressed any interest in the program.

Note: The program in question is identified in the Graduate Information Management System as "Program ID 17."

9. Impacts on Other Programs

No impact.

10. Steps Taken to Inform Students and Faculty

None. No students are enrolled and no faculty have duties relevant to this specific program.

11. Accommodation of Students in the Major

None. No students are enrolled.

12. Accommodation of Faculty Active in the Major

.

None. No faculty have duties relevant to this specific program.

8 Prepare a document including supporting documentation showing evidence of consultation with and support from any other affected academic units.

Instructions

This form should **only** be used to request the closure (termination) of an existing major under an existing Degree Program (with a CIP code) if the degree program contains other majors at the same degree level. To instead close all majors at the same level in the degree program, follow the procedures at http://www.aa.ufl.edu/closing-programs. For example, this form should be used if a degree program at the Bachelor's level has two majors, and the intent is to close only one of them. However, the procedures at http://www.aa.ufl.edu/closing-programs. Would apply if this degree program has only a single (Bachelor's) major, even if there are other degree programs with the same CIP code at other levels (for instance Masters and Doctorate levels).

Note that one form should be completed for each degree / major combination that will be closed. For example, complete two forms to close the M.S. and M.A. majors in a degree program that will still retain at least one remaining, activemajor.

Existing Degree Program

- 1. Enter the name of the Degree Program. A list of approved programs is available at the <u>SUS Academic Program</u> Inventory database.
- 2. Enter the six digit Classification of Instructional Programs (CIP) code for the existing degree program. The code has the numerical format XX.XXXX. Contact the <u>Office of Institutional Planning and Research</u> (OIPR) to verify the CIP code for the existing degree program.
- 3. Enter the degree name. Example: "Doctor of Philosophy (Ph.D.)" or "Master of Occupational Therapy (M.O.T.)"

Major to Be Closed

- 4. Enter the major name. Example: "Tree Surgery"
- 5. Enter the two-letter or three-letter major code.
- 6. Enter the last date (semester/year) that students will be accepted into the major.
- 7. Enter the last date (semester/year) that the major will be offered.

Rationale for Request

8. Describe the rationale for the request to close the major.

Impacts on Other Programs

9. Describe any potential impact on other programs or departments, including increased need for general education or common prerequisite courses, or increased need for required or elective courses outside of the existing degree programm.

Steps Taken to Inform Students and Faculty

10. State what steps have been taken to inform students and faculty of the intent to close the major.

Accommodation of Students in the Major

11. Provide an explanation of how students in the major will be helped to complete their programs of study with minimal disruption.

Accommodation of Faculty Active in the Major

12. Provide an explanation of the manner in which the Department and College intend to accommodate faculty who are currently active in the major.

Cover Sheet

Termination of inactive Master of Arts in Teaching degree

INTO	
Process	Graduate Programs
Status	Pending
Submitter	Witmer,Gene gwitmer@ufl.edu
Created	3/6/2013 1:02:04 PM
Updated	10/16/2014 3:44:10 PM
Description	There remains on the books a program leading to a "Master of Arts in Teaching" degree in Philosophy, even though that program has been inactive for decades. This "Master of Arts in Teaching" degree in Philosophy must be distinguished from the currently active "Master of Arts" degree in Philosophy.
	The MAT program is coded in GIMS as "Program ID 17".
	**Note: Changed the form as directed by Rhonda Moraca of the Graduate School.

Actions

Step	Status	Group	User	Comment	Updated
Department	Approved	CLAS - Philosophy 011615000	Witmer, Gene		3/6/2013
College	Comment		Williams, Jennifer Lee	RECYCLE The Committee made the following comments: 1.It should be made clearer that this Master of Arts in Teaching is housed in the Department of Philosophy. In effect, Philosophy is not mentioned until paragraph 2 of the point 1 section. Please consider adding the department name beside the first mention of the program name, i.e. on the second line of the heading sections. 2.Point 2 appears to be missing and the form currently moves from 1 directly to 3 3.We felt the language used in the responses should be slightly more formal, i.e., without personal opinions.	3/28/2013
College	Approved	CLAS - College of Liberal Arts and Sciences	Pharies, David A		9/19/2014

Step	Status	Group	User	Comment	Updated
Graduate	Recycled	GRAD -	Moraca,	Please see email sent to	10/2/2014
Council		Graduate	Rhonda S	Gene Witmer dated	
		Council		10/2/2014 from Rhonda Moraca with Graduate	
				School technical edits.	
College	Approved	CLAS - College	Pharies, David	School technical edits.	10/7/2014
concego	ripprotou	of Liberal Arts	A		
		and Sciences			
Graduate	Approved	GRAD -	Dishman,		10/16/2014
Council		Graduate	Lorna M		
		Council			
Faculty	Pending	FAC - Faculty			10/16/2014
Senate		Senate			
Steering		Steering			
Committee		Committee			
Faculty Senate -					
Information					
Item					
Faculty					
Senate -					
Action Item					
Office of the					
Provost					
Board of					
Trustees					
Board of					
Governors					
Office of the					
Registrar Office of					
Institutional					
Planning					
and	l				
Research					

Form 1

Format and Guidelines for Institutes/Centers

Sample Cover Sheet for a State of Florida or University Institute/Center Proposal

UNIVERSITY OF FLORIDA INFORMATICS INSTITUTE

DECEMBER 3, 2014

The submission and signing of a proposal to initiate a State of Florida institute/center or the establishment of a University institute/center constitutes a commitment by the university(ies) to ensure that the institute/center's activities support the stated mission(s) and goals of the institution(s).

University of Florida University Submitting Proposal resident University Institute Type of Institute/Center Senior Vice President Date January 2, 2015 12-2-14 Vice President for Research Proposed Implementation Date Date 2-3-14 Associated Discipline (2-digit CIP) Dean of School or College Date George Michailidis Proposed Institute/Center Vice President for Finance, Date Date Director (if known) Administration, and Planning (as appropriate)

Other President(s)/ Date Administrator(s) (as appropriate) For UF Internal Use Only

Form la Indirect Cost Return for Proposed Center

The indirect cost return for a center in a college is subtracted from that received by the college. This is because all indirect costs are now returned to the colleges, minus costs of running central programs. This form is to establish what percentage (7.5% maximum), if any, the proposed center or institute will receive in indirect cost return.

INDIRECT COST ASSIGNMENT

Date: December 3, 2014

Institute or Center Name: University of Florida

College: Office of Research (Institute will report to VP for Research)

Indirect Cost Return:

YES___X___% Return (max 7.5%)_____% NO_____

Dean's Agreement: (Use separate form for each college)

Ehigh

Dean's signature

EDC/cl

Form 2

Institute/Center Data

Directory Information								
I/C Name:	University of Florida Informatics Institute (UFII)							
I/C Code:	University: University of Florida I/C Type: University							
I/C Director:		oins UF in January, 2015; repo ton, VP for Research)	orts to David	Discipline(s) (2-Digit CIPs):				
	223 Grinter Hall							
I/C Address:	PO Box 115500							
	University of Florida							
I/C Telephone:	352-392-9271	I/C E-Mail Address:	gı	michail@ufl.edu				
I/C SUNCOM:		I/C Web Site Address:	inform	natics.research.ufl.edu				
I/C FAX:		Affiliated Universities:						

Mission and Areas of Focus

Mission Statement: (No more than 120 words)	the University of Florida. This contemporary application areas humanities, social sciences, and that support and complement th insights into complex physical,	s institute brings together s across the university (e d engineering), with UF hese studies. Collaborat , natural, social, and engies, and power the next ge	e informatics research and education studies at r preeminent researchers that explore e.g., those arising in science and medicine, the experts developing the tools and technologies ive efforts nurtured by the UFII will yield ineered systems, aid decision-makers in eneration of technologies that will position UF
Key Terms:	informatics, computation	data science	life sciences, medicine
v	umanities, social networkin	techniques	engineered systems

