1.0 Call to Order and Welcome ................................................................. Morteza Hosseini, Chair

2.0 Verification of Quorum........................................................................ Mark Kaplan, University Secretary

3.0 Public Comment, if any................................................................. Amy Hass, Vice President and General Counsel

4.0 Campus Update ................................................................................ Kent Fuchs, President

5.0 Action Items......................................................................................... Morteza Hosseini, Chair
   BT1 Depository Payment Signatory Authority
   BT2 Fixed Capital Outlay Budget Fiscal Year 2020-21
   BT3 Carry Forward Spending Plan
   BT4 Direct Support Organization Appointments
   BT5 Collective Bargaining Agreement
   BT6 Facility Security Clearance
   BT7 Regulation Amendment

6.0 Action Items (Non-Consent) ............................................................ Morteza Hosseini, Chair
   BT8 & R20-250 Naming: Joanne W. Gauntt Foundation Small Animal Hospital Atrium

7.0 New Business...................................................................................... Morteza Hosseini, Chair

8.0 Comments of the Chair of the Board............................................ Morteza Hosseini, Chair

9.0 Adjourn............................................................................................ Morteza Hosseini, Chair
ACTION ITEM BT1
September 29, 2020

SUBJECT: Depository Payment Signatory Authority

BACKGROUND INFORMATION

Section 1011.42(7), Florida Statutes, requires that the University of Florida Board of Trustees specifically designate in the minutes of the Board the name and position title of any University employee authorized to sign checks and make payments from the University's depositories to pay legal obligations of the University. The University desires to update the list of authorized signatories for checks, payments and transfers of funds.

PROPOSED COMMITTEE ACTION

The Committee on Finance, Strategic Planning and Performance Metrics is asked to approve Resolution R20-249 (updating the list of designated signatories authorized to sign checks and make payments from, and transfer funds to, within or between, depository accounts on behalf of the University of Florida) for recommendation to the Board of Trustees for approval on the Consent Agenda.

ADDITIONAL COMMITTEE CONSIDERATIONS

Board of Governors' approval is not required.

Supporting Documentation Included: See attached Resolution R20-249 and Attachment A

Submitted by: Chris Cowen, Senior Vice President and Chief Financial Officer

Approved by the University of Florida Board of Trustees, September 29, 2020

________________________________________
Morteza “Mori” Hosseini, Chair

______________________________
W. Kent Fuchs, President and Corporate Secretary
WHEREAS, the University of Florida Board of Trustees (the “Trustees”) adopted Resolution R04-21, as amended by Resolution R10-69, Resolution R11-91, Resolution R12-103, Resolution R13-125, Resolution R14-137, Resolution R15-154, Resolution R16-181, Resolution R16-183, Resolution R18-203, and Resolution R20-232 setting forth depositories designated for deposit of funds for the University of Florida (the “University”) and authorized signatories respecting said accounts on behalf of the University, all as required pursuant to Section 1011.42(7), Florida Statutes; and

WHEREAS, the University desires to update the list of authorized signatories for checks, payments and transfers of funds respecting the depositories designated for deposit of funds for the University pursuant to this Resolution which amends and restates in their entirety the cited prior Resolutions’ authorized signatories on such depositories on behalf of the University;

NOW THEREFORE, BE IT RESOLVED, that pursuant to Section 1011.42(7), Florida Statutes:

(1) The University employees listed on Attachment A attached hereto are each singly (or as required by the internal controls referenced below) hereby authorized, on behalf of the University, in connection with the depository account(s) specified for the relevant employee, to sign checks and make payments of legal obligations of the University from, and to transfer funds to, within or between, depositories for investment or payment of expenditures of the University, including signing related documentation, for so long as such employee is employed by the University in the specified position or until such time as such authority is terminated by the Board of Trustees or by the President of the University or his
designee with notice to the Trustees, provided, however, that the Vice President and Chief Financial Officer in consultation with the Chief Audit Executive shall approve internal controls for withdrawals and transfers of funds; and

(2) The University employees designated for removal of signatory authority on Attachment A are removed as authorized signatories for the Wells Fargo N.A., and Bank of America accounts, as applicable.

To the extent this Resolution conflicts with any prior Resolutions, including Resolutions R04-21, R10-69, R11-91, R12-103, R13-125, R14-137, R15-154, R16-181 or R16-183, R18-203, R20-232 the terms of this Resolution shall control.

Adopted this 29th day of September, by the University of Florida Board of Trustees.

__________________________  ____________________________
Morteza “Mori” Hosseini, Chair  W. Kent Fuchs President
and Corporate Secretary
Attachment A

to University of Florida Board of Trustees Resolution
Resolution
R20-TBD

(1) Depositories:
- Wells Fargo Bank, N.A.
- Banca Intesa – UF Vicenza Institute of Architecture
- U.S. Bank - prepaid bank cards

(2) Signature Authority:
- Wells Fargo Bank, N.A.
  All accounts:
  Christopher J. Cowen, Senior Vice President and Chief Financial Officer
  Alan M. West, Assistant Vice President and University Controller
  Cashiers account (request for cash in vault):
  Karen Gillespie, University Cashier/Accounting Specialist – authority to request
  Shane Anderson, Assistant Controller – receives email confirmation of the request

Concentration, ACH and Euro accounts: The following individuals have authority to initiate or approve wire transfers out of these accounts. None of them have authority to initiate and approve wires.
- Laura B. Ling, Sr. Associate Controller
- Shane Anderson, Assistant Controller
- Terry Wooding, Associate Controller
- Elizabeth Johnson, Assistant Controller
- Amy Hu, Accountant

- Banca Intesa
  Franca Stocco, Director, Vicenza Institute of Architecture
  Jason Alread, Director, School of Architecture
  Chinemelu Anumba, Dean, College of Design, Construction and Planning

- U.S. Bank
  Laura B. Ling, Sr. Associate Controller
  Shane Anderson, Assistant Controller
  Elizabeth Johnson, Assistant Controller

Remove Signature Authority:
- Wells Fargo Bank, N.A.
  All accounts:
  Winfred M. Phillips, Executive Chief of Staff

* Added since R18-203. All other signatories remain the same. Additional changes are indicated in redline.
SUBJECT: FIXED CAPITAL OUTLAY BUDGET for Fiscal Year 2020-21

BACKGROUND INFORMATION

The Board of Governors Regulation 14.003 requires each university to prepare and submit an annual Fixed Capital Outlay (FCO) budget approved by the university Board of Trustees. This budget will be considered at the November Board of Governors meeting in accordance with the guidelines as set forth in regulation 14.003.

PROPOSED COMMITTEE ACTION

The Committee on Facilities and Capital Investments is asked to approve the 2020-2021 Fixed Capital Outlay Budget for recommendation to the Board of Trustees for its approval on the Consent Agenda and for submission to the Florida Board of Governors.

ADDITIONAL COMMITTEE CONSIDERATIONS

Submission to the Board of Governors is required.

Supporting Documentation Included: Fixed Capital Outlay Budget Worksheet

Submitted by: Curtis A. Reynolds, VP, Business Affairs

Approved by the University of Florida Board of Trustees, September 29, 2020

__________________________  ________________
Morteza “Mori” Hosseini, Chair  W. Kent Fuchs, President and Corporate Secretary
### Education & General (E&G) Operating Projects

<table>
<thead>
<tr>
<th>Category</th>
<th>Project Title/Name</th>
<th>Description</th>
<th>Total Project(s) Cost</th>
<th>Funding Source(s)</th>
<th>Funds Expended to Date</th>
<th>Funds to be Expended this Year</th>
<th>Remaining Balance</th>
<th>Project Timeline</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td>1,568,000</td>
<td>E&amp;G Operating Funds</td>
<td>$120,000</td>
<td>$1,400,000</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### Minor Carryforward (CF) Projects

<table>
<thead>
<tr>
<th>Date</th>
<th>Consolidated line item of all projects funded from E&amp;G CF funds, pursuant to Board regulation 14.003(2)(d)</th>
<th>Cost</th>
<th>Source Amount</th>
<th>Start Date</th>
<th>Completion Date</th>
<th>Project Title/Name</th>
<th>Category</th>
<th>Description</th>
<th>Total Project(s) Cost</th>
<th>Funds Expended to Date</th>
<th>Funds to be Expended this Year</th>
<th>Remaining Balance</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td></td>
<td></td>
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<td></td>
<td></td>
</tr>
</tbody>
</table>