I/C Code:		I/C Name:	University	y of Florida Int	formatics Institu	ite (UFII)
Prepared By:	David Norton (Michailidis joins UF in Jan. 2015)	Date:	12/3/2014	Telephone:	352-39	2-9271
F	stimated	FISCAL	YEAR:		2014-2015	
Estimated Expenditures for the Institute/Center		Budgeta	ry Unit:*		E&G	
		SUS Appropriated Funds	Contracts and Grants	Fees for Services	Private & Other (Specify)	Total
Salaries & Benefits	Faculty, TEAMS, & USPS	250,000			100,000 (from VPR)	350000 (includes fringe)
Other	Housestaff					
Personal	Graduate Assistants				150,000	150,000
Services	Other				150,000	150,000
E	xpenses				350,000	350,000
Operating	g Capital Outlay				100,000	100,000
Total	Expenditures	250,000			850,000	1,100,000
Positio	ons and Rate	SUS Appropriated Funds	Contracts and Grants	Fees for Services	Private & Other (Specify)	Total
	lty Positions n Personyears)	0.70			0.30	1.0
	nd USPS Positions n Personyears)				2.00	2.0
Tota	al Positions n Personyears)	0.70			2.30	3.0

Sum of Salary Rates for These <u>Faculty</u> Positions	250,000		350000 (includes fringe)
Sum of Salary Rates for These <u>TEAMS and USPS</u> Positions			
Sum of Salary Rates for <u>Faculty, TEAMS, and USPS</u> Positions	250,000	100,000	350,000

(FTE in Personyears)

* Budgetary Unit: Specify E&G, IFAS, or UF-HSC

PROPOSAL TO ESTABLISH THE UNIVERSITY OF FLORIDA INFORMATICS INSTITUTE

MISSION STATEMENT AND GOALS:

<u>MISSION</u>: The UFII's mission is to develop and nurture integrative informatics research and education studies at the University of Florida. This institute brings together preeminent researchers that explore contemporary application areas across the university (e.g., those arising in science and medicine, the humanities, social sciences, and engineering), with UF experts developing the tools and technologies that support and complement these studies. Collaborative efforts nurtured by the UFII will yield insights into complex physical, natural, social, and engineered systems, aid decision-makers in diagnosing and treating diseases, and power the next generation of technologies that will position UF to meet emerging challenges in the coming decades.

<u>VISION</u>: The vision of the UFII is to provide support for UF faculty to collaborate toward the creation and application of information processing and decision-making systems, which are driven by the greatest challenges facing society today, leverage next-generation computing technology and analytical methods, and provide insights that position UF as a leader in ongoing research endeavors. The UFII will be internationally recognized for informatics innovations that integrate discoveries across disciplines and revolutionize how knowledge is generated and applied in practical settings, while providing an unparalleled comprehensive educational environment to UF students.

PROPOSED ACTIVITIES:

RESEARCH

The collaborative research projects undertaken by the members of the UFII will include, but are not limited to the four interrelated thrust areas mentioned below. In these efforts, the UFII will work in collaboration with other university Centers/Institutes such as the CTSI, UFGI, UFSCC, MBI, BEBR, NIMET, and ICE among others.

- Informatics Techniques and Technologies
 - Research into the hardware, software, algorithms, and mathematical approaches needed to develop the next generation techniques and technologies for Big Data. Includes supporting infrastructure for computation, bandwidth, and storage.
- Biomedical and Life Science Informatics
 - Use of informatics to address fundamental questions in genetics, genomics, biodiversity, environment, agricultural science, as well as its application for improved human health outcomes.
- Informatics for Engineered Systems and the Physical Sciences
 - Application of intense computation and complex informatics to understanding and designing complex engineered systems, and for uncovering the fundamental nature of our physical world and universe.

- Informatics in Social Science, Humanities, and Education
 - Leveraging the explosion of data in understanding people, culture, political development, education, and human behavior

TEACHING:

The UFII has a strong commitment towards the training of the next generation of researchers. The institute plans to support students and post-docs working on interdisciplinary research projects in any of the themes listed above. The UFII will be the catalyst for obtaining training grants in the area of informatics. The institute will also be a sponsor for summer training programs in computational sciences.

Online instruction will be a significant component of the training and outreach facilitated by UFII. It is anticipated that certificate courses, and eventually a master's degree will be offered on line. In addition, the UFII will provide support for data-enabled science and engineering related training grants lead by the members of the UFII.

REPORTING STRUCTURE:

The director of the UFII will report directly to the Vice President for Research.

ADMINISTRATIVE STRUCTURE:

The UFII will be led by a director. Dr. George Michailidis has agreed to join the University of Florida in January 2015 as Director of this institute. Dr. Michailidis comes to us from the University of Michigan where he is a tenured professor in statistics. He brings to UF an internationally recognized program in the area of big data and informatics. The director will be assisted in the administration of the institute by the Steering committee – composed of two representatives from each of the four thrust areas. The current members of the UFII Steering Committee are:

Name	Email	Website
Paul Avery	avery@phys.ufl.edu	http://www.phys.ufl.edu/faculty/avery.shtml
Sivaramakrishnan Balachandar	bala1s@ufl.edu	http://www.mae.ufl.edu/People/Person.aspx?id= 87
William Bradley Barbazuk	bbarbazuk@ufl.edu	http://www.biology.ufl.edu/people/faculty/bbar bazuk.aspx
Mike Conlon	mconlon@ufl.edu	http://plaza.ufl.edu/mconlon/
Erik Deumens	deumens@ufl.edu	http://www.phys.ufl.edu/faculty/deumens.shtml
Jose Fortes	fortes@ufl.edu	https://www.acis.ufl.edu/people/fortes
Paul D. Gader	pgader@ufl.edu	http://cise.ufl.edu/people/faculty/pgader/
Jasmeet Judge	jasmeet@ufl.edu	http://abe.ufl.edu/people/directory/faculty- profiles/judge-jasmeet.shtml
Walter Leite	walter.leite@coe.ufl.edu	http://education.ufl.edu/leite/
Michael D. Martinez	martinez@ufl.edu	http://www.clas.ufl.edu/users/martinez/about.ht ml

Christopher McCarty	I HTChris///)HTLEAH	http://hsrmp.phhp.ufl.edu/people/faculty/christo pher-mccarty-phd/
David R. Nelson	I DEISOAR(7/)IITI EAII	http://gastroliver.medicine.ufl.edu/hepatology/fa culty-staff/david-r-nelson-m-d/
Marco Salemi		http://pathology.ufl.edu/faculty/experimental- pathology/marco-salemi/

CENTER MEMBERSHIP ALL MEMBERS OF THE CENTER, THEIR ACADEMIC AFFILIATIONS, AND AREA(S) OF EXPERTISE, SHOULD BE LISTED IN AN APPENDIX DOCUMENT. See Appendix A

SPACE AND FACILITIES

The Institute will be located 432 Newell Drive in the Plaza level of the CISE building. It will occupy approximately 2,000 sq ft of space. The space will include faculty offices, conference rooms and work stations/cubicles for visiting scholars and graduate students. The Office of Research is providing funds for necessary renovations.

BUDGET PLAN

The Institute will initially be funded by start-up funds provided by the Vice President for Research. In particular, the Office of Research will provide an operating capital outlay budget of \$100,000 in year 1, and an operating budget of \$650,000 per year for four years for the Informatics Institute. Operating funds for additional years will be based on an evaluation of the Institute, identified needs, and available resources. Additional space and renovation resources will be provided for the Informatics Institute. Faculty associated with the Institute will have their tenure-home in a relevant department and college. The University has provided \$250,000/yr in Preeminence funding for the director's salary. The Vice President for Research will provide the balance of the director's salary (~ \$100,000 when including fringe). The start-up funds will be utilized for support of the director, an administrative assistant, and to fund programmatic activities such as a seed fund program, graduate assistantships, and speaker series.

It is expected that the Institute will be able to become nearly self-sustaining through research grants and contracts.

The Institute is requesting returned IDCs for grants submitted under the umbrella of the UFII.