### Major Carryforward (CF) Projects

<table>
<thead>
<tr>
<th>Date</th>
<th>Consolidated line item of all projects with a cost of less than $2M funded from E&amp;G CF funds, pursuant to Board regulation 14.003(2)(d)</th>
<th>Cost</th>
<th>Source Amount</th>
<th>Start Date</th>
<th>Completion Date</th>
<th>Project Title/Name</th>
<th>Category</th>
<th>Description</th>
<th>Total Project(s) Cost</th>
<th>Funds Expended to Date</th>
<th>Funds to be Expended this Year</th>
<th>Remaining Balance</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
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<td></td>
<td></td>
</tr>
</tbody>
</table>

### State Appropriated Projects

<table>
<thead>
<tr>
<th>Date</th>
<th>Consolidated line item of all projects funded from E&amp;G CF funds, pursuant to Board regulation 14.003(2)(d)</th>
<th>Cost</th>
<th>Source Amount</th>
<th>Start Date</th>
<th>Completion Date</th>
<th>Project Title/Name</th>
<th>Category</th>
<th>Description</th>
<th>Total Project(s) Cost</th>
<th>Funds Expended to Date</th>
<th>Funds to be Expended this Year</th>
<th>Remaining Balance</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
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<td></td>
</tr>
</tbody>
</table>

### Additional Notes

- Projects marked with **Bold** are included in the operating budget. Projects marked with *Italic* are Carry Forward funded projects.
- All FCO projects using funds originally appropriated as FCO funds by the State of Florida, notwithstanding criteria in Board regulation 14.001, never to be included in the operating budget. For more information, see PECO and CITF.
- This project requires BOG/FCO amendment per BOG Regulation 14.003(4)c due to a budget increase > $2M for Carry Forward funded projects.
Non-Appropriated Projects

Pursuant to Board Regulation 14.003(12)(e), all FCO projects that have not directly or indirectly used funds appropriated by the State. Examples include private donations, athletic revenues, federal grants, housing/parking revenue bonds, etc. For the purposes herein, please assume a threshold of $5M or more for listing projects individually, otherwise consolidate all individual projects under $5M into one item.

Consolidated Non-Appropriated Projects <$5M Per Project

<table>
<thead>
<tr>
<th>Project Description</th>
<th>Auxiliary</th>
<th>Grant</th>
<th>UF Strategic Funds</th>
<th>Component Unit</th>
<th>Carry Forward</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>$2,298,180</td>
<td>10,751,762</td>
<td>$1,433,970</td>
<td>$7,440,029</td>
<td>$1,877,763</td>
<td>4/28/2021</td>
<td>2/23/2022</td>
</tr>
<tr>
<td>Electrical Substation 2 Cable and Switchgear Replacement</td>
<td>Auxiliary</td>
<td>8,736,111</td>
<td>3,734,322</td>
<td>3,531,789</td>
<td>3,970,000</td>
<td>12/2/2020</td>
</tr>
<tr>
<td>Peabody Hall Dean Of Students Renovation &amp; Remodeling</td>
<td>Auxiliary</td>
<td>5,500,000</td>
<td>1,960,197</td>
<td>7,500,000</td>
<td>40,539,803</td>
<td>11/5/2018</td>
</tr>
<tr>
<td>Electrical Utilities Infrastructure (Substation)</td>
<td>Auxiliary</td>
<td>45,000,000</td>
<td>1,903,577</td>
<td>3,611,256</td>
<td>45,000,000</td>
<td>1/1/2021</td>
</tr>
<tr>
<td>New Central Energy Plant and Supporting Infrastructure serving the entire UF main campus</td>
<td>Auxiliary</td>
<td>200,000,000</td>
<td>7,604,919</td>
<td>300,000,000</td>
<td>476,057</td>
<td>1/31/2021</td>
</tr>
<tr>
<td>Phase 2 UF Student Health Care Infarnany Facility</td>
<td>Auxiliary</td>
<td>200,000,000</td>
<td>3,611,256</td>
<td>3,500,000</td>
<td>3,970,000</td>
<td>12/31/2022</td>
</tr>
<tr>
<td>University Public Safety Building (Police Department) &amp; Renovation to Centrex</td>
<td>Auxiliary</td>
<td>15,000,000</td>
<td>7,289,473</td>
<td>128,502</td>
<td>128,502</td>
<td>9/30/2020</td>
</tr>
<tr>
<td>IFAS Blueberry Research Facility</td>
<td>Auxiliary</td>
<td>5,092,000</td>
<td>234,469</td>
<td>5,092,000</td>
<td>4,963,498</td>
<td>12/1/2020</td>
</tr>
<tr>
<td>East Campus Data Center Utility Upgrade</td>
<td>Auxiliary</td>
<td>8,166,514</td>
<td>9,816,514</td>
<td>5,000,000</td>
<td>5,000,000</td>
<td>7/30/2020</td>
</tr>
</tbody>
</table>

Special Museum and Research Collections storage facility to house specimens stored in highly flammable containers. Current storage facility has been cited by State Fire Marshal.

Examples include private donations, athletic revenues, federal grants, housing/parking revenue bonds, etc. For the purposes herein, please assume a threshold of $5M or more for listing projects individually, otherwise consolidate all individual projects under $5M into one item.

Board Regulation 14.003(12)(e). All FCO projects that have not directly or indirectly used funds appropriated by the State. Examples include private donations, athletic revenues, federal grants, housing/parking revenue bonds, etc. For the purposes herein, please assume a threshold of $5M or more for listing projects individually, otherwise consolidate all individual projects under $5M into one item.
<table>
<thead>
<tr>
<th>Project Description</th>
<th>Cost</th>
<th>Donor Type</th>
<th>Current Cost</th>
<th>Pending Cost</th>
<th>Total Cost</th>
<th>Status</th>
<th>Start Date</th>
<th>End Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>(UF-005) Whitney Laboratory for Marine Bioscience</td>
<td>$38,500,000</td>
<td>Donor</td>
<td>$0</td>
<td>$28,150,000</td>
<td>$38,500,000</td>
<td>TBD</td>
<td>TBD</td>
<td>TBD</td>
</tr>
<tr>
<td>(UF-336) Florida Museum Natural History Earth Systems Addition &amp; Remodeling</td>
<td>$33,600,000</td>
<td>Donor</td>
<td>$0</td>
<td>$33,450,000</td>
<td>$33,600,000</td>
<td>TBD</td>
<td>TBD</td>
<td>TBD</td>
</tr>
<tr>
<td>(UF-026) Powell University House</td>
<td>$6,000,000</td>
<td>Donor</td>
<td>$73,195</td>
<td>$7,776,804</td>
<td>$6,000,000</td>
<td>TBD</td>
<td>TBD</td>
<td>TBD</td>
</tr>
<tr>
<td>(UF-054) New Honors Residential Facility</td>
<td>$197,000,000</td>
<td>Auxiliary</td>
<td>$1,426,032.51</td>
<td>$195,195,670</td>
<td>$197,000,000</td>
<td>TBD</td>
<td>TBD</td>
<td>TBD</td>
</tr>
<tr>
<td>(CPPEC-313) Racquet Club Dining Center Renovation</td>
<td>$5,800,000</td>
<td>Auxiliary</td>
<td>$0</td>
<td>$0</td>
<td>$5,800,000</td>
<td>TBD</td>
<td>1/1/2021</td>
<td>8/30/2021</td>
</tr>
</tbody>
</table>

**TOTALS:** $1,087,997,001

**CPPEC-313** Racquet Club Dining Center Renovation

- Renovation/Remodeling of the Racquet Club Dining Center and its two retail dining concepts to the University's third dining hall
- **Cost:** $5,800,000
- **Status:** TBD
- **Start Date:** 1/1/2021
- **End Date:** 8/30/2021

Project is included in current UF PECO request and listed as Institutional priority #1

Project is included in current UF PECO request and listed as Institutional priority #2
ACTION ITEM BT3
September 29, 2020

SUBJECT: FY 2020-2021 Carryforward Spending Plan

BACKGROUND INFORMATION
The Board of Trustees is requested to approve the University's Fiscal Year 2020-2021 Carryforward Spending Plan. Pursuant to Board of Governors direction, a Carryforward Spending Plan is to be submitted annually by the University to the Board of Trustees for approval.

Once approved by the University Board of Trustees the Carryforward Spending Plan is to be submitted to the Board of Governors by October 1, 2020, along with a certification signed by the BOT Chair, the President and the CFO. The Board will consider each university plan based on its own merits and how it addresses the strategic priorities listed above. Follow-up reporting will be required.