Projected Space Requirements (in square feet)

Projected Space Required by Source	Office	Laboratory	Conference Rooms	Other
From Existing Inventory	1,500 sq ft		350 sq ft	150 sq ft
Rented				
New Construction				

APPENDIX A – MEMBERSHIP OF UFII							
Name	UF Department	Phone	Email	Website	Thrust Area	Research area keywords	
Ata Sarajedini	Astronomy	(352)392-2230	ata@astro.ufl.edu	http://www.astro.ufl.edu/~ata	Informatics for Engineered Systems and the Physical Sciences	 Collaborative science Data analytics Data intensive science Simulation science 	
Christopher Harle	Health Services Research, Management and Policy	(352)273-6081	charle@phhp.ufl.edu	http://hsrmp.phhp.ufl.edu/people/faculty /christopher-a-harle-phd-ms-bs/	Biomedical and Life Science Informatics	 Cognitive and behavioral informatics Collaborative science Data mining and machine learning Health informatics 	
Ashok Reddy Dinasarapu	Pathology, Immunology and Laboratory Medicine	(352)273-8165	adinasarapu@ufl.edu	http://goodenowlab.pathology.ufl.edu/st aff/postdoctoral-associates/dinasarapu/	Biomedical and Life Science Informatics	 Bioinformatics Genomics and proteomics Systems biology 	
Les Jebson	UF Department Pathology Immunology Laboartory Medicine	(352)273-9258	jebsolr@ufl.edu	http://diabetes.ufl.edu	Informatics Techniques and Technologies	 Collaborative science Education and learning analytics Health informatics Large-scale and data-driven optimization 	
Brett Presnell	Statistics	(352)273-2989	presnell@ufl.edu	http://stat.ufl.edu/	Informatics Techniques and Technologies	 Computational statistics and numerical methods 	
Xiong Xiong	Soil and Water Science	(321)295-4249	xiongxiong@ufl.edu	http://scholar.google.com/citations?user= hGzjUukAAAAJ&hl=en	Informatics for Engineered Systems and the Physical Sciences	Data intensive scienceRemote sensing	
Hai-Ping Cheng	Physics	(352)392-6256	cheng@qtp.ufl.edu	http://www.clas.ufl.edu/users/hping/	Informatics for Engineered Systems and the Physical Sciences	 Computational statistics and numerical methods Data intensive science Simulation science 	
Art Edison	Biochemistry Molecular Biology	(352)392-4535	aedison@ufl.edu	http://edison.biochem.med.ufl.edu/	Biomedical and Life Science Informatics	BioinformaticsGenomics and proteomicsSystems biology	

			APPEN	DIX A – MEMBERSHIP OF UFII		
Name	UF Department	Phone	Email	Website	Thrust Area	Research area keywords
jiqiang yao	UF-ICBR	(352)273-8058	jiqiangyao@ufl.edu	http://www.biotech.ufl.edu/	Biomedical and Life Science Informatics	 Bioinformatics Computational statistics and numerical methods Genomics and proteomics
FAHONG YU	ICBR	(352)273-8061	FYU@UFL.EDU	http://www.biotech.ufl.edu/	Biomedical and Life Science Informatics	Bioinformatics
Kevin Knudson	Mathematics	(352)294-2322	<u>kknudson@ufl.edu</u>	http://people.clas.ufl.edu/kknudson/	Informatics Techniques and Technologies	 Data analytics Data intensive science Data mining and machine learning
Sabine Grunwald	Soil and Water Science	(352)294-3145	<u>sabgru@ufl.edu</u>	http://soils.ifas.ufl.edu/faculty/grunwald/	Informatics for Engineered Systems and the Physical Sciences	 Collaborative science Computational statistics and numerical methods Data analytics Data intensive science Data mining and machine learning Large-scale and data-driven optimization Remote sensing Simulation science Systems biology
William Farmerie	Interdisciplinary Center for Biotechnology Research	(352)273-8049	wgf2@ufl.edu	http://www.biotech.ufl.edu	Biomedical and Life Science Informatics	 Bioinformatics Genomics and proteomics Systems biology
My Thai	CISE	(352)328-9085	mythai@cise.ufl.edu	http://www.cise.ufl.edu/~mythai	Informatics for Engineered Systems and the Physical Sciences	Social networks
Daisy Zhe Wang	Computer and Information Science and Engineering	(352)392-1220	daisyw@cise.ufl.edu	http://www.cise.ufl.edu/~daisyw/	Informatics Techniques and Technologies	 Data analytics Data intensive science Data mining and machine learning Health informatics Human machine systems and interfaces Natural language processing

			APPEN	DIX A – MEMBERSHIP OF UFII		
Name	UF Department	Phone	Email	Website	Thrust Area	Research area keywords
Shigang Chen	Computer and Information Science and Engineering	(352)214-9215	sgchen@cise.ufl.edu	http://www.cise.ufl.edu/~sgchen	Informatics Techniques and Technologies	 Cloud and grid computing Computational statistics and numerical methods Cyberinfrastructure middleware Data analytics Data intensive science Social networks
Su-Shing Chen	CISE	(352)384-3709	<u>suchen@cise.ufl.edu</u>	http://cico.utl.odu/~cuchon	Informatics Techniques and Technologies	 Bioinformatics Cognitive and behavioral informatics Collaborative science Cyberinfrastructure middleware Data analytics Data mining and machine learning
Selwyn Piramuthu	Information Systems and Operations Research	(352)392-8882	<u>selwyn@ufl.edu</u>	http://warrington.ufl.edu/contact/profile. asp?WEBID=2049	Informatics Techniques and Technologies	 Computational statistics and numerical methods Data analytics Data intensive science Data mining and machine learning Ethics in informatics Health informatics Large-scale and data-driven optimization Remote sensing Simulation science Social networks
Peggy Borum	Food Science and Human Nutrition	(352)392-7553	prb@ufl.edu	http://borum.ifas.ufl.edu	Biomedical and Life Science Informatics	 Biodiversity Bioinformatics Data intensive science Systems biology
Eleni Bozia	Classics	(352)871-7818	bozia@ufl.edu	http://plaza.ufl.edu/bozia	Informatics in Social Science, Humanities, and Education	Collaborative scienceNatural language processing

			APPEND	DIX A – MEMBERSHIP OF UFII		
Name	UF Department	Phone	Email	Website	Thrust Area	Research area keywords
Angelos Barmpoutis	Digital Worlds Institute	(352)328-9915	angelos@digitalworlds.ufl.ed u	http://www.digitalworlds.utladu/angalos	Informatics Techniques and Technologies	 Bioinformatics Data mining and machine learning Human machine systems and interfaces Visualization
Sophia Acord	Center for the Humanities and the Public Sphere	(352)392-0796	skacord@ufl.edu	http://www.humanities.ufl.edu/Bios/Acor d.html	Informatics in Social Science, Humanities, and Education	 Collaborative science Data intensive science
Susan Sinnott	Materials Science and Engineering	(352)846-3778	<u>sinnott@ufl.edu</u>	<u>http://sinnott.mse.ufl.edu</u>	Informatics for Engineered Systems and the Physical Sciences	 Cloud and grid computing Collaborative science Computational statistics and numerical methods Data analytics Data intensive science Data mining and machine learning Simulation science Visualization
Xiaolin Andy Li	Electrical and Computer Engineering	(352)392-2651	andyli@ece.ufl.edu	http://www.andyli.ece.ufl.edu/	Informatics Techniques and Technologies	 Cloud and grid computing Computational statistics and numerical methods Cyberinfrastructure middleware Data analytics Data intensive science Data mining and machine learning Health informatics Large-scale and data-driven optimization Remote sensing Social networks
Valrie Minson	Marston Science Library	(352)273-2880	vdavis@ufl.edu	http://vivo.ufl.edu/display/n3385	Biomedical and Life Science Informatics	 Collaborative science Data analytics Data mining and machine learning Social networks Visualization

			APPEN	DIX A – MEMBERSHIP OF UFII		
Name	UF Department	Phone	Email	Website	Thrust Area	Research area keywords
Adrian Roitberg	Chemistry	(352)392-6972	roitberg@ufl.edu	http://www.clas.ufl.edu/users/roitberg/h ome.html	Biomedical and Life Science Informatics	 Cloud and grid computing Cyberinfrastructure middleware Data intensive science Simulation science Visualization
Brian Keith	UF Libraries Admin	(352)273-2600	bwkeith@ufl.edu	http://www.uflib.ufl.edu	Informatics in Social Science, Humanities, and Education	Education and learning analyticsEthics in informatics
Sara Gonzalez	Marston Science Library	(352)273-2889	<u>saragonz@ufl.edu</u>	http://uflib.ufl.edu/msl/	Informatics for Engineered Systems and the Physical Sciences	 Collaborative science Data intensive science Social networks Visualization
Laurie Taylor	Scholarly Resources & Research Services	(352)273-2902	<u>Laurien@ufl.edu</u>	http://library.ufl.edu/datamgmt	Informatics in Social Science, Humanities, and Education	 Data analytics Data mining and machine learning Ethics in informatics Human machine systems and interfaces Social networks Visualization
Alberto Riva	ICBR Bioinformatics	(353)294-5475	ariva@ufl.edu	http://compbio.ufl.edu/dibig	Biomedical and Life Science Informatics	 Bioinformatics Cloud and grid computing Data intensive science Data mining and machine learning Genomics and proteomics Health informatics
Nawari Nawari	School of Architecture	(352)392-0205	nnawari@ufl.edu	http://soa.dcp.ufl.edu/people/faculty/	Informatics for Engineered Systems and the Physical Sciences	 Collaborative science Data mining and machine learning Education and learning analytics Large-scale and data-driven optimization
Valerie de Crecy-Lagard	Microbiology and Cell Science	(352)392-9416	vcrecy@ufl.edu	http://scholar.google.com/citations?user= KI66wMgAAAAJ&hl=en	Biomedical and Life Science Informatics	BioinformaticsGenomics and proteomics