PROPOSED COMMITTEE ACTION
The Committee on Finance, Strategic Planning and Performance Metrics is asked to approve the University’s Fiscal Year 2020-2021 Carryforward Spending Plan for recommendation to the Board of Trustees for approval on the Consent Agenda and for submission by the University of Florida to the BOG for final approval.

ADDITIONAL COMMITTEE CONSIDERATIONS
Board of Governors approval is required.

Supporting Documentation Included: Carryforward Spending Plan and FY20 Carryforward Activity/Balance

Submitted by: Chris Cowen, Senior Vice President and CFO

Approved by the University of Florida Board of Trustees, September 29, 2020.
## University of Florida
### Year-End Carryforward Review

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>Beginning Carryforward Balance 7/1/19</td>
<td>287,697,970</td>
</tr>
<tr>
<td>Expenditures through 6/30/20</td>
<td>(165,846,923)</td>
</tr>
<tr>
<td>Required 7% Reserve</td>
<td>(79,519,238)</td>
</tr>
<tr>
<td><strong>Carryforward Balance 6/30/20</strong></td>
<td>42,331,809</td>
</tr>
<tr>
<td><strong>Current Year Tuition/Appropriation/E&amp;G Revenue</strong></td>
<td>1,250,644,046</td>
</tr>
<tr>
<td>Expenditures through 6/30/20</td>
<td>(1,171,857,626)</td>
</tr>
<tr>
<td>Rollover to Carryforward 6/30/20</td>
<td>78,786,419</td>
</tr>
<tr>
<td><strong>Beginning FY21 Carryforward Balance (net of 7% reserve) as of 7/1/20</strong></td>
<td>121,118,228</td>
</tr>
<tr>
<td>Add Back FY20 7% Reserve</td>
<td>79,519,238</td>
</tr>
<tr>
<td><strong>Beginning FY21 Carryforward Balance (including statutory reserves) as of 7/1/20</strong></td>
<td>200,637,466</td>
</tr>
</tbody>
</table>

**% of Operating Budget (w/reserve)**

<table>
<thead>
<tr>
<th>Description</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Original (7/1/19)</td>
<td>25.3%</td>
</tr>
<tr>
<td>As of 7/1/20</td>
<td>16.7%</td>
</tr>
<tr>
<td>As of 7/1/20 (deducting 6% holdback)</td>
<td>17.4%</td>
</tr>
</tbody>
</table>
### A. Beginning E&G Carryforward Balance - July 1, 2020:

<table>
<thead>
<tr>
<th>Description</th>
<th>University E&amp;G</th>
<th>IFAS</th>
<th>HSC</th>
<th>Grand Total: University Summary</th>
</tr>
</thead>
<tbody>
<tr>
<td>Cash</td>
<td>$720,336</td>
<td>$142,799</td>
<td>$110,433</td>
<td>$973,567</td>
</tr>
<tr>
<td>Investments</td>
<td>$174,971,302</td>
<td>$34,686,158</td>
<td>$26,824,595</td>
<td>$236,482,055</td>
</tr>
<tr>
<td>Accounts Receivable</td>
<td>($4,087)</td>
<td>$1,426</td>
<td>$1,035</td>
<td>($1,626)</td>
</tr>
<tr>
<td>Less: Accounts Payable</td>
<td>$4,194,882</td>
<td>$1,329,307</td>
<td>$1,167,371</td>
<td>$6,691,560</td>
</tr>
<tr>
<td>Less: Deferred Student Tuition &amp; Fees</td>
<td>$30,109,358</td>
<td>-</td>
<td>$15,612</td>
<td>$30,124,970</td>
</tr>
<tr>
<td></td>
<td>$141,383,311</td>
<td>$33,501,076</td>
<td>$25,753,080</td>
<td>$200,637,466</td>
</tr>
<tr>
<td></td>
<td>$61,266,507</td>
<td>$12,264,093</td>
<td>$10,627,928</td>
<td>$84,158,528</td>
</tr>
</tbody>
</table>

### B. Beginning E&G Carryforward Balance (Net of Payables/Receivables/Deferred Fees):

<table>
<thead>
<tr>
<th>Description</th>
<th>University E&amp;G</th>
<th>IFAS</th>
<th>HSC</th>
<th>Grand Total: University Summary</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>$80,116,803</td>
<td>$21,236,983</td>
<td>$15,125,151</td>
<td>$116,478,938</td>
</tr>
</tbody>
</table>

### C. 7% Statutory Reserve Requirement (1011.45(1) F.S.):

<table>
<thead>
<tr>
<th>Description</th>
<th>University E&amp;G</th>
<th>IFAS</th>
<th>HSC</th>
<th>Grand Total: University Summary</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>$34,656,392</td>
<td>$4,656,392</td>
<td>$12,264,093</td>
<td>$51,576,877</td>
</tr>
</tbody>
</table>

### D. E&G Carryforward Balance Less 7% Statutory Reserve Requirement (Amount Requiring Approved Spending Plan):

<table>
<thead>
<tr>
<th>Description</th>
<th>University E&amp;G</th>
<th>IFAS</th>
<th>HSC</th>
<th>Grand Total: University Summary</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>$45,460,431</td>
<td>$16,980,590</td>
<td>$3,960,998</td>
<td>$64,408,029</td>
</tr>
</tbody>
</table>

### E. Encumbrances

#### Restricted by Appropriations

- Compliance, Audit, and Security
  - Compliance Program Enhancements: $155,945
  - Audit Program Enhancements: $685,415
  - Campus Security and Safety Enhancements: $153,940

#### Academic and Student Affairs

- Student Services, Enrollment, and Retention Efforts: $583,348
- Student Financial Aid: $1,118,051
- Faculty/Staff, Instructional and Advising Support and Start-up Funding: $2,888,403
- Library Resources: $2,917,537

#### Utilities

- Information Technology (ERP, Equipment, etc.): $1,187,656
- Minor Carryforward Fixed Capital Outlay Projects (Board of Governors Regulation 14.003(2)): $2,917,537
- Major Carryforward Fixed Capital Outlay Projects (Board of Governors Regulation 14.003(2)): $2,917,537

#### Other UBOT Approved Operating Requirements

- Coronavirus/COVID-19 Related Expenditures (Should agree with encumbrances column total on "Details - Covid-19" tab): $922,258
- Other Operating Requirements (University Board of Trustees-Approved That Support the University Mission): $1,054,843

### F. Restricted / Contractual Obligations

- Compliance, Audit, and Security
  - Compliance Program Enhancements: $1,419,240
  - Audit Program Enhancements: $1,591,549
  - Campus Security and Safety Enhancements: $1,591,549

- Academic and Student Affairs
  - Student Services, Enrollment, and Retention Efforts: $985,795
  - Student Financial Aid: $406,891
  - Faculty/Staff, Instructional and Advising Support and Start-up Funding: $977,714
  - Faculty Research and Public Service Support and Start-Up Funding: $1,591,549
  - Library Resources: $62,586

- Facilities, Infrastructure, and Information Technology
  - Utilities: $1,187,656
  - Information Technology (ERP, Equipment, etc.): $1,187,656
  - Minor Carryforward Fixed Capital Outlay Projects (Board of Governors Regulation 14.003(2)): $1,582,383
  - Major Carryforward Fixed Capital Outlay Projects (Board of Governors Regulation 14.003(2)): $1,582,383

- Other UBOT Approved Operating Requirements
  - Coronavirus/COVID-19 Related Expenditures (Should agree with restricted column totals on "Details - Covid-19" tab): $922,258
  - Other Operating Requirements (University Board of Trustees-Approved That Support the University Mission): $1,054,843

### Grand Total Encumbrances

<table>
<thead>
<tr>
<th>Description</th>
<th>University E&amp;G</th>
<th>IFAS</th>
<th>HSC</th>
<th>Grand Total: University Summary</th>
</tr>
</thead>
<tbody>
<tr>
<td>Operating Encumbrances: (Should agree with encumbrances column totals on &quot;Details - Operating&quot; tab)</td>
<td>$13,368,229</td>
<td>$3,230,302</td>
<td>$3,520,798</td>
<td>$20,119,329</td>
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<tr>
<td>FCO Encumbrances: (Should agree with encumbrances column totals on &quot;Details-Fixed Capital Outlay&quot; tab)</td>
<td>$4,656,392</td>
<td>$2,888,403</td>
<td>$1,206,225</td>
<td>$8,751,020</td>
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<tr>
<td>Coronavirus/COVID-19 Encumbrances: (Should agree with encumbrances column totals on &quot;Details - COVID-19&quot; tab)</td>
<td>$922,258</td>
<td>$62,586</td>
<td>$1,054,843</td>
<td>$1,054,843</td>
</tr>
</tbody>
</table>