			APPEN	NDIX A – MEMBERSHIP OF UFII		
Name	UF Department	Phone	Email	Website	Thrust Area	Research area keywords
						Systems biology
Rolando Milian	Biomedical & Health Information Services	(352)273-8440	rolando.milian@ufl.edu	http://library.health.ufl.edu/	Biomedical and Life Science Informatics	BioinformaticsHealth informaticsVisualization
Matthew Gitzendanner	Biology	(352)273-1960	magitz@ufl.edu	http://magitz.biology.ufl.edu/	Biomedical and Life Science Informatics	 Biodiversity Bioinformatics Collaborative science Data intensive science Genomics and proteomics
Paul Gader	Computer and Information Science and Engineering	(352)262-4267	pgader@cise.ufl.edu	http://www.cise.ufl.edu/~pgader	Informatics Techniques and Technologies	 Computational statistics a numerical methods Data analytics Data mining and machine Human machine systems a interfaces Remote sensing
Jasmeet Judge	Center for Remote Sensing, Agricultural and Biological Engineering	(352)392-1864	jasmeet@ufl.edu	http://abe.ufl.edu/crs	Informatics for Engineered Systems and the Physical Sciences	 Collaborative science Data intensive science Data mining and machine Large-scale and data-drive optimization Remote sensing
Michael Martinez	Political Science	(352)273-2363	martinez@ufl.edu	http://www.clas.ufl.edu/users/martinez/	Informatics in Social Science, Humanities, and Education	 Cognitive and behavioral informatics
Walter Leite	School of Human Development and organizational studies in education	(352)273-4302	Walter.leite@coe.ufl.edu	http://education.ufl.edu/leite/	Informatics in Social Science, Humanities, and Education	 Data analytics Data mining and machine Education and learning and
Paul Avery	Physics	(352)392-9264	avery@phys.ufl.edu	http://www.phys.ufl.edu/~avery/	Informatics for Engineered Systems and the Physical Sciences	 Cloud and grid computing Collaborative science

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	an a an		APPEN	DIX A – MEMBERSHIP OF UFII		
Name	UF Department	Phone	Email	Website	Thrust Area	Research area keywords
						 Cyberinfrastructure middleware Data analytics Data intensive science Large-scale experiments Simulation science
Michael Conlon	Clinical And Translational Science Institute	(352)273-8872	<u>Mconlon@ufl.edu</u>	http://vivo.ufl.edu/individual/mconlon	Biomedical and Life Science Informatics	 Collaborative science Computational statistics and numerical methods Cyberinfrastructure middleware Data analytics Data intensive science Health informatics Large-scale and data-driven optimization Large-scale experiments Visualization
Erik Deumens	Research Computing	(352)392-6980	deumens@ufl.edu	http://www.qtp.ufl.edu/~deumens	Informatics Techniques and Technologies	 Cloud and grid computing Simulation science
S Balachandar	Mechanical & Aerospace	(352)392-8909	bala1s@ufl.edu	http://end.ufl.edu/ice	Informatics for Engineered Systems and the Physical Sciences	 Computational statistics and numerical methods Data intensive science Simulation science Visualization
George Michailidis	Statistics					 multivariate analysis and machine learning, computational statistics, change-point estimation, bioinformatics, applied probability with applications to stochastic processing networks, network tomography, statistical methodology with applications to computer,

			APPENDIX	A – MEMBERSHIP OF UFII		
Name	UF Department	Phone	Email	Website	Thrust Area	Research area keywo
						 communications and s networks visual analytics

words

nd sensor



UF/IFAS Research Florida Agricultural Experiment Station Office of the Dean for Research

RECEIVED

JAN 27 2015

1022 McCarty Hall D PO Box 110200 Gainesville, FL 32611-0200 352-392-1784 http://research.ifas.ufl.edu

OFFICE OF THE PROVOST

January 27, 2015

Dr. Joseph Glover Provost and Senior Vice President for Academic Affairs 235 Tigert Hall Gainesville, FL 32611

Dr. Glover:

Enclosed please find the revised application documents for the UF/IFAS Plant Innovation Center. The revisions to the proposed Center's name were made after conversations with, and approval by, Dr. David Clark. I appreciate the opportunity to submit the revised information for consideration by President Fuchs.

Sincerely,

Jahni Rune

Jackie Burns Dean for Research and Director, Florida Agricultural Experiment Station

cc: Dr. Jack Payne



UF/IFAS Research Florida Agricultural Experiment Station Office of the Dean for Research 1022 McCarty Hall D PO Box 110200 Gainesville, FL 32611-0200 352-392-1784 http://research.ifas.ufl.edu

January 27, 2015

Dr. W. Kent Fuchs President University of Florida 226 Tigert Hall P.O. Box 113150 Gainesville, FL 32611

President Fuchs:

Enclosed please find documents for establishing a new University Center: the Plant Innovation Center. This Center's mission is to provide a "research environment and faculty brain trust that is capable of conducting foundational research in plant science, food science and human sensory science". Led by Dr. David Clark in IFAS' Environmental Horticulture Department, the faculty members in the Center will be combining knowledge on many science- and human-based fronts to create flowers, fruits, and vegetables that look, smell and taste better.

Please feel free to contact me with questions. Thank you for considering this request to establish this new Center.

Sincerely,

Julue Romo

Jackie Burns Dean for Research and Director, Florida Agricultural Experiment Station

cc: Dr. Jack Payne

Form 1

Format and Guidelines for Institutes/Centers

Sample Cover Sheet for a State of Florida or University Institute/Center Proposal

The UF/IFAS Plant Innovation Center

Fall 2014

The submission and signing of a proposal to initiate a State of Florida institute/center or the establishment of a University institute/center constitutes a commitment by the university(ies) to ensure that the institute/center's activities support the stated mission(s) and goals of the institution(s).

University of Florida University Submitting Proposal President Plant Innovation Center Type of Institute/Center Senior Vice President Vice President for Research Proposed Implementation Date Date 12-2-14 Associated Discipline (2-digit CIP) Dean of School or College Date Dr. David G. Clark 10/1/2014 Proposed Institute/Center Date Vice President for Finance, Date Director (if known) Administration, and Planning (as appropriate) Other President(s)/ Date Administrator(s) (as appropriate)

Form la Indirect Cost Return for Proposed Center

The indirect cost return for a center in a college is subtracted from that received by the college. This is because all indirect costs are now returned to the colleges, minus costs of running central programs. This form is to establish what percentage (7.5% maximum), if any, the proposed center or institute will receive in indirect cost return.

INDIRECT COST ASSIGNMENT

Date:

Institute or Center Name: The UF/IFAS Plant Innovation Center

College: IFAS

Indirect Cost Return:

YES_X_% Return (max 7.5%)_7.5% NO_____

Dean's Agreement: (Use separate form for each college)

Dean's signature

EDC/cl

Form 2

Institute/Center Data

]	Directory Information	
I/C Name:		UF-IFAS Plant Innova	tion Center
I/C Code:		University: Universit	y of Florida I/C Type:
I/C Director:		Dr. David Clark	Discipline(s) (2-Digit CIPs):
I/C Address:	533 Fifield Hall, PO Box	110670	
I/C Telephone:	352-273-4577	I/C E-Mail Address:	geranium@ufl.edu
I/C SUNCOM:		I/C Web Site Address:	http://hort.ifas.ufl.edu/pip
I/C FAX:	352-392-3870	Affiliated Universities:	

Mission and Areas of Focus

Mission Statement: (No more than 120 words)	Our mission is to provide an integrated research environment spanning foundational research in plant science, plant breeding, food science and human sensory science, delivering a platform for the development of new plants and plant-based products aimed at maximal consumer acceptance.
Key Terms:	Plant breeding, Genetics, Consumer acceptance
2209 202000	Flavor, Nutrition,