### Grand Total Encumbrances:

<table>
<thead>
<tr>
<th>Description</th>
<th>University E&amp;G</th>
<th>IFAS</th>
<th>HSC</th>
<th>Grand Total: University Summary</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>$19,016,880</td>
<td>$6,181,290</td>
<td>$4,727,023</td>
<td>$29,925,193</td>
</tr>
</tbody>
</table>
### University of Florida
#### Education and General
#### Carryforward Spending Plan Summary
#### Approved by University Board of Trustees
#### Balances and Spending Plans as of July 1, 2020

#### Operating Restricted: (Should agree with restricted column totals on "Details-Operating" tab)
- University E&G: $14,537,867
- IFAS: $9,992,444
- HSC: $3,988,503
- Total: $28,518,814

#### FCO Restricted: (Should agree with restricted column totals on "Details-Fixed Capital Outlay" tab)
- University E&G: $37,611,172
- IFAS: $4,198,119
- HSC: $1,582,383
- Total: $43,391,674

#### Coronavirus/COVID-19 Restricted: (Should agree with restricted column totals on "Details - COVID-19" tab)
- Total Restricted / Contractual Funds: $52,149,039

#### * Commitments

##### Compliance, Audit, and Security
- Compliance Program Enhancements: $81,025
- Audit Program Enhancements: $865,000
- Campus Security and Safety Enhancements: $65,000

##### Academic and Student Affairs
- Student Services, Enrollment, and Retention Efforts: $3,423,787
- Student Financial Aid: $254,239
- Faculty/Staff, Instructional and Advising Support and Start-up Funding: $865,000
- Faculty Research and Public Service Support and Start-up Funding: $65,000
- Library Resources: $38,220

##### Facilities, Infrastructure, and Information Technology
- Utilities: $56,583
- Information Technology (ERP, Equipment, etc.): $1,189,324
- Minor Carryforward Fixed Capital Outlay Projects (Board of Governors Regulation 14.003(2)): $38,220
- Major Carryforward Fixed Capital Outlay Projects (Board of Governors Regulation 14.003(2)): $38,220

##### Other UBOT Approved Operating Requirements
- Coronavirus/COVID-19 Related Expenditures: $581,707
- Operating Requirements (University Board of Trustees-Approved That Support the University Mission): $2,396,000
- Contingencies for a State of Emergency Declared by the Governor (SB 72): $1,785,828

##### Operating Commitments: (Should agree with committed column total on "Details-Operating" tab)
- University E&G: $8,369,178
- IFAS: $798,192
- HSC: $1,793,331
- Total: $10,960,700

##### FCO Commitments: (Should agree with committed column total on "Details-Fixed Capital Outlay" tab)
- University E&G: $581,707
- IFAS: $66,939
- HSC: $3,033,911
- Total: $3,682,557

##### Coronavirus/COVID-19 Commitments: (Should agree with committed column totals on "Details - COVID-19" tab)
- Total Commitments: $8,950,885

#### H. Available E&G Carryforward Balance as of July 1, 2020:
- Total: $0
### Fiscal Year 2023

<table>
<thead>
<tr>
<th>Category</th>
<th>Description</th>
<th>Amount</th>
<th>Percentage</th>
<th>Notes</th>
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</thead>
<tbody>
<tr>
<td>Support for marketing and communications to students</td>
<td></td>
<td>120,000</td>
<td>32%</td>
<td></td>
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<tr>
<td>Other Faculty Startups (includes commitments less than $100K)</td>
<td></td>
<td>12,408,856</td>
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<td></td>
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<tr>
<td>Dr. Eshraghi</td>
<td>UF Neuromed Brain Bank</td>
<td></td>
<td>150,000</td>
<td></td>
</tr>
<tr>
<td>COARD - Mathews</td>
<td>CARE - Nixon</td>
<td></td>
<td>100,000</td>
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<tr>
<td>SCHNITZLER START UP</td>
<td>Dr. Ashley Smyth Startup</td>
<td></td>
<td>103,264</td>
<td></td>
</tr>
<tr>
<td>Wisely LBR Cervicade CYFWD</td>
<td>Start Up Dr. James Leary</td>
<td></td>
<td>142,664</td>
<td></td>
</tr>
<tr>
<td>Gilles Besada Startup</td>
<td>Startup: Gomez</td>
<td></td>
<td>165,957</td>
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</tr>
<tr>
<td>Startup: Sanchez, Pedro</td>
<td>Rampazzi Startup</td>
<td></td>
<td>181,097</td>
<td></td>
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<tr>
<td>Startup Wei Lapierre</td>
<td>Startup: Dr. Mickle</td>
<td></td>
<td>135,000</td>
<td></td>
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<tr>
<td>Startup: Bailey</td>
<td>Dr. Zhou</td>
<td></td>
<td>349,340</td>
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<tr>
<td>Start-Up Dr. Zhou</td>
<td>Dr. Eshraghi</td>
<td></td>
<td>100,000</td>
<td></td>
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<tr>
<td>STARTUP_FENG_EQUIP</td>
<td>STARTUP_BIRON_RAs</td>
<td></td>
<td>163,374</td>
<td></td>
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<tr>
<td>STARTUP_SAXENA</td>
<td>STARTUP_FENG_RAs</td>
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<td>106,026</td>
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<tr>
<td>Rampazzi Startup</td>
<td>Graim Startup</td>
<td></td>
<td>171,097</td>
<td></td>
</tr>
<tr>
<td>Williams Startup - students</td>
<td>Fairbanks Startup-Other expenses</td>
<td></td>
<td>223,120</td>
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<tr>
<td>Fairbanks Startup</td>
<td>Other Faculty Startups (includes commitments less than $100K)</td>
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<td>12,408,856</td>
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<tr>
<td>Consultant Program Enhancements</td>
<td>Other compliance program enhancements (includes commitments less than $100K)</td>
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<td>118,827</td>
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<tr>
<td>Audit Program enhancements</td>
<td>Audit software for the university's disbursements</td>
<td></td>
<td>289,000</td>
<td></td>
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<tr>
<td>Audit Program enhancements</td>
<td>Concur 'Travel Expense Management' System</td>
<td></td>
<td>600,000</td>
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<tr>
<td>Campus Security and Safety Enhancements</td>
<td>Other Campus security and safety enhancements (includes commitments less than $100K)</td>
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<td>118,827</td>
<td></td>
</tr>
<tr>
<td>Support for student mental health</td>
<td>Support for student mental health</td>
<td></td>
<td>200,000</td>
<td></td>
</tr>
<tr>
<td>Support for student mental health</td>
<td>Support for student mental health</td>
<td></td>
<td>200,000</td>
<td></td>
</tr>
<tr>
<td>Category</td>
<td>Description</td>
<td>Amount 1</td>
<td>Amount 2</td>
<td>Amount 3</td>
</tr>
<tr>
<td>----------</td>
<td>-------------</td>
<td>----------</td>
<td>----------</td>
<td>----------</td>
</tr>
<tr>
<td>94</td>
<td>Student Services, Enrollment, and Retention Efforts</td>
<td>200,000</td>
<td>200,000</td>
<td>200,000</td>
</tr>
<tr>
<td>95</td>
<td>Funds given by provost to support salary differential in recruiting Title:</td>
<td>1,116,000</td>
<td>1,116,000</td>
<td>558,000</td>
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<tr>
<td>96</td>
<td>Other student services, enrollment, and retention efforts (includes commitments less than $100K)</td>
<td>1,032,271</td>
<td>1,032,271</td>
<td>1,032,271</td>
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<tr>
<td>97</td>
<td>Other student financial aid (includes commitments less than $100K)</td>
<td>200,239</td>
<td>200,239</td>
<td>200,239</td>
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<tr>
<td>98</td>
<td>Other library resources (includes commitments less than $100K)</td>
<td>36,222</td>
<td>36,222</td>
<td>36,222</td>
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<td>99</td>
<td>Utilities (includes commitments less than $100K)</td>
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<td>96,963</td>
<td>96,963</td>
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<tr>
<td>100</td>
<td>Information Technology (ERP, Equipment, etc.)</td>
<td>1,684,733</td>
<td>1,684,733</td>
<td>1,684,733</td>
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<tr>
<td>101</td>
<td>Contingencies for a State of Emergency Declared by the Governor (SB 72)</td>
<td>4,181,828</td>
<td>4,181,828</td>
<td>4,181,828</td>
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<tr>
<td>102</td>
<td>Restricted by Appropriations</td>
<td>1,419,240</td>
<td>1,419,240</td>
<td>1,419,240</td>
</tr>
<tr>
<td>103</td>
<td>Lastinger Center</td>
<td>652,964</td>
<td>652,964</td>
<td>652,964</td>
</tr>
</tbody>
</table>