Form 3

I/C Code:		I/C Name: UF-IFAS Plant Innovation Center							
Prepared By:	David G. Clark	Date:	Jan-15	Telephone:	352-273	-4577			
D -	Estimated		FISCAL YEAR: 2013-2014						
Estimated Expenditures for the Institute/Center		Budgeta	ry Unit:*		IFAS				
		SUS Appropriated Funds	Contracts and Grants	Fees for Services	Private & Other (Specify)	Total			
Salaries & Benefits	Faculty, TEAMS, & USPS					0			
Other	Housestaff					0			
Personal	Graduate Assistants					0			
Services	Other					0			
Е	xpenses					0			
Operating	g Capital Outlay					0			
Total I	Expenditures					0			
Positio	ns and Rate	SUS Appropriated Funds	Contracts and Grants	Fees for Services	Private & Other (Specify)	Total			
Faculty Positions (FTE in Personyears)						0.0			
TEAMS and USPS Positions (FTE in Personyears)						0.0			
Total Positions (FTE in Personyears)						0.0			

	Total Positions (FTE in Personyears)		0.00
1	Sum of Salary Rates for These <u>Faculty</u> Positions		0
	im of Salary Rates for These <u>EAMS and USPS</u> Positions		0
Facul	Sum of Salary Rates for ty, TEAMS, and USPS Positions		0

* Budgetary Unit: Specify E&G, IFAS, or UF-HSC

The UF/IFAS Plant Innovation Center - 2015

Our History:

Our group was initiated in 2006-2007. UF hired Dr. Linda Bartoshuk into the UF College of Dentistry after a long and prestigious career at Yale University as a clinical researcher working to develop scaling techniques for human sensory analysis. Dr. Harry Klee had initiated work to understand the chemistry and genetics of tomato flavor. His colleague Dr. Dave Clark was working to understand the regulation of fragrance synthesis in flowers. UF/IFAS VP Dr. Jimmy Cheek recognized the potential synergy between these researchers and arranged a meeting with Harry, Linda, Dave and Dr. Doug Archer. In that meeting, the group quickly focused on Harry's quest for making better tasting tomatoes.

Following that meeting, Linda recruited Dr. Barry Ache from the UF Center for Smell & Taste, while Dave and Harry recruited Dr. Charlie Sims who runs the consumer taste testing facilities on campus. Linda introduced her novel scaling techniques for measuring liking, Charlie provided the consumer testing expertise, and Harry and Dave grew tomatoes and flowers for testing. As the group grew, it was obvious that we needed a source of funding to really crystalize. We went to Dr. Cheek and asked him for money to fund some 'really important ideas'. Dr. Cheek arranged for a seed grant, half pledged by IFAS with a match from Dr. Win Phillips, to make better tasting fruits and better smelling flowers.

What has driven us from the start is a desire to turn plant breeding on its head; by starting with the ultimate consumer and working backward to the underlying genetics, we can develop novel plant products that have immediate and powerful demand from the public. We have built an unprecedented bridge linking consumers of fruits and vegetables and the scientists that produce them. We have expanded from the original tomato product to include breeders of the most important horticultural crops in Florida – strawberries, blueberries, citrus. We have embraced researchers working on producing, shipping and handling these crops, essentially covering all aspects of each crop from breeding to consumer. We have also embraced key collaborators and advisors in the public and private sector to fill gaps in our expertise, most notably Dr. Howard Moskowitz, a world renowned expert in consumer testing. In 2012, we brought in these outside advisors/collaborators for a two day meeting to help us identify strengths, weaknesses and opportunities for further growth.

We have now reached a critical mass; grants have been funded, papers are being published in high impact journals and we were getting significant press attention for our groundbreaking work. Our external advisors have urged us to formally organize to extract opportunity and bring us wider recognition, in turn providing further opportunities for collaborations and funding. The UF/IFAS VP (Dr. Jack Payne) and the UFRF VP (now Dr. David Norton) have encouraged formation of a Center of Excellence. We now seek to take that next step.

Who We Are:

The UF/IFAS Plant Innovation Center (PIC) is a group of interdisciplinary researchers spanning multiple colleges across the University of Florida. We are housed within the Institute for Food and Agricultural Sciences and include faculty in the Colleges of Medicine and Business.

Why we want to become a formal Center of Excellence:

As a group, we appreciate the benefits of working together across colleges and departments to address fundamental research questions, using that information to solve real problems in our society. Our informal organization brings together a diverse, outstanding group of faculty with their own highly respected individual research programs. The synergy provided by our combined expertise is exponentially more impactful from both an intellectual property and a societal perspective. There is a unique opportunity to link the consumer to the most comprehensive group of academic plant breeders working on the most diverse number of high value specialty crops in the world. Recently, we have begum to look toward the Colleges of Medicine and Liberal Arts & Sciences, seeking to expand into functional foods and nutrition. Our vertical integration from breeding through production, postharvest handling, and marketing holds the promise of delivering the tastiest and healthiest possible consumer experience. We have published multiple papers in both basic and applied scientific journals. We have also filed patent disclosures that are all currently moving forward through UF-OTL.

We have proven that we can attract outside interest in our work to obtain public and corporate funding. Recent USDA block grants for strawberry flavor research as well as contract research funded by Coca Cola for citrus flavor improvement and an NIH award in the area of volatile-enhanced sweetness are a solid start. We have also organized around a large formal function to showcase our faculty, as well as their cutting edge research and new plants and food products. The "Flavors of Florida" event developed by the PIP with local chefs and hosted by UF President Bernie Machen attracted UF high level administrators, state legislators, news and media writers and corporate executives representing all facets of Florida agriculture. As a result of that event, we are now working with the IFAS Foundation Development office to start a fundraising campaign for our research programs that will include this spring event annually.

Our Mission:

Our mission is to provide a research environment and faculty brain trust that is capable of conducting foundational research in plant science, food science and human sensory science and combining that knowledge to provide a platform for the development of new plants and plant-based products that people want. We call our approach "Consumer-assisted Selection". By understanding what aspects of flowers, fruits and vegetables people like most, we can provide the critical information plant scientists need to produce these new technologies, and also provide the supply chain and marketing sectors better products and better ways to market them to consumers. We work on the simple premise that if we can make flowers, fruits and vegetables that look, smell and taste better, people will purchase more of them and live healthier lives.

Our Goals:

First, we want to be the foremost group in the world conducting research on human psychophysics and human sensory analysis of humans and their interactions with plants. A basic understanding of the interaction of humans with plants and foods provides a foundation for plant scientists to develop new plant cultivars. **Second**, we want to be the foremost group in the world conducting research that bridges basic and applied plant science and utilizes the knowledge gained from that effort to produce new plants and products with value added to consumers. **Third**, we want to demonstrate that the new ideas and products evolving from our group impact consumers in a way that leads to healthier life styles. **Ultimately**, we believe it is possible to produce an entirely new UF branded plant-based food program through these efforts.

Proposed Activities – What we do and how we do it:

We deliver on our goals by engaging the complete product value chain through a process called 'consumer assisted selection'. Normally, scientists develop new plant products and new ideas through ongoing research and development efforts. Those ideas are usually published. If there is commercial application of those ideas, they are then provided to industry and the value chain through production and distribution, and subsequently out to sales and marketing. Our group uses a novel approach to

product development, starting with sensory analysis to identify the most desirable attributes of fruits, vegetables and flowers. We find out what people want first and then use that information to drive research and development of new plants and products. This approach allows us to use our research and development dollars more efficiently, providing a better opportunity for success of products.

Reporting Structure:

We report directly to the Senior VP of IFAS. The reason that this program is proposed to operate out of IFAS is because a majority of the faculty members and all of the current intellectual property bases of plant genetics currently reside in IFAS departments. Since IFAS partnered with UFRF to initiate this program back in 2007, it is logical that the two entities could partner together in the future to take advantage of particularly broader scale opportunities.

Administrative Structure:

Executive Council: This group is responsible for oversight of the Center. This group advises the director on programmatic and research focus decisions, and also provides the overall group with high visibility and new opportunities.