Total as of July 1, 2020: $59,598,843

*Note: Should agree with respective encumbrances, restricted/contractual, or committed category totals on "Summary" tab.
## University of Florida 2020-2021 University E&G Carryforward Spending Plans - Supplemental Details (Fixed Capital Outlay Plans)

### Pursuant to 100-45, Florida Statutes

**July 1, 2020**

<table>
<thead>
<tr>
<th>Minor Carryforward Projects (&lt; $2M)</th>
<th>Major Carryforward Projects (&lt; $2M)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Item #</td>
<td>Specific Project Title/Name</td>
</tr>
<tr>
<td>-------</td>
<td>----------------------------</td>
</tr>
<tr>
<td>1</td>
<td>203 - Communicore - AHU Replacements</td>
</tr>
<tr>
<td>2</td>
<td>B0685 Chiller and Boiler Replacement (CAP)</td>
</tr>
<tr>
<td>3</td>
<td>Major Carryforward Fixed Capital Outlay Projects (Board of Governors Regulation 14.003(2))</td>
</tr>
<tr>
<td>4</td>
<td>Surface Paving Improvements to replace/repair major sections of Inner Road corridor of campus.</td>
</tr>
<tr>
<td>5</td>
<td>Roadway improvements in the SW section of campus to create better vehicular and pedestrian safety and improve traffic congestion</td>
</tr>
<tr>
<td>6</td>
<td>Campus wide security and safety enhancements and priortized building security installations and upgrades</td>
</tr>
<tr>
<td>7</td>
<td>Other minor projects</td>
</tr>
<tr>
<td>8</td>
<td>0203- Communicore - Elevator Modernization (Units 203-15; 203-16)</td>
</tr>
<tr>
<td>9</td>
<td>B0716 Install Chiller (CAP)</td>
</tr>
<tr>
<td>10</td>
<td>B0009 Leigh Hall Corridor Painting and Flooring</td>
</tr>
<tr>
<td>Project Description</td>
<td>Estimated Cost</td>
</tr>
<tr>
<td>---------------------</td>
<td>----------------</td>
</tr>
<tr>
<td>Improvements and repairs to major thermal infrastructure utilities located within the Inner Road corridor of campus</td>
<td>$3,000,000</td>
</tr>
<tr>
<td>Exterior envelope repairs to the existing Architecture Building to eliminate water intrusion and envelope deterioration problems.</td>
<td>$3,864,912</td>
</tr>
<tr>
<td>Phase II Remodeling of the Sherman Library</td>
<td>$3,628,413</td>
</tr>
<tr>
<td>Remodeling and renovations to the 1st floor of the Basic Sciences Building HSC Research Facility</td>
<td>$775,000</td>
</tr>
<tr>
<td>New University Public Safety Facility and renovations to the existing Centrex Command Center (This scope is for the renovation of the Centrex Command Center)</td>
<td>$2,445,558</td>
</tr>
</tbody>
</table>

**Total Major Carryforward As July 1, 2020:**

| Total | 0 | 0 | 0 | 0 |

**Fixed Capital Outlay Totals:**

| Total | 0 | 0 | 0 | 0 |

*Note: Board agrees with expenditure amendments, restricted/contractual, or uncommitted category totals in "**Summary**".

---

1. As defined in Board of Governors Regulation 14.003(2).
<table>
<thead>
<tr>
<th>Line Item #</th>
<th>Carryforward Spending Plan Category</th>
<th>Specific Expenditure/Project Title</th>
<th>Budget</th>
<th>Project Timeline</th>
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</thead>
<tbody>
<tr>
<td></td>
<td>E&amp;G Carryforward Spending Plans - Supplemental Details (COVID-19)</td>
<td></td>
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</tr>
<tr>
<td></td>
<td>1.</td>
<td>Coronavirus/Covid-19 Related Expenditures</td>
<td>191,050</td>
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<td>2.</td>
<td>Coronavirus/Covid-19 Related Expenditures</td>
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<td>Coronavirus/Covid-19 Related Expenditures</td>
<td>85,518</td>
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<td>Coronavirus/Covid-19 Related Expenditures</td>
<td>266,250</td>
<td>1 1 2021</td>
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<tr>
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<td>5.</td>
<td>Coronavirus/Covid-19 Related Expenditures</td>
<td>59,362</td>
<td>1 1 2021</td>
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<tr>
<td></td>
<td>6.</td>
<td>Coronavirus/Covid-19 Related Expenditures</td>
<td>15,525</td>
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<tr>
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<td>7.</td>
<td>Coronavirus/Covid-19 Related Expenditures</td>
<td>44,546</td>
<td>1 1 2021</td>
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<tr>
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<td>8.</td>
<td>Coronavirus/Covid-19 Related Expenditures</td>
<td>37,198</td>
<td>1 1 2021</td>
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<td>Coronavirus/Covid-19 Related Expenditures</td>
<td>25,388</td>
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<tr>
<td></td>
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<td>Coronavirus/Covid-19 Related Expenditures</td>
<td>137,953</td>
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<td>11.</td>
<td>Coronavirus/Covid-19 Related Expenditures</td>
<td>519,707</td>
<td>1 1 2021</td>
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<tr>
<td></td>
<td>12.</td>
<td>Coronavirus/Covid-19 Related Expenditures</td>
<td>519,707</td>
<td>1 1 2021</td>
</tr>
<tr>
<td></td>
<td>13.</td>
<td>Coronavirus/Covid-19 Related Expenditures</td>
<td>519,707</td>
<td>1 1 2021</td>
</tr>
</tbody>
</table>

**Total as of July 1, 2020:**

- **ENCUMBRANCES:** $4,737,400
- **RESERVED:** $1,054,843
- **COMMITTED:** $0

*Note: Should agree with respective encumbrances, restricted/contractual, or committed category totals on “Summary” tab.*

**University of Florida**

2020-2021 University E&G Carryforward Spending Plans - Supplemental Details (COVID-19)

Pursuant to 1011.45, Florida Statutes

July 1, 2020
SUBJECT: Direct Support Organization-Board Appointments

BACKGROUND INFORMATION

Pursuant to University of Florida Governance Enhancements adopted by the University of Florida Board of Trustees on December 7, 2018, all appointments of Directors to University Direct Support Organizations must be approved by the University of Florida Board of Trustees.

The Direct Support Organizations listed below have requested the following individuals be approved to their board:

University of Florida Investment Corporation (UFICO) Board of Directors
Scott Friedman
Nancy Peretz Sheft

PROPOSED COMMITTEE ACTION

The Board of Trustees is asked to approve the Direct Support Organizations board members listed above and in the board materials on the Consent Agenda.

ADDITIONAL COMMITTEE CONSIDERATIONS

None

Supporting Documentation Included: See attached biographies for University of Florida Investment Corporation (UFICO) Board of Directors

Submitted by: W. Kent Fuchs, President

Approved by the University of Florida Board of Trustees, September 29, 2020

______________________________  ________________________________
Morteza “Mori” Hosseini, Chair  W. Kent Fuchs, President and Corporate Secretary
Scott Friedman sits on the Management Committee and the Investment Partners Committee at Soroban Capital, a multi-billion-dollar global investment firm. Scott builds and monitors comprehensive Risk Analytics for the firm, and leads Global Trading. Scott has experience trading across every asset class and all parts of the capital structure globally; including Equity, Fixed Income, Derivatives, Rates, FX, Commodities, Swaps, and Structured Products. Prior to Soroban Capital, Scott was the Head Trader at TPG-Axon Capital where he designed and led the trading desk analytics/technology/infrastructure across four global offices (New York, London, Hong Kong, & Tokyo). Prior to joining TPG-Axon, Scott was a Cross-Asset Trader in the proprietary Goldman Sachs Risk Arbitrage & Principal Strategies Group (internal multi-billion dollar Goldman Sachs Hedge Fund). Scott started his career in 2001 at Goldman Sachs & Co. as an Analyst. Scott is a CFA charter holder, a FRM (Financial Risk Manager) charter holder, a NYSSA (New York Society of Security Analysts) member and a GARP (Global Association of Risk Professionals) member. In addition, Scott serves as the Treasurer on the Board of Directors for Success Academy Charter Schools, and serves on the Advisory Committee for the New York Stock Exchange.

Ms. Sheft is Managing Director and Head of External Managers at Bessemer Trust. In this role, she is responsible for the selection and due diligence of external managers across all public markets in addition to managerial responsibilities for the Hedge Fund group. She is also Portfolio Manager of the Old Westbury Large Cap Strategies fund and the Old Westbury Small & Mid Cap Strategies fund. Prior to joining Bessemer, Nancy was Managing Director at J.P. Morgan Asset Management, where she was Global Head of Institutional Sales, Product, and Consultant Strategy. Before that, she was Portfolio Manager at Ark Asset Management, where she helped build and manage a large cap growth fund. She has also worked at a private equity firm in Houston and at Hambrecht & Quiest. Nancy began her career as an analyst at Goldman Sachs. Nancy earned an M.B.A. from Harvard University and a B.A. in history from Princeton University.
ACTION ITEM BT5
September 29, 2020

SUBJECT: Amendments to the Collective Bargaining Agreement Between the University of Florida Board of Trustees and the United Faculty of Florida

BACKGROUND INFORMATION

During July and September 2020, the University of Florida and the United Faculty of Florida ("UFF") tentatively agreed to temporary amendments to the Collective Bargaining Agreement between the University of Florida Board of Trustees and the UFF ("CBA") addressing COVID related workspace safeguards and other operational modifications during the Fall 2020 semester.

UFF membership has ratified the amendments.

PROPOSED COMMITTEE ACTION

The Committee on Governance, Government Relations and Internal Affairs is asked to recommend that the UFBOT ratify the amendments to the CBA.

ADDITIONAL COMMITTEE CONSIDERATIONS

None

Supporting Documentation Included: See attached document

Submitted by: Joseph Glover, Senior Vice President for Academic Affairs and Provost

Approved by the University of Florida Board of Trustees, September 29, 2020

____________________________  ______________________________
Mortez “Mori” Hosseini, Chair          W. Kent Fuchs, President and Corporate Secretary
COVID-19 MEMORANDUM OF UNDERSTANDING
FALL 2020

The purpose of this Memorandum of Understanding (MOU) is to address short-term impacts on members of the United Faculty of Florida (UFF) bargaining unit resulting from operational changes the University of Florida Board of Trustees (University) has made or proposes to make under the guidance of UFHealth, Centers for Disease Control, Florida Department of Health, and the State University System Board of Governors to address the educational, research, and service mission of the University. In addition to this MOU, the University and UFF shall continue negotiating the longer-term impacts of the COVID-19 health emergency on the Fall 2020 semester.

Except for the modifications made by this MOU, all terms and conditions of the 2017-2019 CBA remain in effect. If a new CBA is ratified by the parties prior to the termination or expiration of this MOU, this MOU will supersede any relevant provisions in the new CBA for as long as the MOU is in effect.

Provisions of this MOU that explicitly address agreed upon university actions that must occur after the Fall semester such as performance evaluations will remain in effect. All other provisions expire when the state of Florida declares an end to the emergency, escalates the emergency, or on December 31, 2020.

Campus Reopening

1. University decisions shall follow the guidance of UFHealth experts, the Florida Department of Health, and the State University System Board of Governors and be informed by the Center for Disease Control, so that the health and well-being of students, faculty, and staff are prioritized.

2. The University will share with UFF-UF any criteria the State University System or the University will use to determine if and when research, service, and instructional activities will return to exclusively remote modalities. The University shall share these criteria with the UFF-UF President, or the President’s designee, as identified in Item 3 below, no more than five days after these criteria have been adopted or immediately following the ratification of this agreement.

3. The University President, or President’s designee, agrees to meet fortnightly and discuss work modalities for the Fall 2020 and Spring 2021 semesters as well as other reopening-related topics with the UFF-UF President, or the President’s designee.

4. Faculty may have health conditions or family care responsibilities of individuals with health conditions that elevate the risk of complications from COVID-19. The university will explore modifications to the schedules of faculty including alternative work locations, flexible work hours, changes in assignment, voluntary reductions in FTE,
COVID-19 Memorandum of Understanding (Fall 2020, Pt. I)  
Version #3 (Tentative Agreement)  

and use of leave programs and will make a timely decision. Faculty are encouraged to have proactive discussions with their chair or director regarding these issues throughout the 2020-2021 academic year. Faculty who utilize approved alterations in work assignment or location shall not be penalized for doing so.

5. The University shall continue to comply with the Families First Coronavirus Response Act that expires December 31, 2020. Faculty who meet eligibility requirements of this program are able to access Emergency Paid Sick Leave which provides 10 days of paid leave if they meet one of the qualifying reasons. Additionally, faculty who meet the eligibility requirements for the Emergency Family and Medical Leave Expansion Act (EFMLEA) would receive benefits up to 10 weeks at a reduced rate of pay if they meet the qualifying reasons. Should a faculty member not qualify for these benefits or have exhausted the benefits, they may use their accrued personal leave. If the faculty member has exhausted their accrued personal leave, they can apply for leave through the COVID-19 Leave Donation Plan. 20,000 hours of the Leave Donation Program will be held in reserve for members of the UFF-UF bargaining unit for eligible use through December 31, 2020 unless the nationwide emergency declaration is extended.

6. Many faculty have family care responsibilities. Those responsibilities will be affected by decisions made by local school districts, daycare centers, and others. The University will explore modifications to the schedules of people with significant family care responsibilities including alternative work locations, flexible work hours, changes in percent effort in teaching, research and service, voluntary reductions in FTE, and use of leave programs. Faculty are encouraged to have proactive discussions with their chair or director regarding the distribution of effort assignments related to teaching, research, and service throughout the 2020-2021 academic year. Faculty who utilize approved alterations in work assignment shall not be penalized for doing so.

7. The University will continue to provide support and training for remote instruction during the Fall 2020 semester.

8. The University shall provide at least two (2) N-95 or equivalent masks to each faculty member providing face-to-face instruction or interacting with students or the public.

9. Faculty are required to follow University face masks and physical distancing policies.

10. UFF-UF and the University agree that the classroom must be free from conduct that interferes with the educational mission. The University has stipulated that students and student organizations must adhere to published University of Florida policies and regulations, as well as local, state, and other applicable regulations, guidelines, laws, and orders, including the Student Behavioral Expectations in Response to COVID-19 policy. In the classroom, faculty members will abide by the “Guidelines for Faculty in the Classroom,” issued on August 23, 2020. Per these guidelines, faculty may ask students to cease conduct that violates these policies, and if the conduct does not
cease, the faculty member may use the approaches normally available to address the behavior of a disruptive student including reporting the student to Student Conduct & Conflict Resolution and canceling class. Faculty must report any such incidents to their Department Chair/Director. Accidental failure to report the first occurrence of an event described in this paragraph should not result in disciplinary action.

11. The University is responsible for providing a safe and clean classroom environment. The University shall provide appropriate disinfectant materials in each classroom contingent upon supply chain availability. Faculty are not required to provide any cleaning or to enforce student cleaning. The supplies are available to classroom participants who wish to do so.

12. Requests for access to laboratories and research spaces will be reviewed and evaluated following University processes established by UF Research and Academic Affairs.

13. The University shall conduct all service-related responsibilities in a remote mode, provided that the successful conduct of the activity does not require the physical presence of a faculty member on campus. The Chair/Director shall have discretionary authority to determine which service-related responsibilities require physical presence for success.

14. As per Article 19 of the current Collective Bargaining Agreement, faculty may apply for tenure and promotion when ready. However, an extra year will be added to the probationary period for tenure-accruing faculty employed as of 5/15/2020, with the following understandings:
   A. It does not apply to faculty who were (2019-2020) in their last year of the probationary period.
   B. This extra year is in addition to any “caps” on extensions of the probationary period.
   C. Faculty may choose to reject irrevocably the one-year extension. To do so, a faculty member must notify his/her chair in writing by September 30, 2020, and the dean. If a faculty member does not reject the one-year extension in the manner set forth above, he/she will automatically receive the extension.