Dr. Dave Clark (director) – plant breeding & genetics	geranium@ufl.edu
Dr. Linda Bartoshuk (human leader) – human sensory analysis	lbartoshuk@dental.ufl.edu
Dr. Harry Klee (plant leader) – plant biochemistry	hjklee@ufl.edu
Dr. Charlie Sims (food leader) – food science & product testing	csims@ufl.edu
Faculty Members:	
Dr. Barry Ache – sensory analysis	bwa@whitney.ufl.edu
Dr. Joe Alba – business & marketing	joe.alba@warrington.ufl.edu
Dr. Doug Archer – food science & human nutrition	dlarcher@ifas.ufl.edu
Dr. Liz Baldwin – biochemistry & human panel analysis	Liz.Baldwin@ars.usda.gov
Mr. Robert Beiriger – corn breeding & genetics	papilio@ufl.edu
Dr. Jeff Brecht – fruit & vegetable postharvest	jkbrecht@ufl.edu
Dr. Thomas Colquhoun – floriculture biotechnology	ucntcme1@ufl.edu
Dr. Alan Cooke – entrepreneurship	alan.cooke@warrington.ufl.edu
Dr. Zhanao Deng – floriculture breeding & genetics	zdeng@ufl.edu
Dr. Kevin Folta – photobiology and strawberry genomics	kfolta@ufl.edu
Dr. Fred Gmitter – citrus breeding & genetics	fgmitter@ufl.edu

Dr. Jude Grosser – citrus breeding & genetics	jgrosser@ufl.edu
Dr. Charles Guy – environmental stress physiology	clguy@ufl.edu
Dr. Andrew Hanson – plant biochemistry	adha@ufl.edu
Dr. Don Huber – fruit & vegetable postharvest	djhuber@ufl.edu
Dr. Sam Hutton – tomato breeding & genetics	sfhutton@ufl.edu
Dr. David Nelson – clinical& translational science	David.Nelson@medicine.ufl.edu
Dr. Jim Olmstead – blueberry breeding & genetics	jwolmstead@ufl.edu
Dr. Mercy Olmstead – small fruit production & outreach	mercy1@ufl.edu
Dr. Anne Plotto – biochemistry & human panel analysis	Anne.Plotto@ars.usda.gov
Dr. Bala Rathinasabapathy – plant biotechnology	brath@ufl.edu
Dr. Steve Sargent – fruit & vegetable postharvest	sasa@ufl.edu
Dr. Bart Schutzman – IT & plant systematics	bart@ufl.edu
Dr. Jay Scott – tomato breeding & genetics	jwsc@ufl.edu
Dr. David Smith – psychyology	dwsmith@ufl.edu
Dr. Jennifer Stamps – psychyology	jstamps@ufl.edu
Dr. Denise Tieman – tomato biochemistry & genetics	dtieman@ufl.edu
Dr. Vance Whitaker – strawberry breeding & genetics	vwhitaker@ufl.edu

External Members and Advisors:

Dr. Howard Moskowitz – Moskowitz Jacobs Inc. – psychophysics

http://www.mji-designlab.com

Dr. Lisa Feldman-Barrett – Northeastern University – neuroimaging & sensory scaling

http://www.affective-science.org/

Dr. Julie Menella- Monell Center - food and flavor preferences in humans

http://www.monell.org/faculty/people/mennella

Dr. Rob Horsch - Bill & Melinda Gates Foundation - plant science & innovation

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http://www.harvestchoice.org/roundtable/participants/horsch.html

Dr. Alan Bennett – University of California – Davis – plant science & innovation

http://innovation.ucdavis.edu/people/abbennett

Dr. Mike Uchneat – Garden Genetics LLC – floriculture breeding & genetics

http://www.gardengenetics.com/

Dr. Fred Perlak – Monsanto Company

http://www.monsanto.com/newsviews/Pages/Bollgard-Roundup-Ready-Flex-Stack-Better-Results.aspx

Adequacy of Space and Facilities: Our group does not currently operate in a facility designated for group activities. All current faculty members operate in their own facilities housed within their respective academic departments. No additional space is required at this time.

Budget Plan: Since we have no dedicated staff or facilities, all funds will be directed to 1) activities that promote cross-disciplinary interactions, and 2) seed funding of exploratory projects by center researchers. In the first area, we will make 25% of funding available for outside seminar speakers, consultations and travel to conferences by members, their students and postdocs for the purpose of presenting research findings. The remaining 75% of funds will be used by PIP scientists to supplement their work in scaling up technologies or conducting pilot experiments needed to commercialize their technologies. These funds would be available to PIP scientists as part of a request for proposals. Funds will be administrated by the PIP executive council. Distribution will be based on a competitive process with emphasis on proposals most likely to lead to quantifiable outcomes (i.e., outside funding, patents and publications).

Criteria for which a Center is judged for renewal:

- Funded/proposed research project grants in area of focus
- Publications acknowledging the PIP
- Patent applications

Form 1

Format and Guidelines for Institutes/Centers

Proposed Center: Center for Adaptive Innovation, Resilience, Ethics and Science (UF CAIRES)

Proposed Implementation Date: January 5, 2015

The submission and signing of a proposal to initiate a State of Florida institute/center or the establishment of a University institute/center constitutes a commitment by the university(ies) to ensure that the institute/center's activities support the stated mission(s) and goa1s of the institution(s).

University of Florida University Submitting Proposal

University Center Type of Institute/Center

January 5, 2015 Proposed Implementation Date

Associated Discipline (2-digit CIP)

President Date Joe Glover Senior Vice P esident Date David Norton Date 1/27/15 Vice President for Research David Richardson Date

Dean of School or College

Michael V. McKee

Vice President for Finance, Date Administration, and Planning



College of Liberal Arts & Sciences Office of the Dean 2014 Turlington Hall PO Box 117300 Gainesville, FL 32611-7300 352-392-0780 352-392-3584 Fax

January 22, 2015

Joe Glover, Provost 235Tigert University of Florida

Dear Dr. Glover:

I am writing in support of the establishment of a UF Center for Adaptation, Innovation, Resilience, Ethics and Science (CAIRES) to be housed in the College of Liberal Arts and Sciences. The proposed Center advances sustainability science, values, practices and policies through interdisciplinary research and collaboration.

A primary goal of CAIRES is to leverage campus assets to increase funded interdisciplinary research in the area of sustainability. While the University and CLAS have taken significant steps toward implementation and academic programs built around sustainability, the level of social science research funding in the field has not grown sufficiently, and this proposed center has been designed in part to address this issue. CAIRES will serve as a source, facilitator, and testing ground for ideas and information, pure and applied research, designs, policies and practices that advance environmental conservation, social empowerment, resilient communities, and responsible entrepreneurship. To this end, CAIRE will aid UF faculty in their efforts to forge interdisciplinary working groups, partner with government, business, and non-profit agencies, advance original projects, and secure the funding needed to carry them out.

The establishment of the Center will be an opportunity for the University of Florida advance its scholarship and funded research in environmental and climate sciences; sustainability policy, design, and planning; natural resource conservation and development; and green technology. To this end, CAIRES will develop research networks, provide logistical and grant writing support for interdisciplinary teams pursing external funding, stimulate curricular development, and foster a campus culture that engages faculty and students in creatively addressing sustainability challenges.

The College of Liberal Arts and Sciences enthusiastically supports the establishment of the Center for Adaptation, Innovation, Resilience, Ethics and Science.

Sincerely,

5 Nille

David E Richardson Interim Dean

Cc: Les Thiele Milly Peña

Proposal for the Creation of a University Center

The University of Florida Center for Adaptation, Innovation, Resilience, Ethics and Science (UF CAIRES)

- The name of the institute or center.
 - Center for Adaptation, Innovation, Resilience, Ethics and Science (CAIRES)
- The name of the host university.
 - University of Florida
- The primary discipline(s) with which the institute or center is affiliated.
 - o Interdisciplinary, with an environmental and sustainability orientation
- An indication of whether the institute or center receives a specific appropriation from the Legislature.
 - It will receive no appropriation from the Legislature
- The name of the director of the institute or center.
 - o Dr. Leslie Paul Thiele
- Contact information, including the mailing address; telephone and fax numbers; the institute or center's Web site; and the e-mail address for the director.
 - o CAIRES website: CAIRES.Center.ufl.edu (online, but under construction)
 - CAIRES email address: <u>uf-caires@ufl.edu</u>
 - Director information

Leslie Paul Thiele Distinguished Professor, Political Science Director, Sustainability Studies University of Florida 302 Anderson Hall 1507 University Avenue P.O. Box 117325 Gainesville, Florida 32611-7325 Tel. (352) 273-2380 Fax: (352)392-8127 thiele@ufl.edu

- A list of institutions of higher education affiliated with the institute or center.
 - o N/A
- Mission statement and goals

Mission statement:

The Center for Adaptive Innovation, Resilience, Ethics and Science (UF CAIRES) advances sustainability science, values, practices and policies through interdisciplinary research and crosssectoral partnering. It develops innovative scholarship, programs, and products that further environmental conservation, social empowerment, resilient communities, and responsible entrepreneurship. By wedding creativity to ethics, systems thinking, and collaborative enterprise, CAIRE develops sustainable solutions that benefit people and planet.