15. Tenure and Promotion meetings may be conducted remotely using secure teleconferencing platforms like Zoom. Voting on Tenure and Promotion decisions shall be conducted remotely using secure electronic voting platforms like the UF Voting application (http://tss.it.ufl.edu/uf-voting). The voting platform must, at a minimum, (1) ensure voters’ anonymity while protecting against multiple votes by one individual and (2) allow verification of the final count. For departments using electronic voting in cases of Tenure and Promotion for the first time, verification will be made by a designee of the Faculty Senate Chair, if requested by the faculty member seeking tenure and/or promotion.
ACTION ITEM BT6
September 29, 2020

SUBJECT: Facility Security Clearance; Exclusion of Certain Directors and Officers; Designation of Senior Managerial Group for Classified Information

BACKGROUND INFORMATION

Certain contracts between the University and federal governmental agencies and certain research at the University require a facility security clearance for classified information. Department of Defense policy and procedure permit the University to designate a senior management committee to obtain security clearance rather than obtaining the clearances for individual Trustees and other officers. All Trustees must be listed in the designation.

PROPOSED COMMITTEE ACTION

The Committee on Governance, Government Relations and Internal Affairs is asked to approve a Resolution, R20-248, confirming that members of the Board of Trustees will not require access to classified information, designating a senior management committee comprising the President and Vice President for Research for that purpose, and listing all Trustees, for recommendation to the Board of Trustees for its approval on the Consent Agenda.

ADDITIONAL COMMITTEE CONSIDERATIONS

Board of Governors’ approval is not required. Upon the appointment of any new Trustees, the Board will need to approve a similar resolution to include them.

Supporting Documentation Included: See attached Resolution R20-248.

Submitted by: David P. Norton, Vice President for Research

Approved by the University of Florida Board of Trustees September 29, 2020

____________________________  ______________________________
Morteza “Mori” Hosseini, Chair   W. Kent Fuchs, President and Corporate Secretary
RESOLUTION

NUMBER: R20-248

Subject: Facility Security Clearance; Exclusion of Certain Directors and Officers; Designation of Senior Managerial Group for Classified Information

Date: September 29, 2020

WHEREAS, the University of Florida, a university in the State University System of Florida desires to enter into contractual obligations with agencies of the United States Government, including contractual obligations requiring security clearance, and

WHEREAS, current Department of Defense Regulations contain a provision making it mandatory that the Chair of the Board and all principal officers meet the personnel clearance requirements established for a security clearance, and

WHEREAS, current Department of Defense Regulations do authorize exclusion from the security clearance requirements of certain members of the Board of Directors and other officers, provided that this Board adopts a resolution stating that the Board members and such officers will not require access to classified material and designate the President and Vice President for Research for such purposes.

NOW THEREFORE, BE IT RESOLVED, that a Senior Managerial Group for Classified Information is hereby designated for the purpose of providing oversight of all classified information and programs at the University.

NOW THEREFORE, BE IT RESOLVED, that the membership of the Senior Managerial Group shall be composed of those persons occupying the following positions at the University: (a) the President; and (b) the Vice President for Research. The Chair of the Senior Managerial group shall be the Vice President for Research. At the present time, the individuals in these positions do possess, or will be processed for, the required security clearance; and

NOW THEREFORE, BE IT RESOLVED, that the following Board of Trustees members are excluded from access to classified information and are excluded from the requirement for a Personnel Security Clearance in accordance with Department of Defense 5220.00-M National Industrial Security Program Operating Manual, Section 1.2-106:
David L. Brandon
Richard P. Cole
Sylvain Doré
James W. Heavener
Morteza “Mori” Hosseini
Leonard H. Johnson
Thomas G. Kuntz
Daniel T. O’Keefe
Rahul Patel
Trevor J. Pope
Marsha D. Powers
Jason J. Rosenberg
Anita G. Zucker
Trustee
Trustee
Faculty Trustee (as of June 1, 2020)
Trustee
Trustee
Trustee
Trustee
Trustee
Student Trustee (as of April 26, 2020)
Trustee
Trustee
Trustee

This action is in the form of a resolution to take effect immediately upon its adoption.

Adopted this 29th day of September 2020, by the Board of Trustees of the University of Florida.

____________________________________  ______________________________________
Morteza “Mori” Hosseini, Chair              W. Kent Fuchs, President
                                           and Corporate Secretary
ACTION ITEM BT7
September 29, 2020

SUBJECT: University of Florida Regulation Amendment

BACKGROUND INFORMATION
Under the Board of Governors regulations development procedure for State Universities, proposed regulations or amendments are adopted upon approval of the University Boards of Trustees. Regulations that pertain to student tuition and fees, articulation and admissions require additional approval by the Board of Governors prior to becoming effective.

The University proposes to amend Regulation 1.017 to update references regarding probationary periods for exempt workers and to explicitly authorize the University to implement furloughs. Together with this revised regulation, the University is developing a supporting policy and other documentation to operationalize this regulation and set forth the University’s process for implementing furloughs.

PROPOSED COMMITTEE ACTION
The Board of Trustees is asked to approve regulation amendments to University of Florida Regulations 1.017 as contained in the attached documents on the Non-Consent Agenda.

ADDITIONAL COMMITTEE CONSIDERATIONS
None

Supporting Documentation Included: See attached UF Regulations: 1.017

Submitted by: Amy Hass, Vice President and General Counsel

Approved by the University of Florida Board of Trustees, September 29, 2020

__________________________________________  ___________________________________________
Morteza “Mori” Hosseini, Chair               W. Kent Fuchs, President and Corporate Secretary
6C1-1.017 Separations from Employment and Layoff and Furlough.

(1) Separations from employment shall be administered consistent with the following provisions:

(a) An employee who resigns from employment shall not have any rights of appeal.

(b) An employee who is absent without approved leave for three or more consecutive workdays shall be considered to have abandoned the position.

(c) The President or President’s designee may dismiss an employee for just cause in accordance with University Regulations 6C1-3.046, 6C1-3.047 and 6C1-7.048.

(d) Other Personnel Services (OPS), University Support Personnel System (USPS) employees without permanent status in any class, or probationary non-exempt Technical, Executive, Administrative and Managerial Support (TEAMS) employees may be separated from employment at any time without any requirements of notice or reason and without rights to appeal.

(2) Layoffs shall be administered consistent with the following provisions for USPS, TEAMS, and Academic Personnel (AP) employees:

(a) Reasons for layoff, which may occur at any time, are: adverse financial circumstances; reallocation of resources; reorganization of degree or curriculum offerings or requirements; reorganization of academic or administrative structures, programs, or functions; curtailment or abolishment of one or more programs or functions; shortage of work; or a material change of duties. The President or designee shall notify the appropriate employee organizations when layoffs are to take place.
(b) President or designee shall designate a layoff unit at an organizational level such as a division, college, school, department, area, program, or other level or organization as the President or designee deems appropriate. In designating the makeup of the layoff unit, the President or designee shall consider the special qualifications and relevant experience required for specific positions and exclude such positions from layoff. The President or designee will designate layoff units at the University of Florida as determined by administrative reporting rather than funding.

(c) A tenured/permanent status Academic Personnel employee shall not be laid off if there are non-tenured/non-permanent status Academic Personnel employees in the layoff unit. Those employees will be retained who, in the judgment of the President or designee, will contribute to the mission and purpose of the University when taking into account the employee’s length and continuous University service and other appropriate factors. The appropriate Vice President shall submit a plan to meet the goals set by the President. The Vice President shall have the sole discretion in determining the distribution by pay plan to accomplish the goals.

(d) The applicable collective bargaining agreement will be followed for Academic Personnel that are In-Unit.

(3) Layoff Provisions for USPS and TEAMS employees.

(a) Identification of Layoff Candidate and Notice of Layoff. The classification(s) of the position(s) to be abolished will be determined by the administrator(s) of the layoff unit. Written notice of layoff rights will be provided to an employee at least forty-five (45) calendar days in advance of layoff.

(b) The University maintains the discretion to retain the employees, who, in the judgment of the President or designee, will contribute to the mission and purpose of the
University when taking into account the employee’s duties, skill level, previous experience, training, certifications, length of continuous University service and other appropriate factors. The appropriate Vice President shall submit a plan to the President to meet the goals set by the President. The Vice President shall determine the distribution by pay plan to accomplish the goals. The Division of Human Resources Services will review each layoff request to ensure appropriate selection occurs.

(c) Recall Rights. Recall rights for USPS employees apply for a period of one year following layoff. When a vacancy occurs in the same position and class within the same layoff unit from which the employee was laid off, the employee who is not otherwise employed in an equivalent full-time position, and who meets the specific qualifications of the position, will be referred to the hiring authority for consideration. If an employee refuses an offer of reemployment on recall any further recall rights are forfeited.

(d) The following USPS and TEAMS employees do not have layoff rights:

1. A USPS employee without permanent status in any class.

2. A USPS or TEAMS employee appointed to a contract and grant, auxiliary, or local funds position which has been designated time-limited.

3. A probationary non-exempt TEAMS employee.

(e) The applicable collective bargaining agreement will be followed for sworn law enforcement officers covered by a collective bargaining agreement.

(4) Layoff Provisions for Out-of-Unit Academic Personnel:

(a) Layoff Order. Employees in the layoff unit are to be laid off in the following order:
1. non-tenure earning or non-permanent status earning employees with five years or less of continuous university service;

2. tenure-earning or permanent-status-earning employees, who have not attained tenure or permanent status with five years or less of continuous university service;

3. non-tenure earning or non-permanent status earning employees with more than five years of continuous university service;

4. tenure-earning or permanent-status-earning employees, who have not attained tenure or permanent status with more than five years of continuous university service;

5. employees who are tenured or have permanent status.

(b) Determination of Layoff. In determining which employee(s) will be laid off, the President or designee, shall take into consideration appropriate factors including: tenure status; performance evaluations by supervisors, peers and students; contents of the faculty member’s personnel file; the faculty member’s academic credentials; professional reputation; collegiality; teaching effectiveness; performance of professional responsibilities; research record; quality of the academic or creative activity engaged in by the faculty member; and length and quality of service to the community and public.

(c) Notice of Layoff. Written notice of layoff rights will be provided to an employee as soon as practicable in advance of layoff. Where circumstances permit, faculty members are to be provided with at least one year’s notice for employees with three or more years of service and at least six months’ notice to those with less service. A notice of layoff shall be sent to the employee by certified mail, return receipt requested, or delivered in person to the employee.

(d) Recall Rights. For a period of one year following layoff, an employee who has been laid off, and who is not otherwise employed in an equivalent full-time position, shall be
offered reemployment in the same position at the University, should an opportunity for such reemployment arise. Any offer of reemployment must be accepted no later than fifteen days after the date of the offer, such acceptance to take effect no later than the beginning of the academic term immediately following the date the offer was made. In the event such offer of reemployment is not accepted, the employee shall receive no further consideration pursuant to this regulation.

(e) The following Academic Personnel do not have layoff rights:

1. An Academic Personnel employee appointed for less than one academic year or appointed to a visiting appointment;

2. An Academic Personnel employee appointed to a position funded from contracts and grants, auxiliaries or local funds;

3. An Academic Personnel employee whose appointment expires after receiving timely notice or non-reappointment;

4. An Academic Personnel employee whose appointment expires without the requirement of a written notice of reappointment, including an employee serving on an appointment without a fixed term or an employee on a multi-year contract.

(5) Furloughs. A furlough is a mandatory unpaid partial or full leave of absence from work. The University may implement furloughs consistent with the process and provisions of the University’s Furlough Policy set forth at [to be inserted upon final completion of policy].


History--New 7-1-96, Amended 10-7-99, 3-2-03, 7-19-05, 6-12-09 (BOT approval).
SUBJECT: Naming: Joanne W. Gauntt Foundation Small Animal Hospital Atrium

BACKGROUND INFORMATION
In recognition of the generous support of the University of Florida by the Joanne W. Gauntt Charitable Foundation, the University and the University of Florida Foundation seek to name the atrium at the College of Veterinary Medicine’s Small Animal Hospital the “Joanne W. Gauntt Foundation Small Animal Hospital Atrium,” in honor and memory of Joanne W. Gauntt, founder and life-long advocate for animals and their welfare.

PROPOSED COMMITTEE ACTION
The Committee on Facilities and Capital Investments is asked to approve Resolution R20-250 to name the atrium at the College of Veterinary Medicine’s Small Animal Hospital the “Joanne W. Gauntt Foundation Small Animal Hospital Atrium,” for recommendation to the Board of Trustees for approval on the Non-Consent Agenda.

ADDITIONAL COMMITTEE CONSIDERATIONS
Board of Governors approval is not required, but Board of Governors’ regulations require all facility, road, and landscape naming to be approved separately on the Non-Consent Agenda. [Note: BOG Reg. 9.002]

Supporting Documentation Included: See attached materials and Resolution #R20-250

Submitted by: Thomas J. Mitchell, Executive Vice President, University of Florida Foundation and Vice President for Advancement

Approved by the University of Florida Board of Trustees, September 29, 2020

______________________  _______________________
Morteza “Mori” Hosseini, Chair   W. Kent Fuchs, President and Corporate Secretary
Joanne Weintraub Gauntt was born in Miami, Florida on Christmas Day, 1932. She was raised in Coral Gables, Florida which, at the time, had a horse stable and riding academy located in the center of the town. Joanne was irresistibly drawn to the horses she saw there as a young girl, especially the American Saddlebreds. By the time she was in her teens, she was an accomplished rider and was winning trophies at horse shows throughout Florida.

Later in life, Joanne moved to Lexington, Kentucky to be closer to the center of the breeding and training of the horses she loved. She would go on to establish a national reputation not only for her riding ability but also her ability to identify and develop outstanding American Saddlebred show horses.

Joanne owned many fine horses over the course of her life, but perhaps the most celebrated was a gelding named Glory Kalarama, which in 1968 won the five gaited stakes at both the Kentucky State Fair and the National Horse Show at Madison Square Garden, the two preeminent competitions for American Saddlebreds. As a result of those victories, Glory Kalarama was named Reserve World Grand Champion in 1968.

While horses may have been Joanne's first love, dogs were a close second, especially poodles. She owned many over the years and cared deeply for each of them. Joanne established the Joanne W. Gauntt Charitable Foundation in 1999 as an expression of her love of horses, dogs and all companion animals.
September 10, 2020

Mr. Thomas J. Mitchell  
Vice President for Advancement  
University of Florida Advancement  
1938 W. University Avenue  
Gainesville, FL 32603

Dear Tom,

Our development team has been working with Michael Weintraub, trustee of The Joanne W. Gauntt Foundation on a proposed naming for the atrium lobby of the UF Small Animal Hospital.

Joanne Gauntt had a lifelong passion for animals and their welfare. She has been a major philanthropist for various animal groups and academic institutions. After her passing in 2017, she entrusted her brother Michael Weintraub, to act as the trustee of her large estate with a directive to distribute the proceeds to institutions that make a difference in the lives of animals. This generous gift will enable our veterinary radiation oncology department to rise to the forefront in treatment and research of cancer in small animals.

I respectfully request your support and assistance advancing this proposed naming to President Fuchs, the Board of Trustees, and any other university approvals as necessary.

Thank you for your consideration and please let me know if you have any questions.

Sincerely,

Dana Zimmel, DVM, DACVIM, DABVP  
Interim Dean and Professor  
College of Veterinary Medicine

“The Foundation for The Gator Nation”  
An Equal Opportunity Institution
RESOLUTION

Number: R20-250

Subject: Naming the Joanne W. Gauntt Foundation Small Animal Hospital Atrium

Date: September 29, 2020

WHEREAS, the Joanne W. Gauntt Charitable Foundation has made a generous contribution to support the University of Florida in memory and honor of founder Joanne W. Gauntt, a life-long animal advocate;

WHEREAS, in grateful recognition of this support, the University seeks to name the atrium at the College of Veterinary Medicine’s Small Animal Hospital the “Joanne W. Gauntt Foundation Small Animal Hospital Atrium;”

WHEREAS, the University of Florida Foundation seeks to name the atrium at the College of Veterinary Medicine’s Small Animal Hospital the “Joanne W. Gauntt Foundation Small Animal Hospital Atrium;”

WHEREAS, the University of Florida Board of Trustees has naming authority conferred by the Florida Board of Governors under its Regulations 1.001 and 9.005;

NOW, THEREFORE, the University of Florida Board of Trustees hereby resolves that the atrium at the College of Veterinary Medicine’s Small Animal Hospital be named the “Joanne W. Gauntt Foundation Small Animal Hospital Atrium.”

Adopted this 29th day of September, 2020, by the University of Florida Board of Trustees.

_______________________  ____________________
Morteza “Mori” Hosseini, Chair       W. Kent Fuchs, President and Corporate Secretary