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Goals:

UF CAIRES goal is to bring the University of Florida into top-five status for scholarship and funded research in environmental and climate sciences; sustainability policy, design, and planning; natural resource conservation and development; and green technology. To this end, CAIRES will develop research networks, provide logistical and grant writing support for interdisciplinary teams pursing external funding, stimulate curricular development, and foster a campus culture that engages faculty and students in creatively addressing sustainability challenges.

- Key terms that identify the primary foci of the institute or center.
 - Interdisciplinary scholarship; sustainability; science and ethics; resilience; innovation; environmental conservation
- Proposed activities

Research and External Funding

A primary goal of CAIRES is to leverage campus assets to increase funded interdisciplinary research in the area of sustainability. To this end, CAIRES serves as a clearinghouse and bridges academic silos across the humanities, natural sciences, social sciences, and professional schools while forging relationships with government agencies, businesses, non-profit organizations, and community leaders.

By fostering transdisciplinary problem-solving and creative collaboration, CAIRES will serve as a source, facilitator, and testing ground for ideas and information, pure and applied research, designs, polices and practices that advance environmental conservation, social empowerment, resilient communities, and responsible entrepreneurship.

To this end, CAIRE aids UF faculty in their efforts to forge interdisciplinary working groups, partner with government, business, and non-profit agencies, advance original projects, and secure the funding needed to carry them out. For *CAIRES Initiated Projects*, the Center will provide PIs from its affiliate faculty and take the lead in writing interdisciplinary grants and organizing interdisciplinary research projects. For *CAIRES Coordinated Projects*, the center will respond to requests from faculty, building teams of researchers, providing logistical and editorial support for grant writing, and helping to coordinate funded research projects. Grant writing assistance and program coordination is done on a fee-for service basis. Alternatively, the costs of CAIRES assistance may be written into grants.

In its capacity as a clearinghouse, CAIRES will house research and curricular databases, inventories, and serves as a resource center for all faculty and students. It also provides a suite of networking, teambuilding, facilitated collaboration, project coordination, and grant development services.

Outreach

CAIRES provides an interface between basic research and the transfer of ideas, knowledge, technologies, and best practices to various business sectors, consumers, schools, government agencies, and citizen groups, with particular attention to UF's extension mandate and its international linkages.

Its outreach programs will be designed to supply needed products and services to the

public, to develop partnerships between affiliate faculty, students, and stakeholders in and beyond Florida, and to utilize the cognitive surplus of citizens and leaders within the business, non-profit, and governmental sectors. Its global sustainable development efforts will engage students and faculty in the generation and dissemination of innovation while assessing and promoting the equitable international diffusion of technologies and best practices.

Attentive to UF's responsibility as a land-grant university, CAIRES also capitalizes on the specific problems faced by and opportunities available to Florida's citizens, communities, business sectors, and governmental agencies. In turn, CAIRES supports UF's effort to become a living laboratory of sustainability. By generating and utilizing local and state-based data, scholarship, experience, and expertise, CAIRES will enhance the appeal of its projects to national and international funding agencies and foundations.

Teaching

CAIRES affiliate faculty will teach, coach, and train students to acquire the knowledge, skills, and experiences that prepare them to flourish in a changing world and become world changers themselves. Partnering with and contributing to numerous UF centers, institutions, departments, and colleges, affiliate faculty will stimulate curricular and program development, designing and offering courses, certificate programs, and internship opportunities at the undergraduate and graduate level.

CAIRES will develop and oversee the Sustainability Research Assistance Program, which organizes and extensively trains well-balanced teams of students—*Sustainable World Action and Training* (SWAT) Teams—to assist faculty in advancing their sustainability scholarship and exploiting research funding opportunities. Juniors and seniors involved in SWAT Teams gain course credit and crucial skills in library, online, and/or laboratory research, collaborative teamwork, and in depth knowledge of specific sustainability related disciplines and projects. Graduate students gain mentoring, supervisory, and leadership skills, and supplemental financial support. Participating faculty members receive crucial assistance with their research and with the time-consuming and often time-crunched efforts to prepare grants. Partnering UF's brightest and most enterprising students with faculty conducting cutting-edge research is a win-win-win way of making the University of Florida a vibrant academic community and a hub of sustainability scholarship, teaching, and training.

Budget and estimated total funds to be expended in the next fiscal year

Annual budget: \$28,000

Estimated expenditures:

- \$8000 in research funds (or summer salary) for Director
- \$7000 for grants writer (contract basis)
- \$7,000 for graduate students (salary supplements)
- \$2500 for Work-Study student(s) (hourly wages)
- \$3500 for general expenses

Funds received:

- \$20,000: College of Liberal Arts and Sciences
- o \$1500: Levin College of Law
- \$1500: College of Design, Construction and Planning
- o \$1500: College of Journalism and Communications

\$5900: Various UF donors, including IFAS, College of Fine Arts, Poe Center,
 Warrington College of Business Administration, Center for Latin American Studies,
 Center for African Studies, UF International Center; Office of Sustainability

- o \$1500 Requested: College of Education
- \$1500Requested: College of Agricultural and Life Sciences
- \$1500 Requested: College of Engineering

Start-up (non-recurring) funds:

\$25,000 Vice-President of Research David Norton\$25,000 Provost Joseph Glover

These start-up funds were received in 2013 to develop the center. Approximately \$8,000 of these funds has been spent. Available non-recurring funds will be employed to cover expenses beyond those satisfied by recurring funds from participating colleges.

Beginning in 2018, it is expected that CAIRES operating budget will largely or wholly be supplied by its 7% Indirect Cost Returns on CAIRES Initiated Projects and by fee-for-service arrangements on CAIRES Coordinated Projects.

• Estimated total positions to be allocated to the center for the next fiscal year.

- There are no full or part-time faculty, TEAMS, or USPS positions
- Center funds will be employed for personnel in the following manner:
 - Director (research funds, or partial summer salary)
 - Grants writer (contract basis)
 - Graduate student(s) (salary supplements)
 - Undergraduate Work-Study student(s) (hourly wages)
- Administrative Structure

CAIRES will have a Director, part-time staff, and a seven member Advisory and Oversight Board.

The Director is responsible for the fiscal management and daily activities of the center,

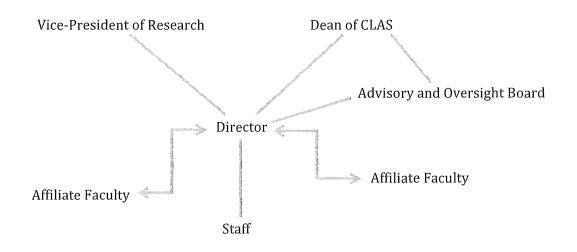
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and the supervision of its staff. S/he will be appointed by the Dean of CLAS.

The Advisory and Oversight Board advises the Director and provides oversight regarding the accountability of CAIRES to its mission, its fiscal management, and its sundry responsibilities. It will consist of seven UF faculty members, selected from participating colleges. Board members will serve staggered 2 or 3 year terms, and will be appointed by the Dean of CLAS in consultation with Deans of participating colleges. The Director will serve Ex Officio on the Advisory and Oversight Board.

The staff will part-time contract workers, graduate students, and work-study undergraduate students.

Organizational chart



Reporting Structure

The Director of CAIRES will report annually to the Vice President for Research and the Dean of CLAS subsequent to the submission of an annual report. The annual report will be based on University of Florida Center guidelines and will be responsive to recommendations of the Advisory and Oversight Board and Affiliate Faculty.

• Space and Facilities

At this time there are no provisions or expectations for the allocation of space or facilities for CAIRES. For the immediate future, CAIRES will operate out of the office of its Director, with meetings and other events held in available UF facilities.

• Activities - 2015

CAIRE will produce these deliverables in its first year, January-December 2015:

1. Complete White Paper on UF's Environmental Science and Sustainability Funded Research Potential

-The recommendation for a White Paper was developed by (20) faculty and center directors at CAIRE's February 2014 stakeholder meeting

-The focus of the White Paper would be underutilized assets (see NSF

Funded Research Spreadsheet) that can be leveraged to roughly double

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funded research in environmental and climate sciences; sustainability policy, design, and planning; natural resource conservation and development; and green technology.

-Initiated January 2015, with final draft submitted August 2015 -Specific tasks and deliverables include:

- 1. A review of challenges facing interdisciplinary collaboration at UF (e.g. RCM, T&P, reward structures, administrative support, absence of research and personel networks, disciplinary and collegiate siloing)
- 2. A review of best practices from institutions exceling in interdisciplinary scholarship and funded research in related fields (e.g University o Michigan; Cornell University)
- 3. A survey of affiliated faculty and one-on-one meetings with Deans and Directors of related UF centers and institutes on key impediments and opportunities
- 4. An exectutive plan for the accelerated development of funded research in related fields at UF
- 5. Submission of final white paper to VP IFAS, Deans, Provost, and VP Research
- 2. Initiate or Coordinate one Funded Research Project

CAIRE Initiated projects

-CAIRE provides PIs from its affiliate faculty and takes lead in writing

grants and organizing research projects

CAIRE Coordinated projects

- CAIRE responds to requests from faculty, building teams of researchers,

providing logistical and editorial support for grant writing, and helping to coordinate funded research projects

-Grant writing assistance and program coordination is done on a fee-forservice basis and/or costs of CAIRE assistance are written into grants

- 3. Create interactive website to serve as clearinghouse for curricular and research inventories and to facilitate interdisciplinary collaboration
- 4. Sponsorship of campus-wide lecture series

-Designed to highlight opportunities for interdisciplinary research and networking, and to develop affiliate base for CAIRE

5. Initiate Sustainability Research Assistance Program

-The Sustainability Research Assistant Program organizes and extensively trains wellbalanced teams of students—*Sustainable World Action and Training* (SWAT) Teams—to assist faculty in advancing their scholarship and exploiting research funding opportunities

-Juniors and seniors involved in SWAT Teams gain course credit and crucial skills in library, online, and/or laboratory research, collaborative teamwork, and in depth knowledge of specific sustainability related disciplines and projects

-Graduate students gain mentoring, supervisory, and leadership skills, and supplemental financial support

-Participating faculty members receive crucial assistance with their research and with the time-consuming and often time-crunched efforts to prepare grants

-Partnering UF's brightest and most enterprising students with faculty conducting cutting-edge research is a win-win-win way of making the University of Florida a vibrant academic community and a hub of sustainability scholarship, teaching, and training

Appendix Membership

UF faculty may request affiliate status in CAIRES by application to its Director. Affiliate faculty should be committed to the mission of CAIRES and intend to participate in its activities. A campaign to grow CAIRES' affiliate base to 75 active members will be conducted in 2015.

The following UF faculty members have attended workshops for the development of CAIRES, provided funding for CAIRES, or have otherwise expressed interest in being affiliated.

Tom Ankersen, Director, Conservation Clinic, Levin College of Law Bahar Armahani, Architecture Teri Balser, as Dean, College of Agriculture and the Life Sciences Amy Blue, Associate Dean, College of Public Health Randall Cantrell, Housing and Community Development Peggy Carr, Director, Bachelor of Science in Sustainability and the Built Environment Ron Chandler, Interim Director, Sustainability Studies Program Jonathan Dain, Director, Florida Natural Resource Leadership Program George Dawson, Interim Dean, Levin College of Law Alyson Fournoy, Associate Dean, Levin College of Law Thomas Frazer, Director, School of Natural Resources and Environment Glenn Galloway, Director, Master of Development Practice program Joe Glover, Provost Abe Goldman, Director, Center for African Studies Wendy Graham, Director, UF Water Institute Kirk Hatfield, Director, Engineering School of Sustainable Infrastructure and Environment Richard Heipp, Interim Director, School of Art and Art History lim Jones, Director, Florida Climate Institute Pierce Jones, Extension Program Leader, Energy Program, Agricultural and Biological Engineering Kevin Knudson, as Director, Honors Program Lucinda Lavelli, Dean, College of Fine Arts Angela Lindner, Associate Dean, College of Engineering Bette Loiselle, Director, Tropical Conservation and Development Virginia Maurer, Director, Poe Center, Warrington College of Business Administration Diane McFarlin, Dean, College of Journalism and Communications Martha Monroe, School of Forest Resources and Conservation David Nelson, Director, Clinical and Translation Science Institute David Norton, Vice-President of Research Jack Payne, Vice-President, IFAS Robert Ries, Building Construction, College of Design, Construction and Planning Sandra Russo, Director, Program Development, UF International Center David Sammons, as Dean, UF International Center Chris Silver, Dean, College of Design, Construction and Planning Mike Spranger, Family Youth and Community Services Matthew Williams, Director, Office of Sustainability Philip Williams, Director, Center for Latin American Studies

Form la Indirect Cost Return for Proposed Center

For UF Internal Use Only

The indirect cost return for a center in a college is subtracted from that received by the college. This is because all indirect costs are now returned to the colleges, minus costs of running central programs. This form is to establish what percentage (7.5% maximum), if any, the proposed center or institute will receive in indirect cost return.

INDIRECT COST ASSIGNMENT

Date:

Institute or Center Name: UF Center for Adaptive Innovation, Resilience, Ethics and Science (UF CAIRES)

College: Liberal Arts and Sciences

Indirect Cost Return:

YES___7__% Return (max 7.5%)_____% NO

Dean's Agreement: (Use separate form for each college)

Dean's signature

EDC/cl

Form 2

Institute/Center Data

I/C Name:	Center for A	Adaptive Innovation, Resilience, Ethi	cs and Science (CAIRES)	
I/C Code:		University: University of F	lorida I/C Type: Iniversity Cen	
I/C Director:		Leslie Paul Thiele Discipline(s) (2-Digit CIPs):		
I/C Address:	302 Anderson Hall, Unive	ersity of Florida, Gainesville, Florida	, 32611-7325	
I/C Telephone:	352-273-2380	I/C E-Mail Address: iders	on Hall, University of Florida, Gaines	
I/C SUNCOM:		I/C Web Site Address:		
I/C FAX:	352-392-8127	Affiliated Universities:	NA	
	Mis	sion and Areas of Focus		

¥7 10	sustainability	innovation	interdisciplinary scholarship
Key Terms:	science and ethics	resilience	environmental conservation

I/C Code:		I/C Name: Center for Adaptive Innovation, Resilience, Ethics and Sci				
Prepared By:	Leslie Paul Thiele, Distinguised Professor	Date:	10-Dec-14	Telephone:	352-27	2-2380
Estimated Expenditures for the Institute/Center		FISCAL YEAR: Budgetary Unit:* College of Liberal Arts and Sciences				
		SUS Appropriated Funds	Contracts and Grants	Fees for Services	Private & Other (Specify)	Total
Salaries & Benefits	Faculty, TEAMS, & USPS					
Other	Housestaff					
Personal	Graduate Assistants	7,000				7,000
Services	Other	9,500				9,500
	Expenses	11,500				11,500
OI	perating Capital Outlay					
	Total Expenditures	28,000				28,000
]	Positions and Rate	SUS Appropriated Funds	Contracts and Grants	Fees for Services	Private & Other (Specify)	Total
	Faculty Positions (FTE in Personyears)					
TEA	MS and USPS Positions (FTE in Personyears)					
	Total Positions (FTE in Personyears)					
f	Sum of Salary Rates or These <u>Faculty</u> Positions					

Sum of Salary Rates for These <u>TEAMS and USPS</u> Positions			
Sum of Salary Rates for <u>Faculty, TEAMS, and USPS</u> Positions			

* Budgetary Unit: Specify E&G, IFAS, or UF-HSC

Projected Space Requirements (in square feet)

Projected Space Required by Source	Office	Laboratory	Conference Rooms	Other
From Existing Inventory	120 (Thiele office 302 Anderson)			
Rented				
New Construction				

Request to Change the Name of a Center/Institute

Over the life of a Center or Institute the need or interest in changing the name may occur. To request such a name change, complete the information below and forward to the Provost's Office, PO Box 113175. The name change is contingent upon approval from the Provost.

Center/Institute Original Name: Blue Cross Blue Shield of Florida Center for Health Care Access, Patient Safety and Quality Outcomes

Proposed New Center/Institute Name: Florida Blue Center for Health Care Quality

Brief Explanation for the Name Change: Blue Cross Blue Shield of Florida is now known as "Florida Blue". Name change will enhance visibility and align with donor name.

1/12

15/15 Vice President (as appropriate)

harm M. Q. 1/15/15 Date

Disapproved

For Provost's Office Use Only

Provost

Copy to requesting Center: (date) 126/15

Approved

Copy to Institutional Research: (date) 126/15